

Cloud Optical and Microphysical Properties Product

some "collection 5" efforts

Steve Platnick

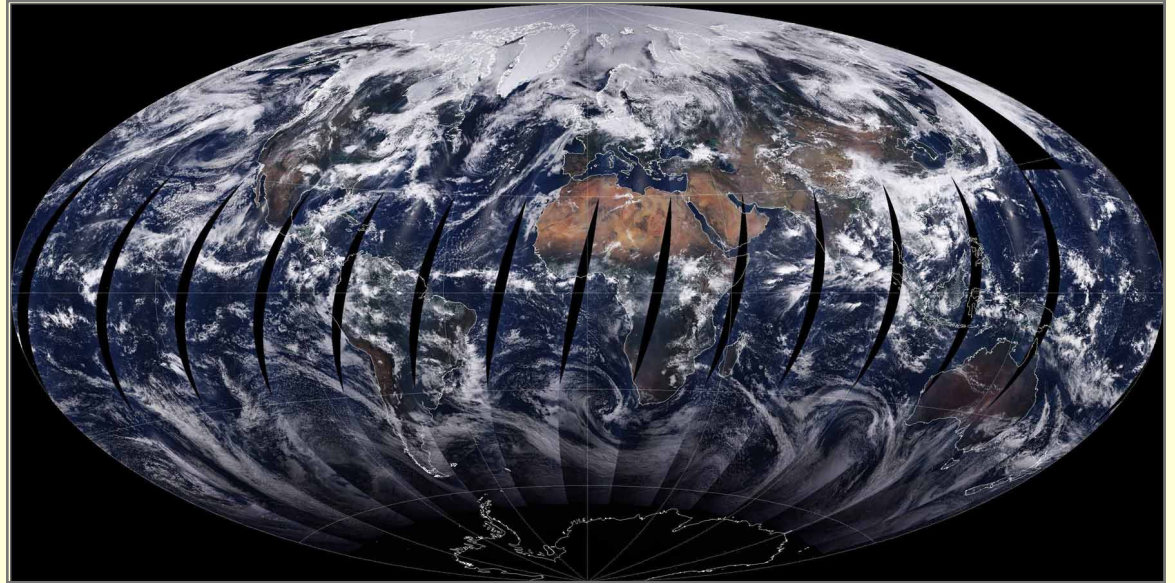
Brad Wind, Gala Wind, J. Riédi, et al.



MODIS Atmosphere Group Meeting

BWI Marriott

13-14 July 2004



Topics

- L2 collection 5 efforts & examples
 - Quantitative pixel-level uncertainty
 - Multi-layer/phase cloud detection
 - Sun glint, heavy aerosol detection
- L3 research effort

Future Processing Effort (collection 5)

- Collection: refers to a processing/reprocessing stream
 - Terra atmosphere algorithm deliveries in fall '04, Aqua in Dec '04
 - Aqua forward processing (L1B, L2) to begin in Jan '05
- MOD06 cloud retrieval algorithm expected improvements/additions:
 - ➔ – Pixel-level uncertainty analysis
 - ➔ – Multi-layer/phase cloud detection (non-opaque cirrus over water clouds)
 - ➔ – Sun glint, heavy aerosol detection
 - Improved spectral surface albedo maps
 - Improved ice cloud libraries , atmospheric transmittance libraries
 - Implementation of 1.6-2.1 μm band combination retrieval for snow/ice surfaces and heavy aerosol over clouds
 - Code improvements, etc.

Pixel-level Retrieval Uncertainty Analysis

S. Platnick, B. Wind

Currently incorporating the effect of the following sources on inferred cloud-top reflectance:

1. Instrument calibration
2. Atmospheric correction uncertainty
3. Spectral surface albedo uncertainty

Note:

- Uses sensitivity derivatives calculated from reflectance libraries, e.g.:

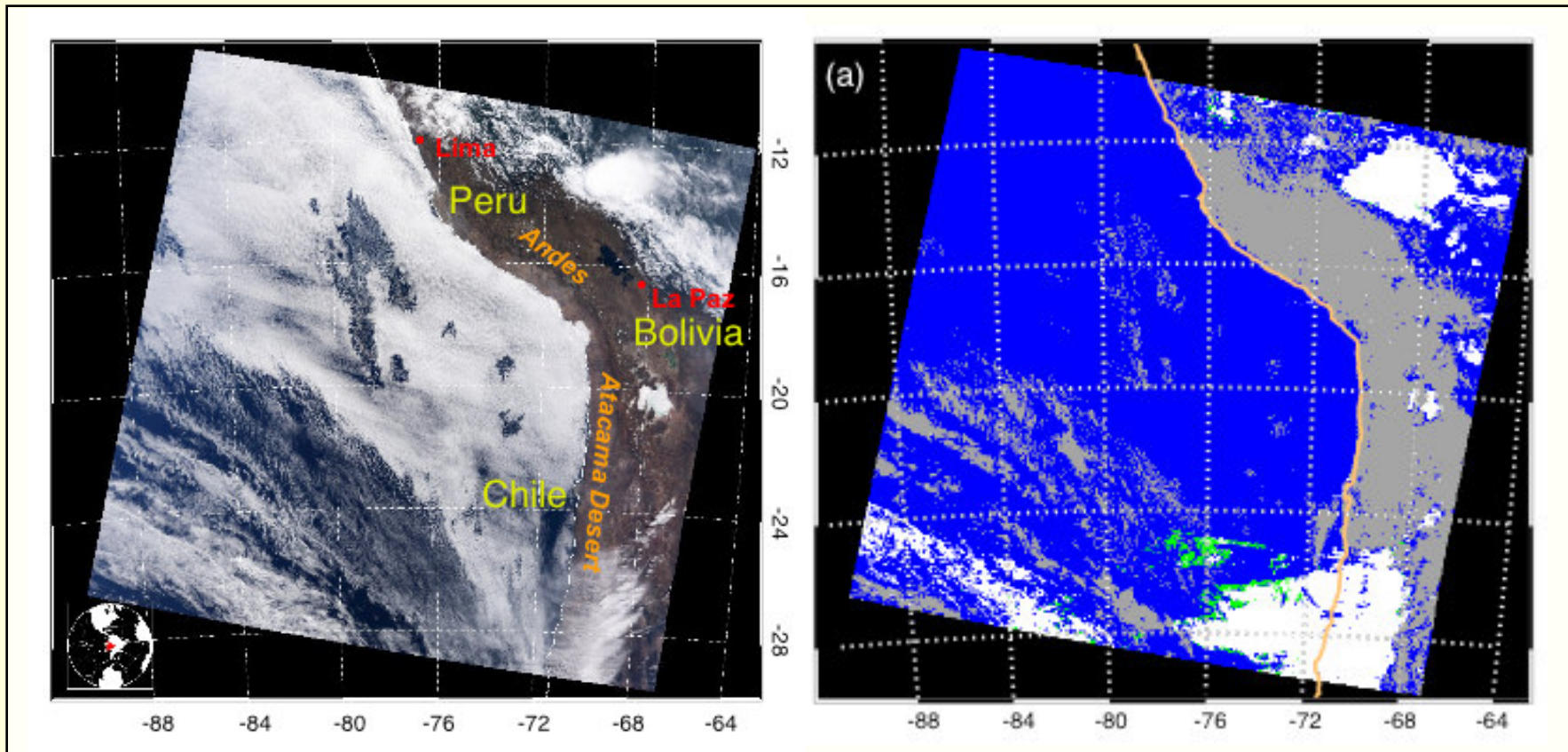
$$\left. \frac{\partial \tau}{\partial R_1} \right|_{R_2} = f \left(\left. \frac{\partial R_1}{\partial \tau} \right|_{r_e}, \left. \frac{\partial R_1}{\partial r_e} \right|_{\tau}, \left. \frac{\partial R_2}{\partial \tau} \right|_{r_e}, \left. \frac{\partial R_2}{\partial r_e} \right|_{\tau} \right)$$

- A likely minimum uncertainty, i.e., other missing components: (**ice cloud models, vertical cloud structure** including multi-layer clouds, ...)
- Random L2 uncertainties may be reduced/eliminated during L3 aggregations

Retrieval Example

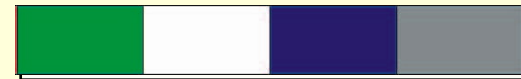
Terra granule, coastal Chile/Peru, 18 July 2001, 1530 UTC

[Platnick et al., *IEEE Trans. Geosci. Remote Sens.*, 41]



RGB true-color composite

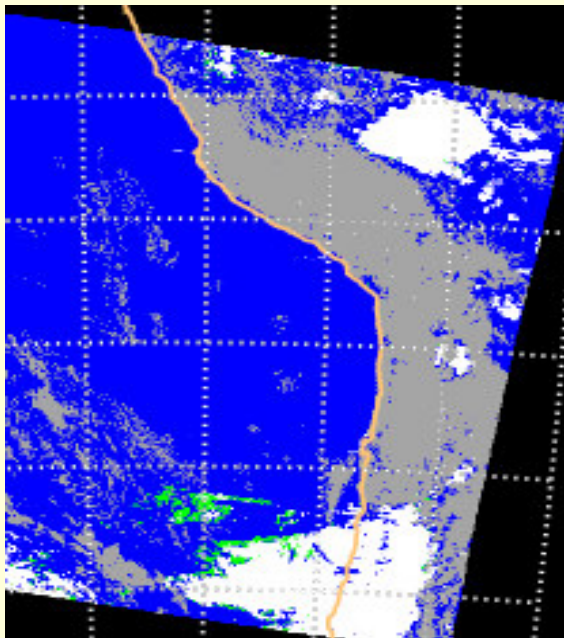
phase
retrieval



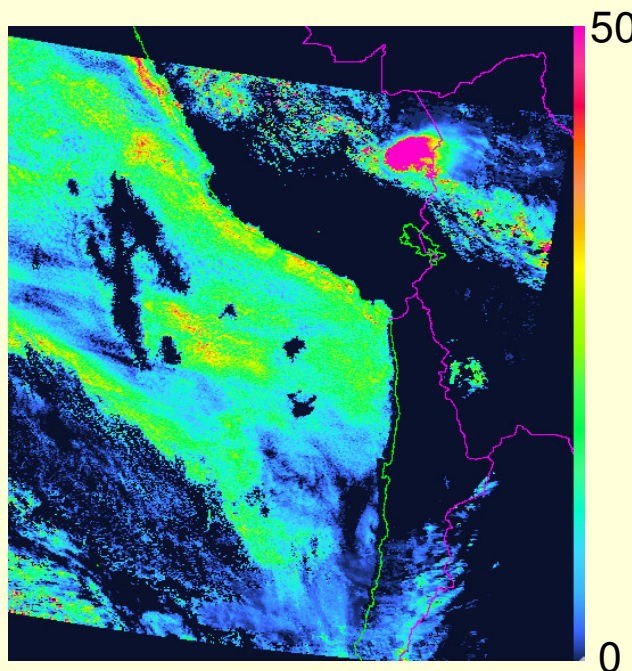
uncertain ice liquid no
water retrieval

Pixel-level Uncertainty Analysis

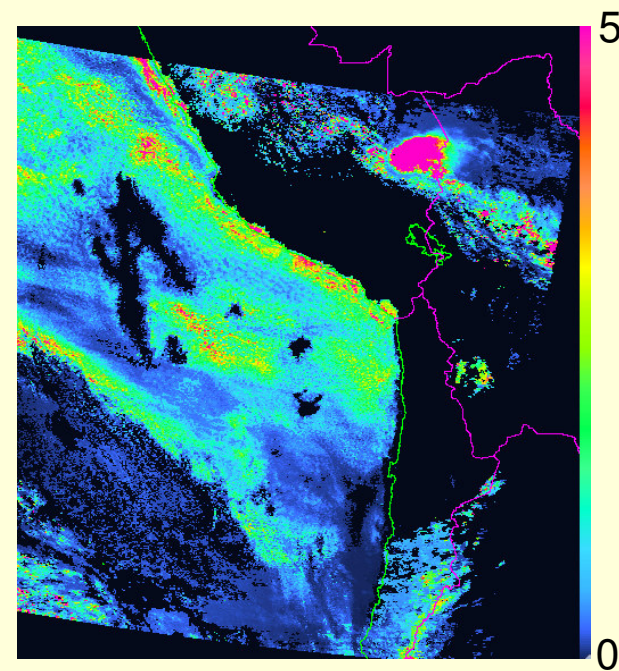
Peru granule (18 July 2001)



Phase (white = ice)



Cloud Optical Thickness

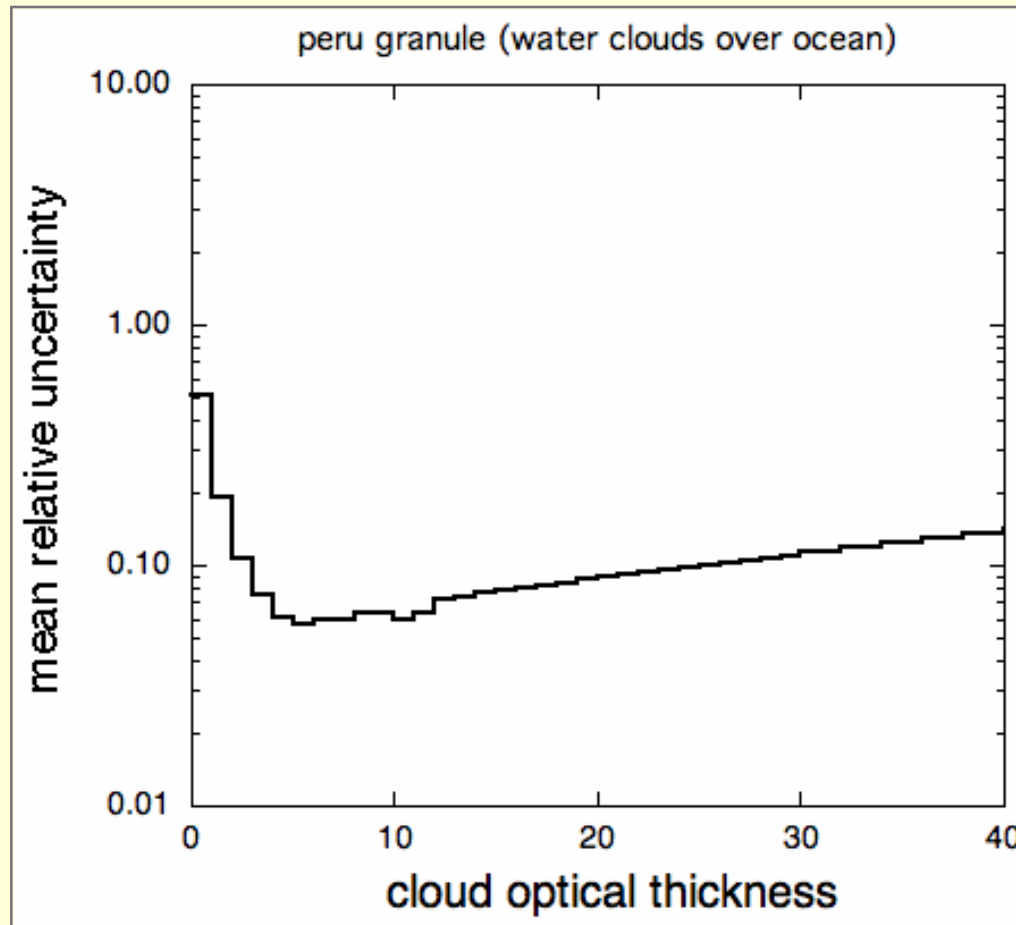


Cloud Optical
Thickness Uncertainty

Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

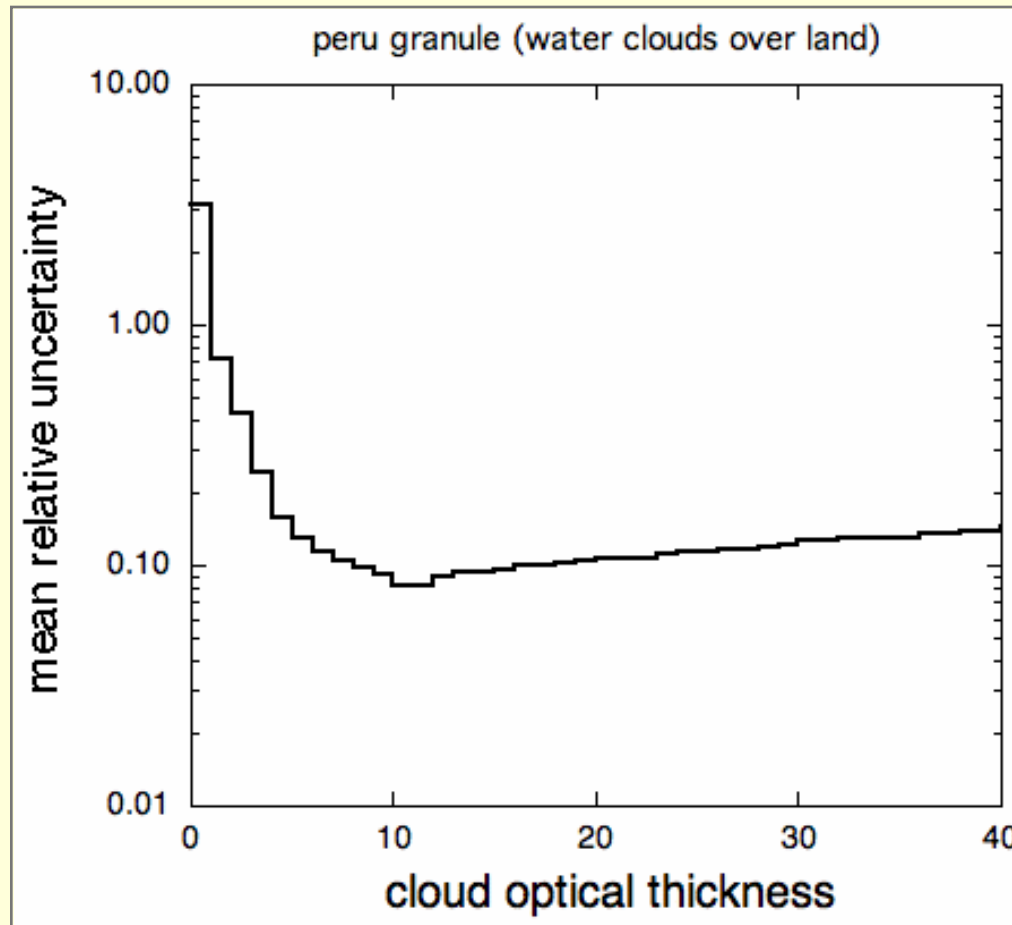
τ : water clouds over ocean



Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

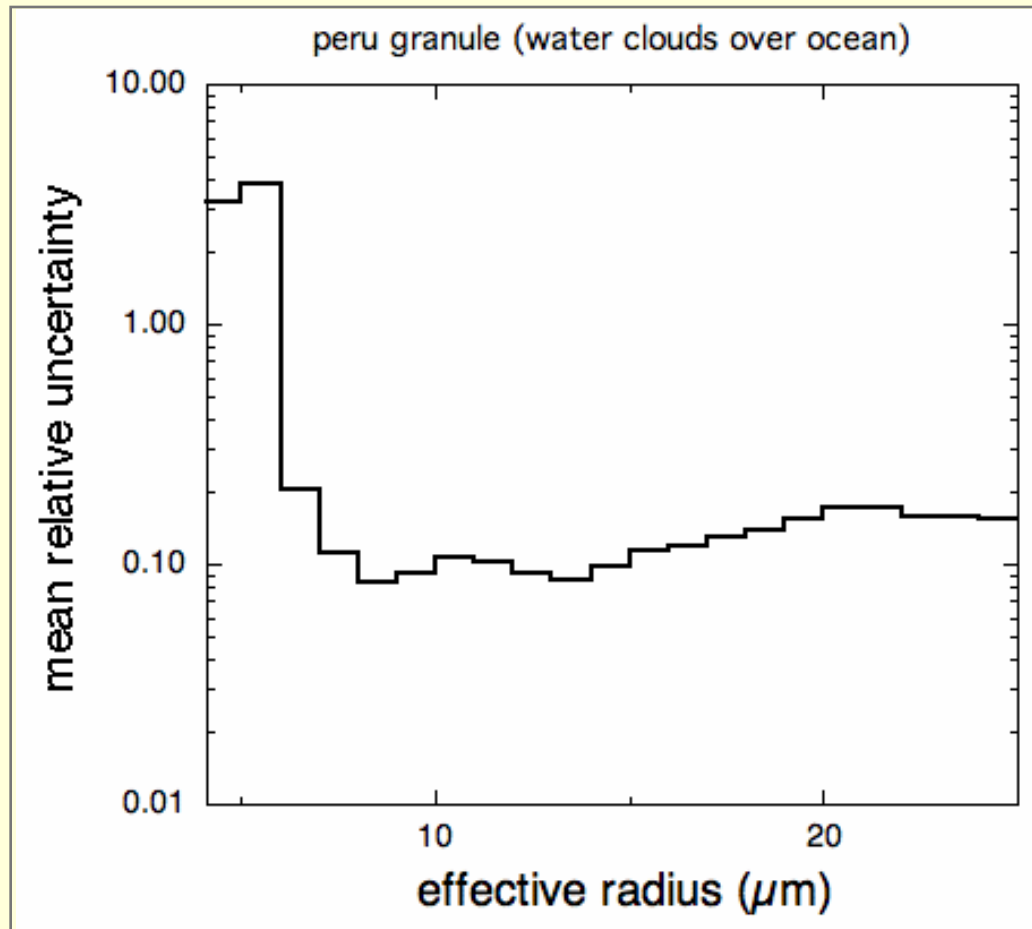
τ : water clouds over land



Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

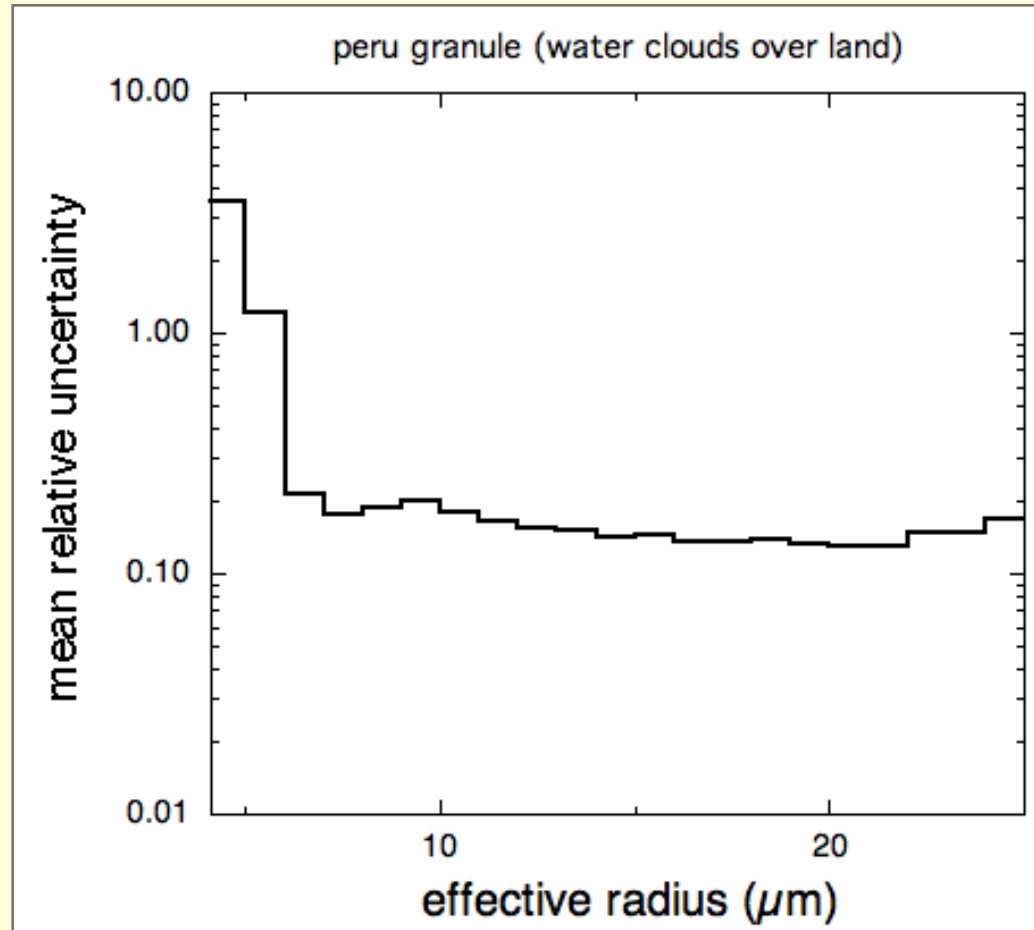
r_e : water clouds over ocean



Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

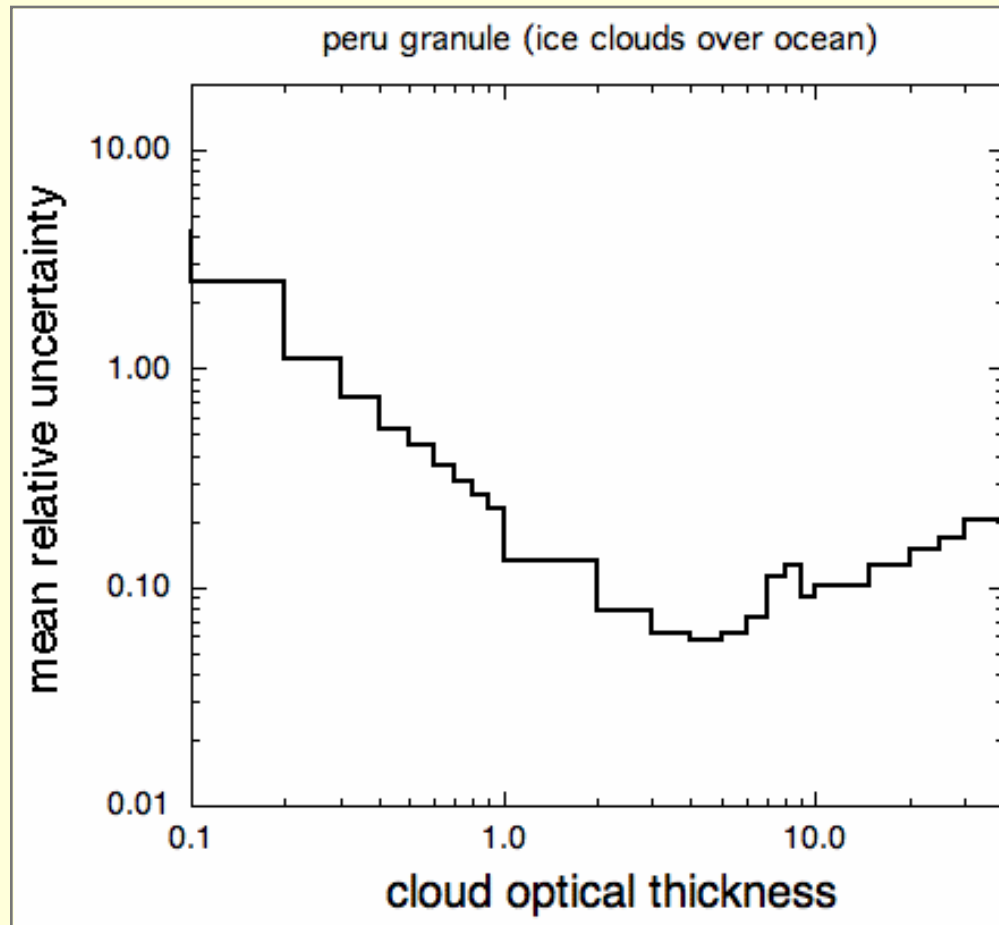
r_e : water clouds over land



Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

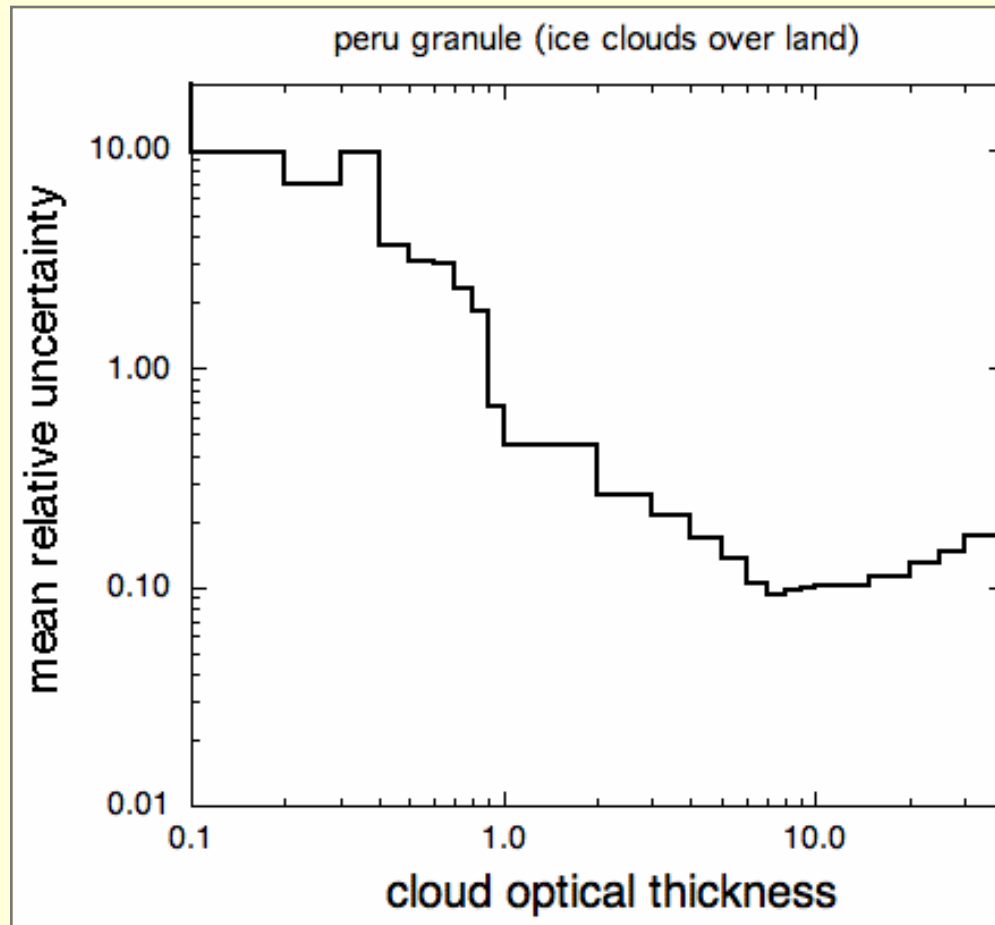
τ : ice clouds over ocean



Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

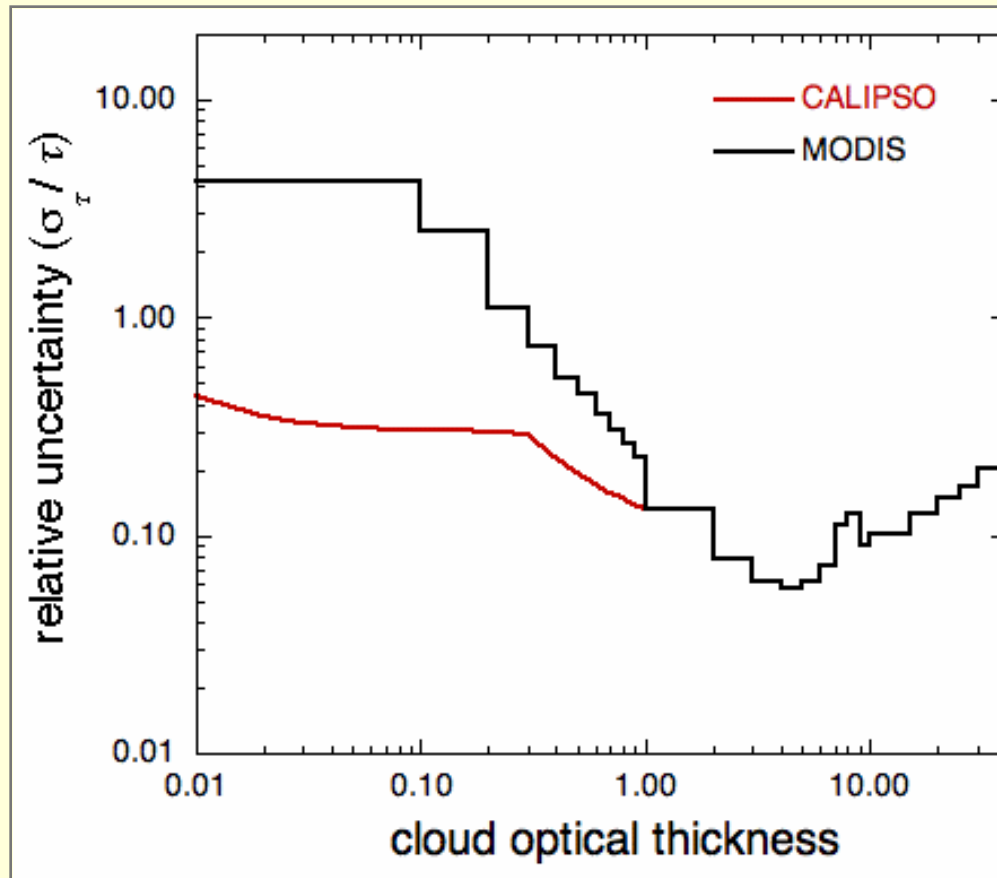
τ : ice clouds over land



Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

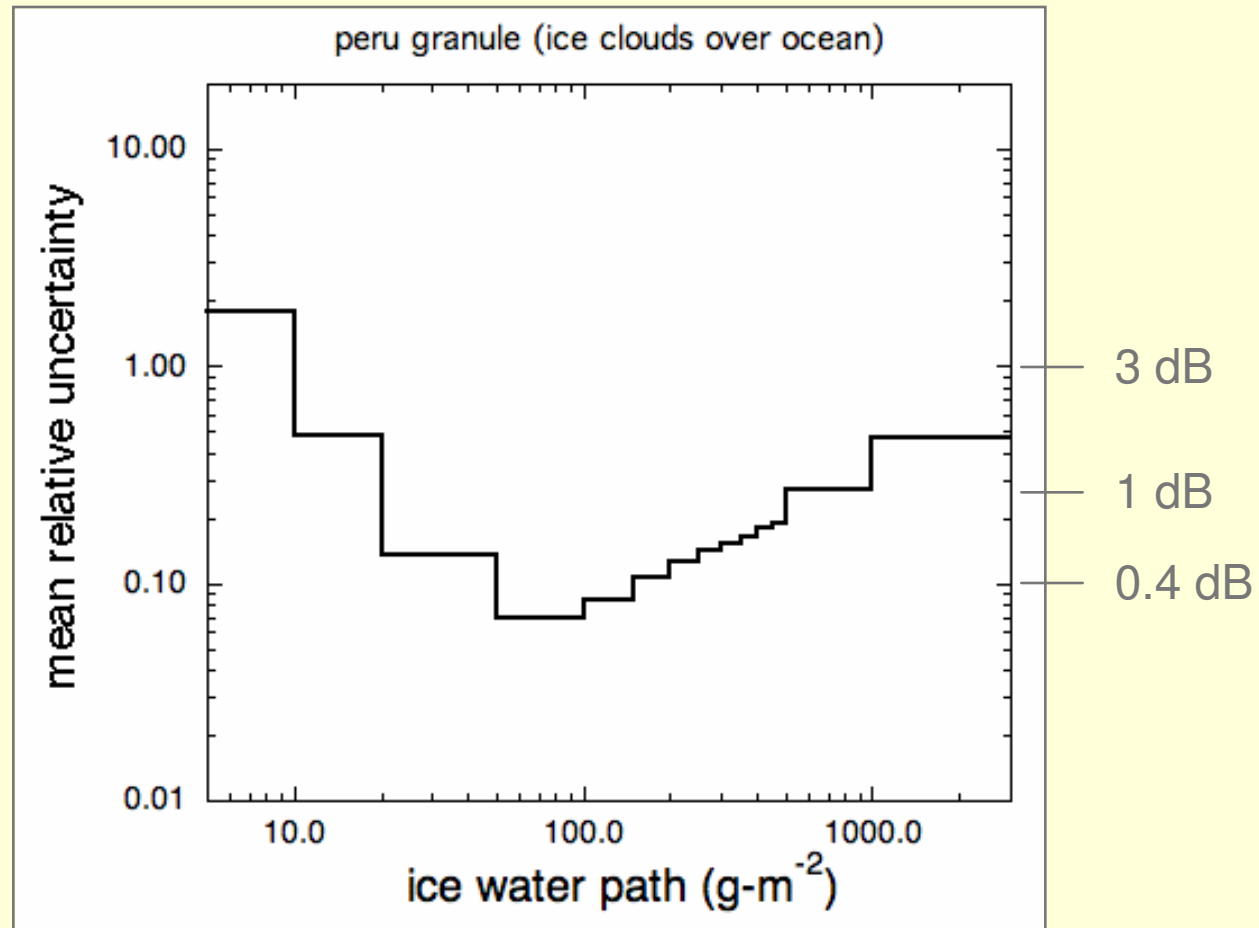
τ : ice clouds over ocean



Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

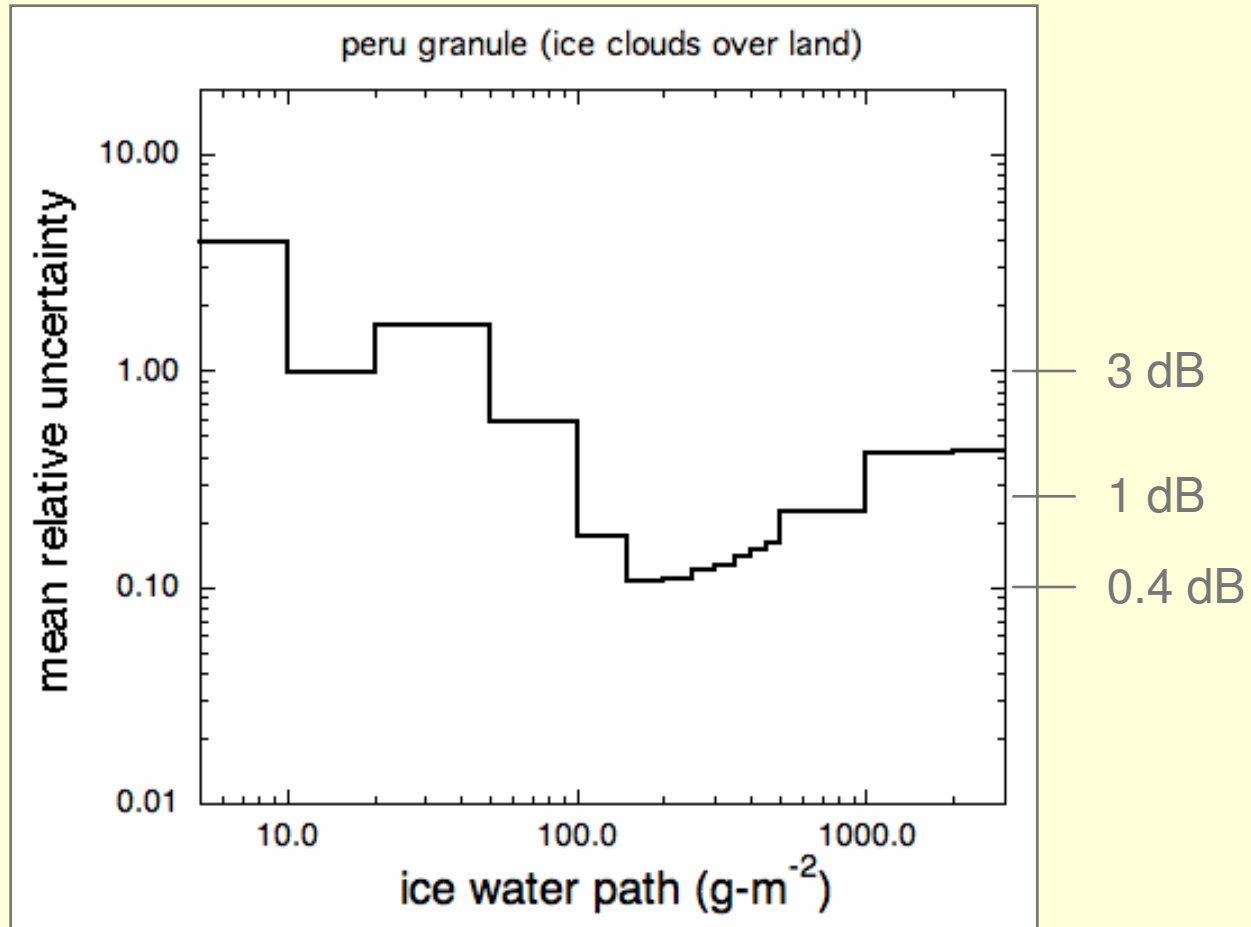
IWP: ice clouds over ocean



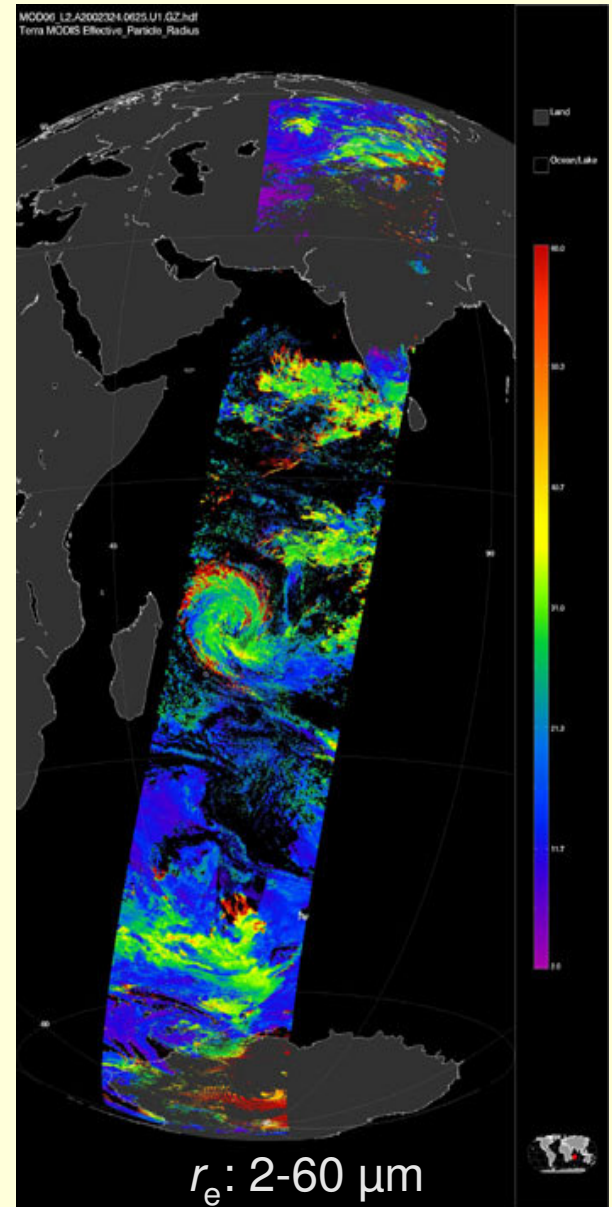
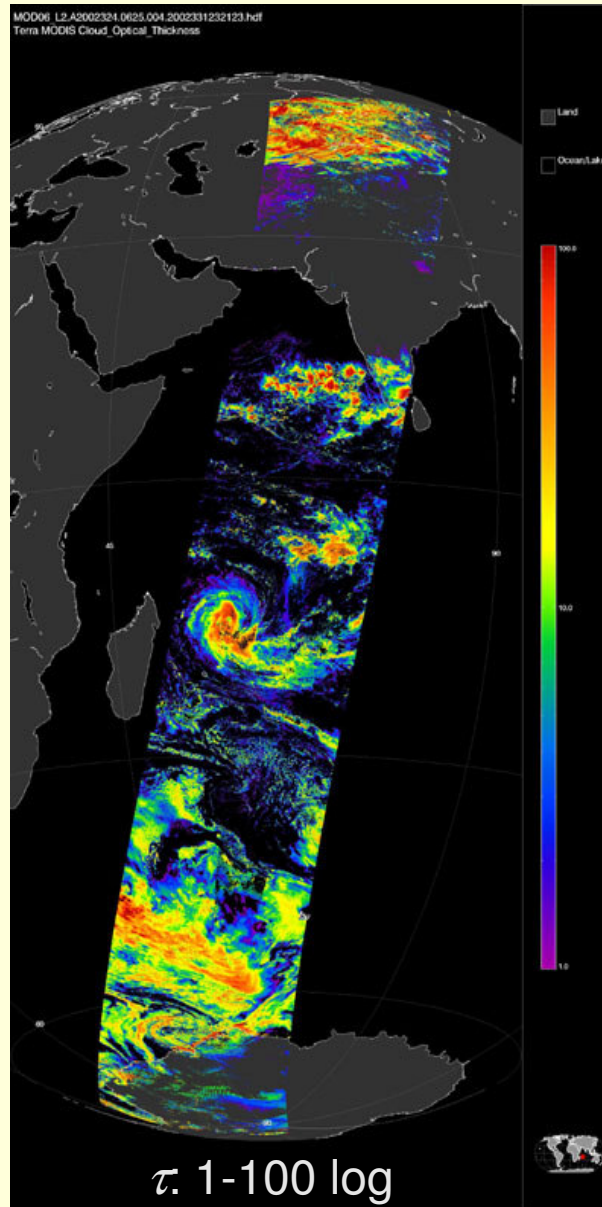
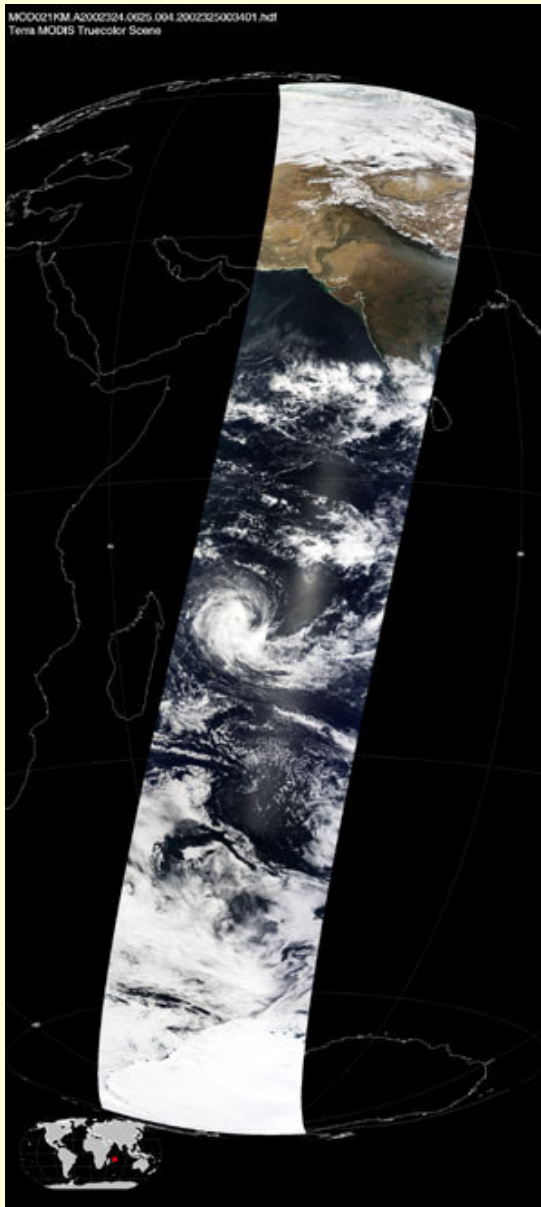
Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

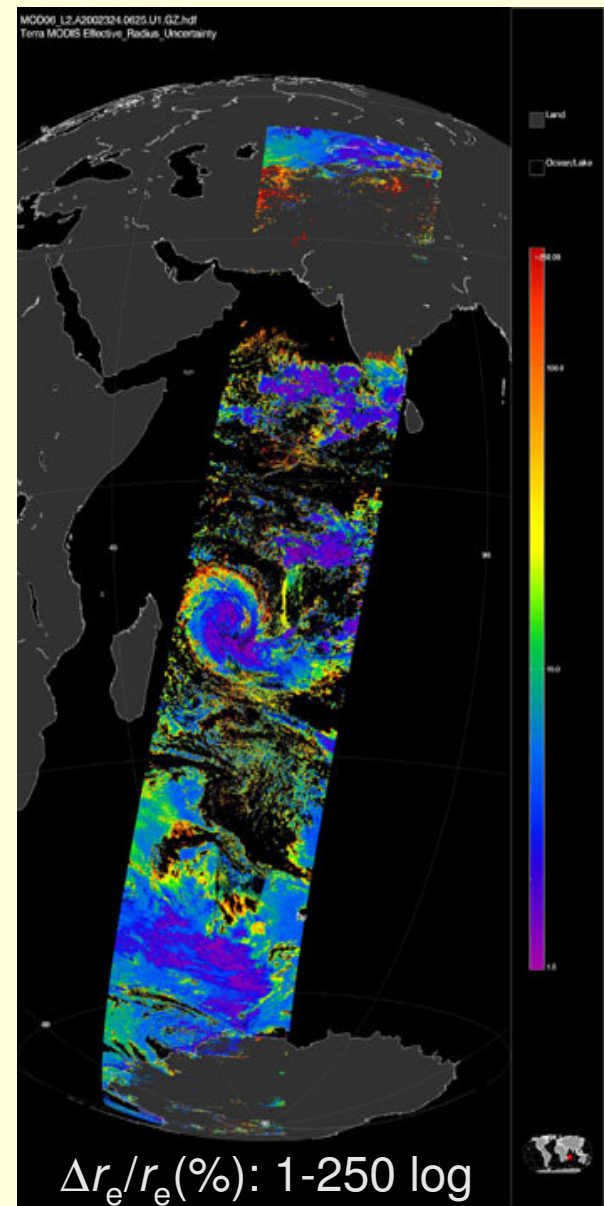
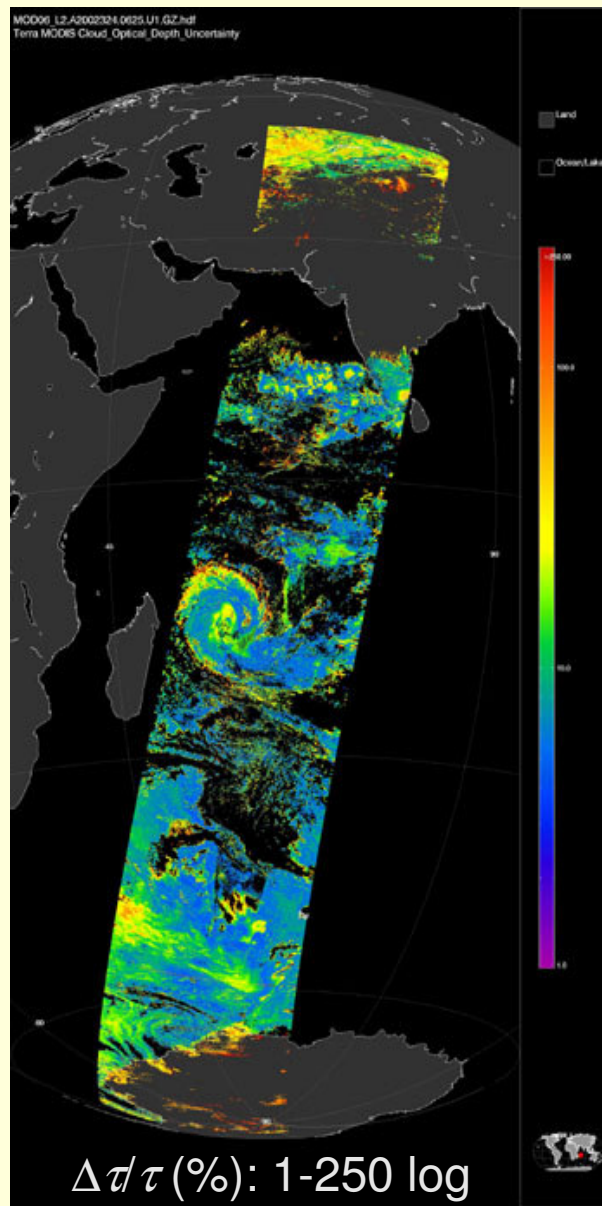
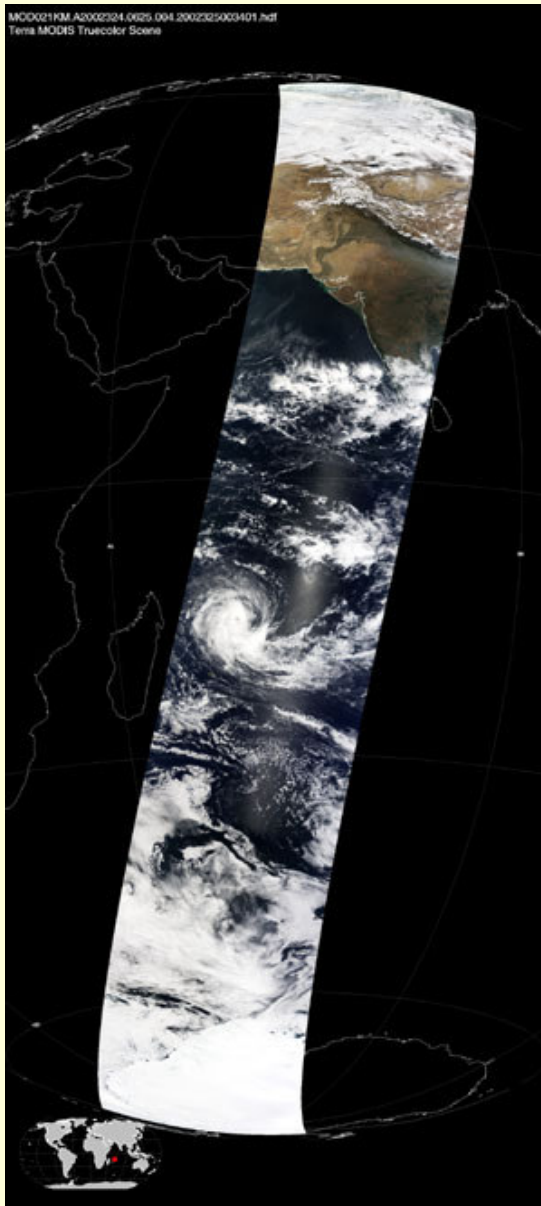
IWP: ice clouds over land



Pixel-level Uncertainty Analysis - Terra MODIS orbit (20 Nov 2002)

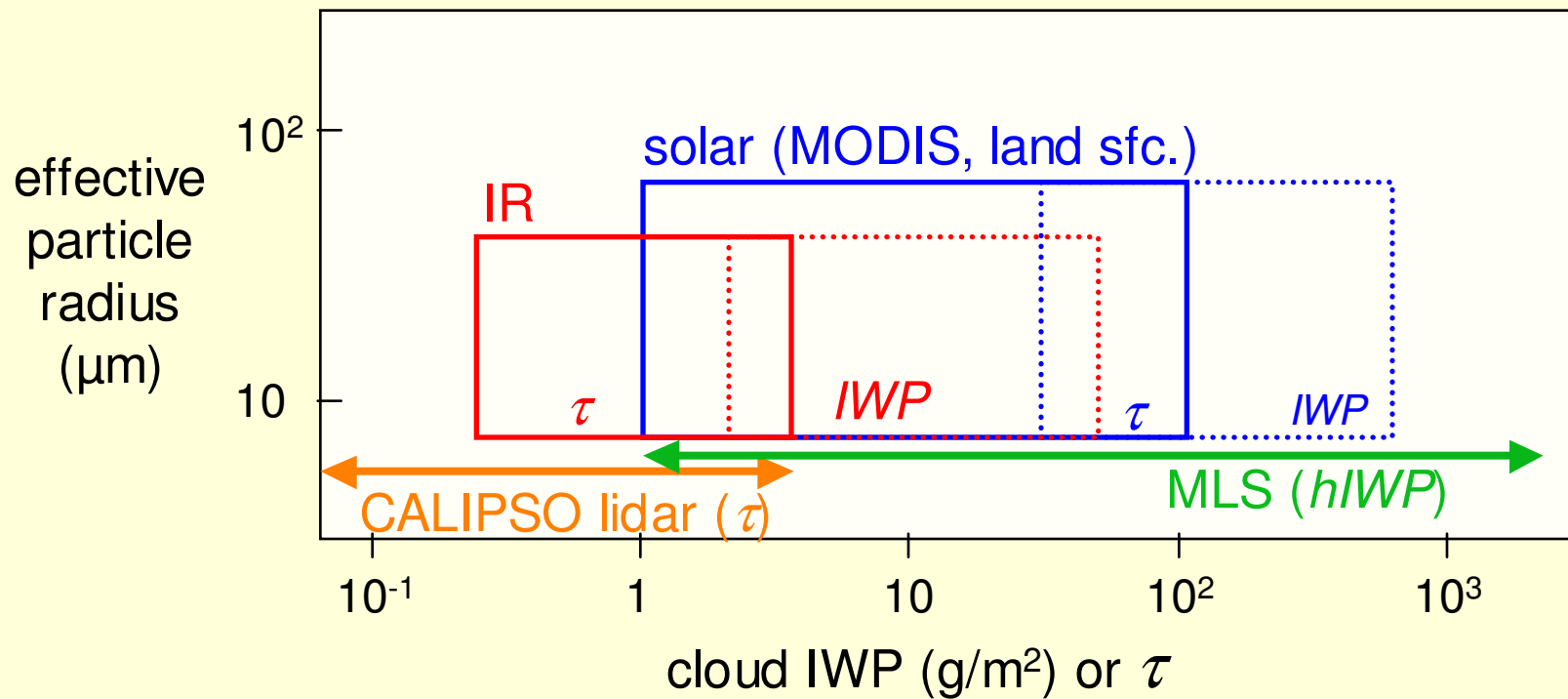


Pixel-level Uncertainty Analysis - Terra MODIS orbit (20 Nov 2002)



Approximate/Qualitative Solution Space vs. Method

~ 3dB (100% relative error)

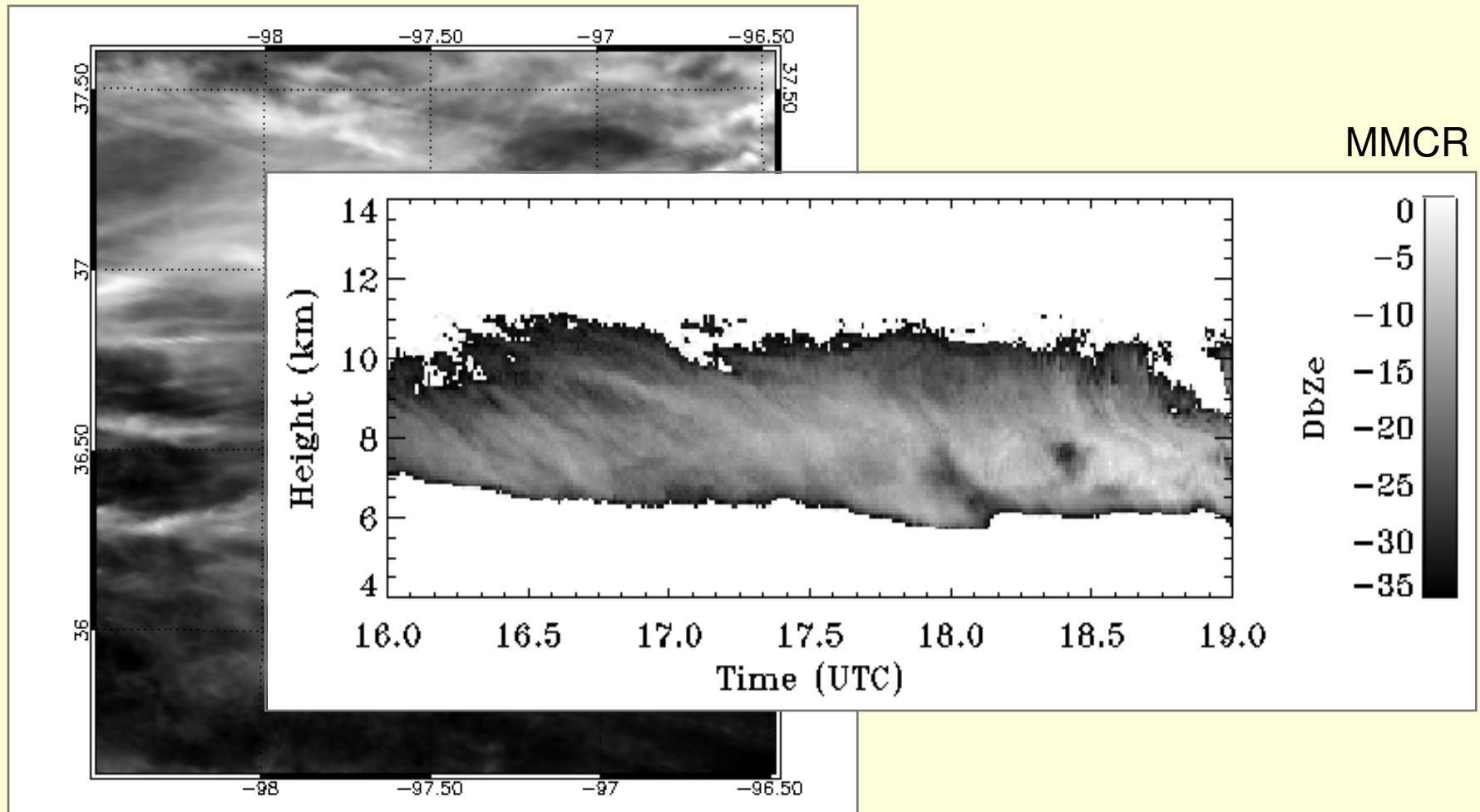


Example Validation Efforts

Cirrus Validation - SGP ARM site

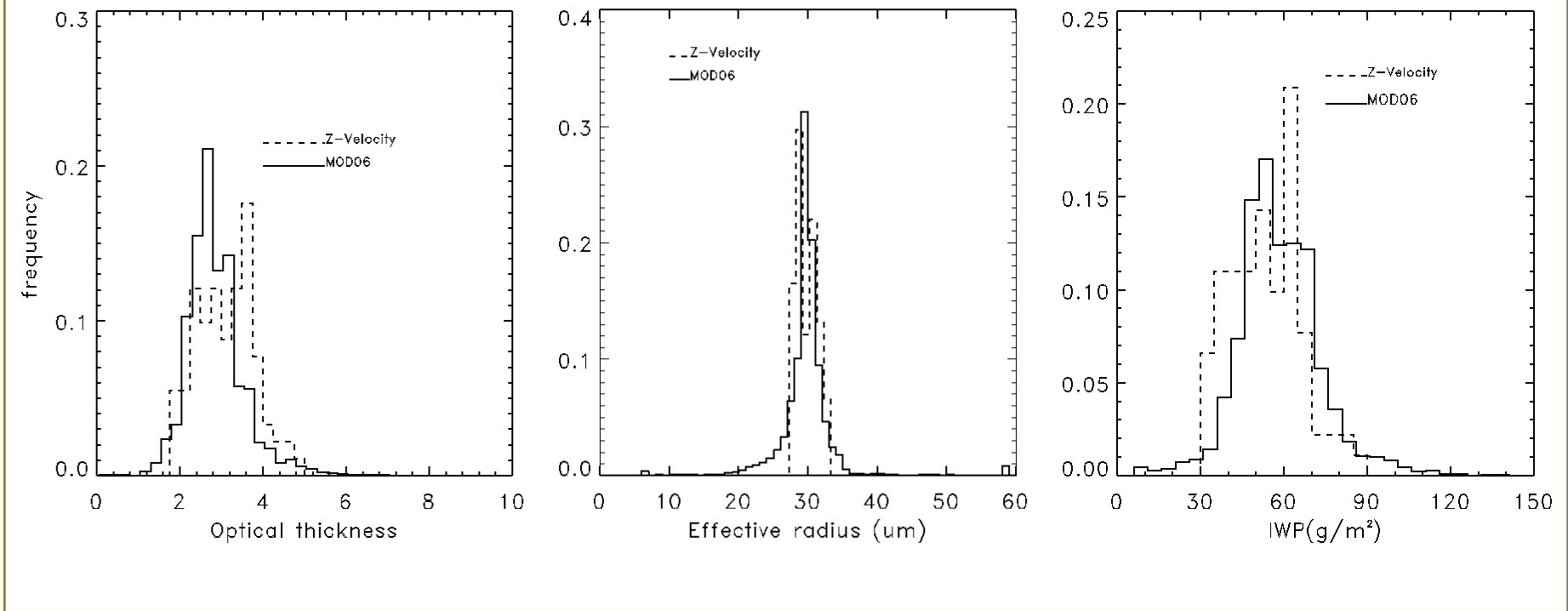
Mace, Zhang, Platnick, King, Minnis, Yang (*J. Appl. Meteor.*, accepted)

MODIS Terra, 6 March 2001, 1735 UTC



Cirrus Validation - SGP ARM site, cont.

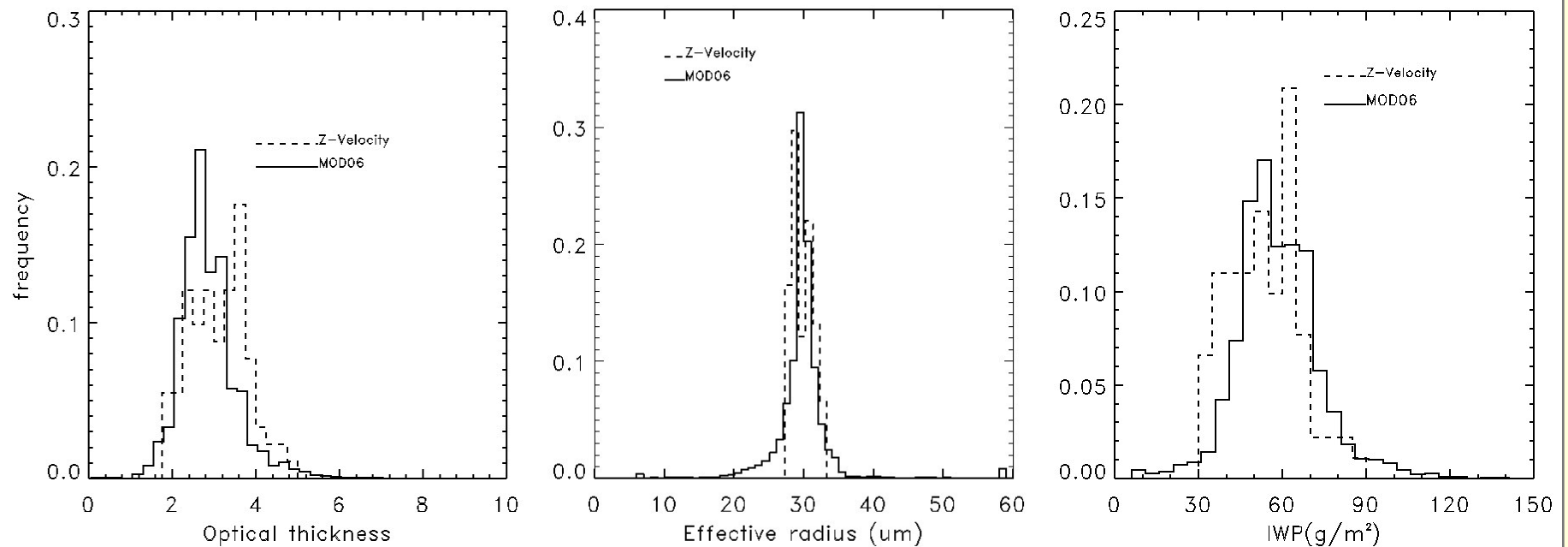
6 March 2001 (MOD06 vs. Z-Velocity algorithm case study)



Cirrus Validation - SGP ARM site, cont.

Case study 6 March 2001

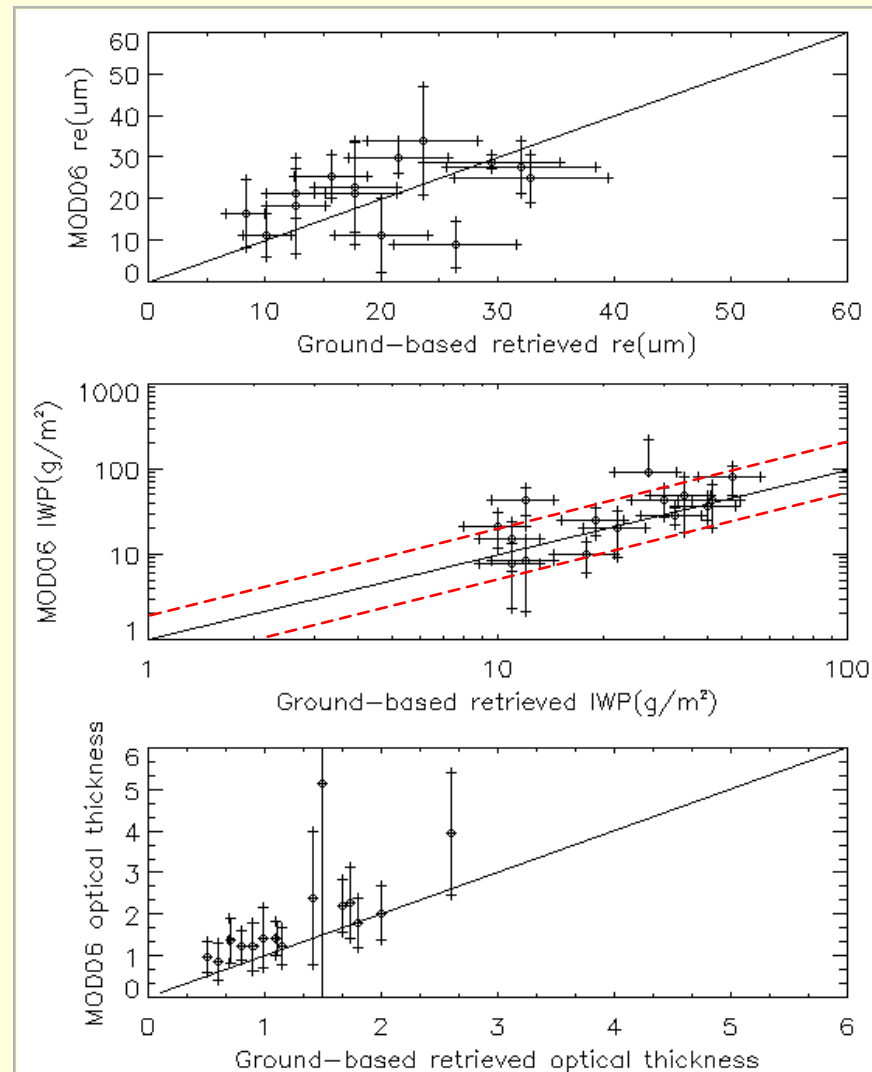
6 March 2001 (MOD06 vs. Z-Velocity algorithm case study)



IWP (g-m ⁻²)	Gnd.	MOD06	CERES-MODIS
mean	54	57 (+6%)	59 (+9%)
sdev	12.7	15.0	18.0

Cirrus Validation - SGP ARM site, cont.

15 overpasses, single layer cirrus
(MOD06 vs. Z-Radiance algorithm case study)



Cloud multilevel/phase detection

G. Wind, S. Platnick

- Utilizes differences between:
 1. Inferred above-cloud PW between CO₂ slicing (+ NCEP moisture fields) and 0.94 μm solar reflectance retrieval
 2. IR and SWIR phase retrieval

Cloud multilevel/phase detection

G. Wind, S. Platnick

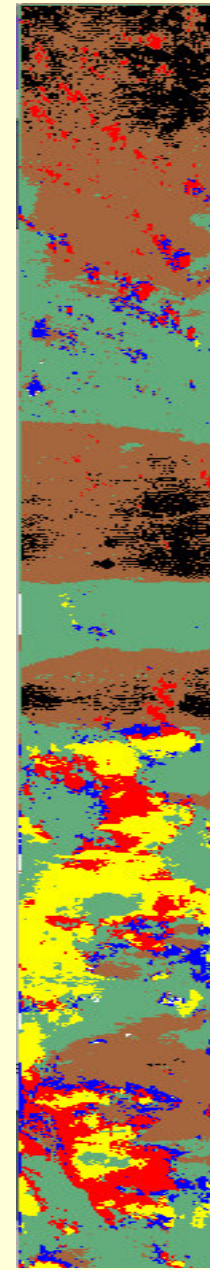
- Utilizes differences between:
 1. Inferred above-cloud PW between CO₂ slicing (+ NCEP moisture fields) and 0.94 μm solar reflectance retrieval \Rightarrow identify ice retrieval contaminated by water cloud
 2. IR and SWIR phase retrieval \Rightarrow water cloud retrieval contaminated by ice cloud

multilayer/phase detection

MAS, CRYSTAL-FACE
7-26-2002, track 5



R(1.61) G(0.66) B(1.87)

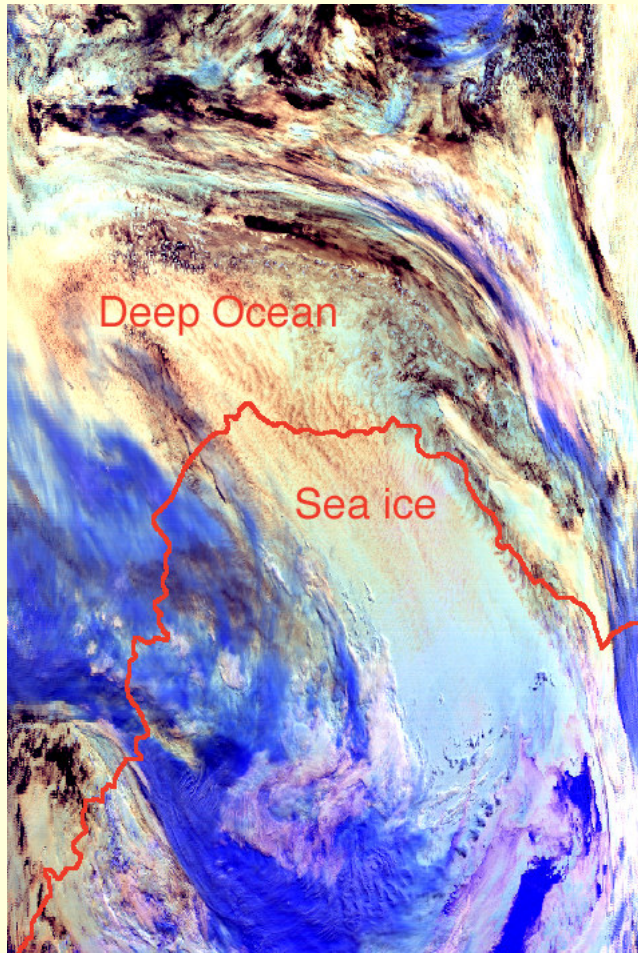


Multi-layer map

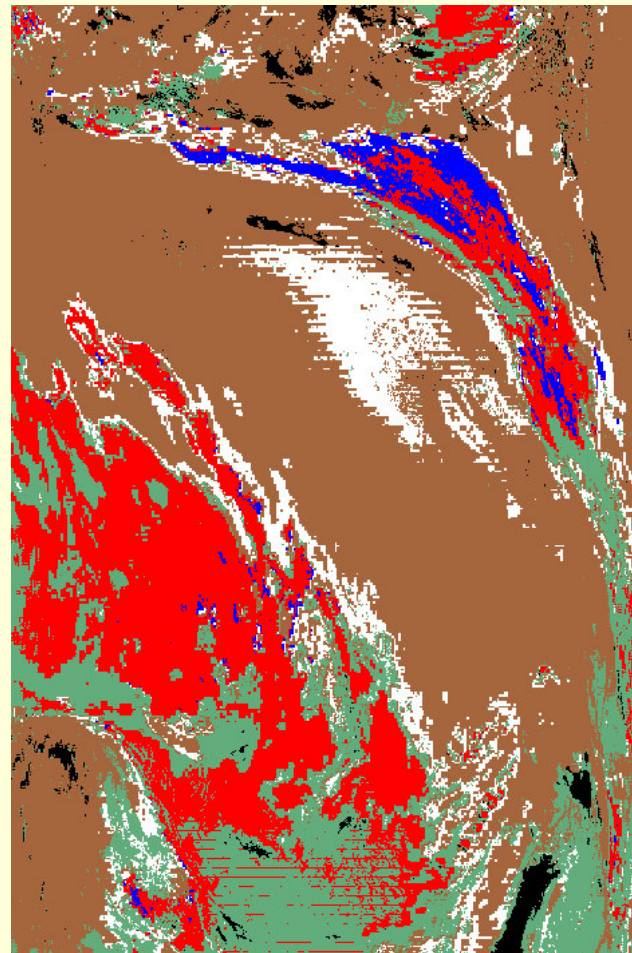
- Clear sky
- Water cloud
- Ice cloud
- Ice cloud contaminated by water (PW test)
- Ice cloud contaminated by water (PW, 900mb test)
- Water cloud contaminated by ice (phase test)
- All multilevel tests positive

multilevel/phase detection

MODIS Terra, Antarctic Ocean 11-20-2002



RGB composite (2.1, 1.6, 0.55 μm)



Multi-layer map

- Clear Sky
- Water Cloud
- Ice Cloud
- Ice Contaminated By Water (PW test)
- Water Contaminated By Ice (Phase Test)
- Both tests positive

Sun Glint & Heavy Aerosol Detection

J. Riédi, G. Wind, et al.

- Problem

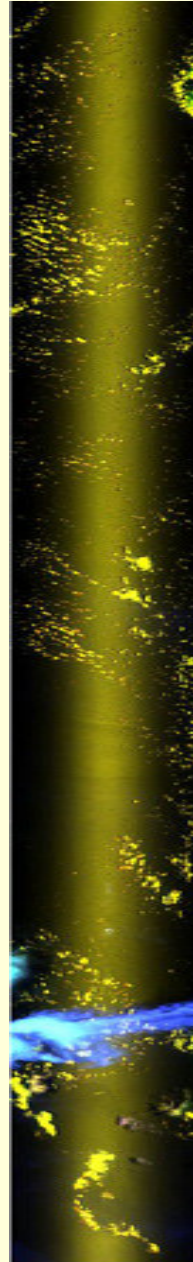
- Difficulty in discriminating heavy aerosol (e.g., dust outbreak) and sun glint from cloud in current version
- Dust aerosol → water cloud; Pollution aerosol → ice cloud

- Approach

- Combination of spatial variance tests and possibly spectral dependence tests (TBD)

sunlint detection

MAS, CRYSTAL-FACE
7-26-2002, track 3



R(1.61) G(0.66) B(1.87)

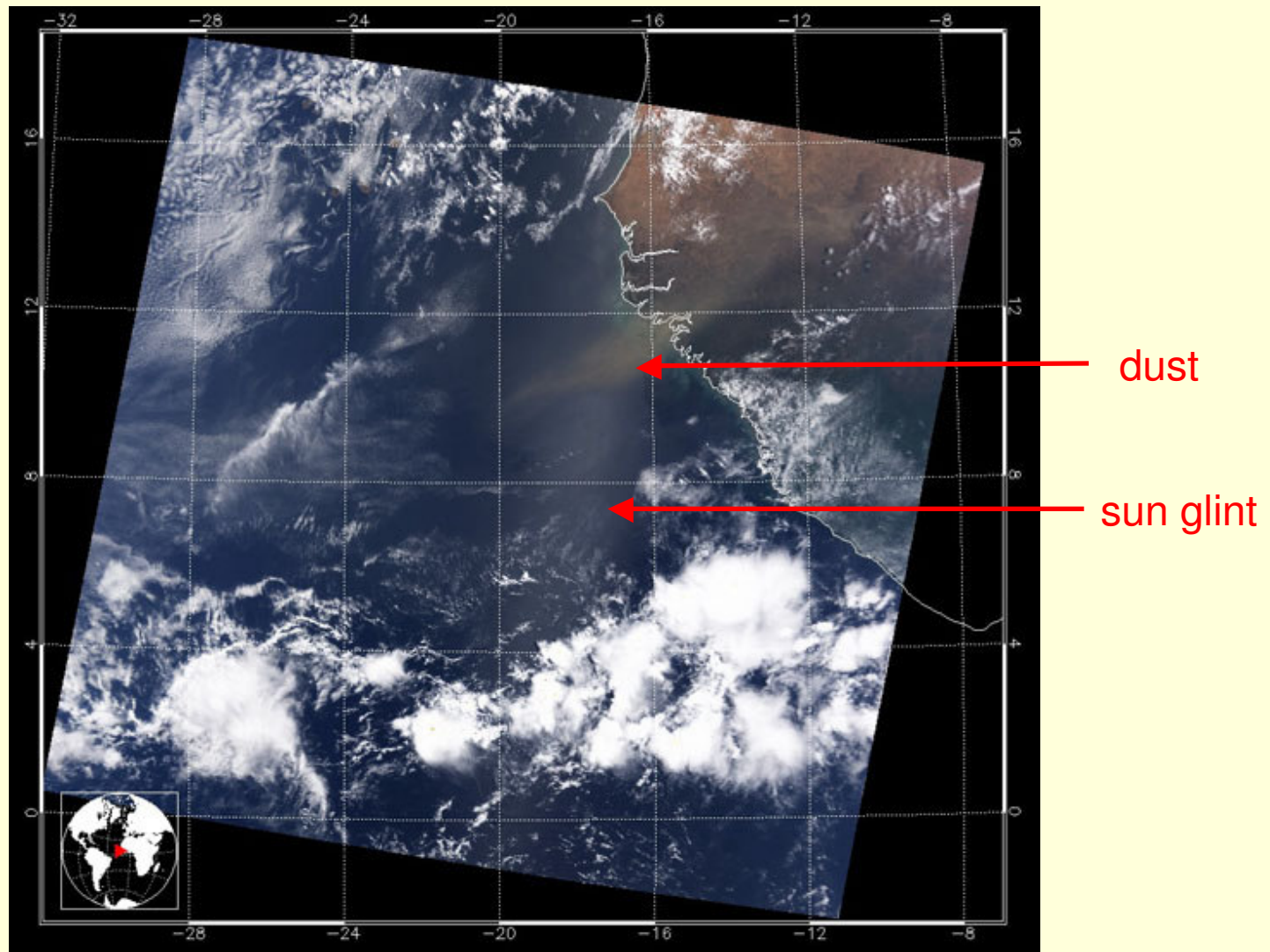
- Clear sky
- Water cloud
- Ice cloud
- Sunlint, no cloud
- Cloud edge detected

Figure 1: Sunlint detection results for the 10/10/02 track. The sunlint is detected as a yellow streak in the image.

Sunlint/phase map

Sun Glint & Heavy Aerosol Detection Example

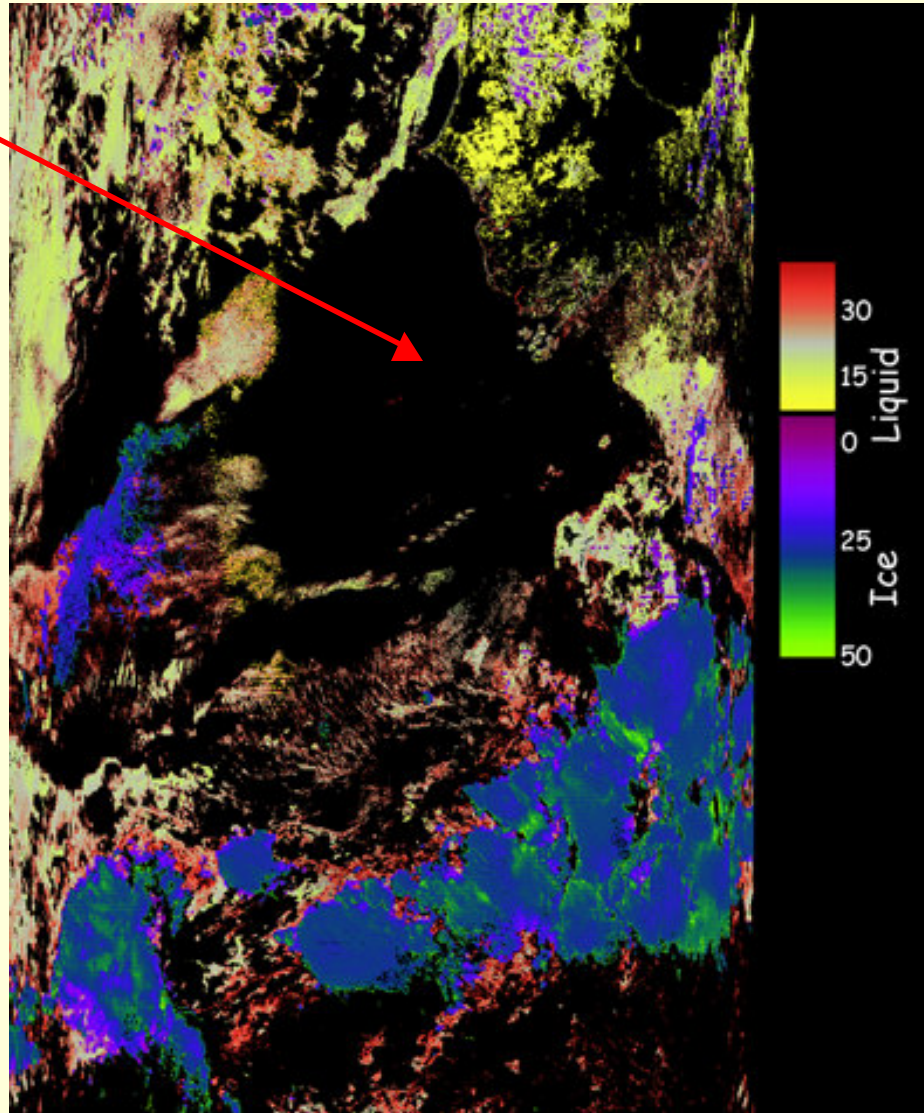
Terra, 8 May 2001, 1200 UTC, Saharan Dust



Sun Glint & Heavy Aerosol Detection Example

Terra, 8 May 2001, 1200 UTC, Saharan Dust

dust



*Global Analysis of MODIS Level-3 Cloud Properties and
their Sensitivity to Aggregation Strategies*
(data & analysis grant)

- **Investigators**

PI: Steve Platnick

Co-I's: Steve Ackerman (U. Wisconsin), Robert Pincus (NOAA-CIRES), Michael King, Bryan Baum (LaRC, U. Wisconsin CIMSS)

Collaborators: Lazaros Oreopoulos (JCET, UMBC), Jean-Jacques Morcrette (ECMWF)

Consequences of pixel-level errors?

- MODIS L3 aggregations provide statistics relevant to large-scale GCM domains. Therefore ...

Overarching science question:

To what extent do systematic pixel-level retrieval errors bias spatial/temporal aggregations?

An approach:

Since difficult to determine error as well as separate into random and bias components, what is the aggregation sensitivity to parameters expected to influence retrieval error (solar/viewing geometry w/segregation by cloud type, phase, surface, τ_c , r_e , ...)?

Research Approach

- Investigate global L3 distribution and correlations of various cloud products. Initial emphasis on hemispheric, land/ocean, tropical/midlatitude convective, marine stratocumulus regimes.
- Design/create research-level aggregation code (i.e., exist outside of production facility) w/capability of answering science questions.
- Analyze aggregation sensitivities by excluding various parts of geometry/retrieval space.
- Explore use of theoretical retrieval sensitivity calculations in weighting L2 data.
- Make a variety of L3 daily and monthly data sets available for use by researchers interested in MODIS cloud aggregations, including ECMWF (non-angular grid, reduced volume), UMBC (L. Oreopoulos), GMAO.

Extra Slides

MODIS Solar Reflectance Retrieval

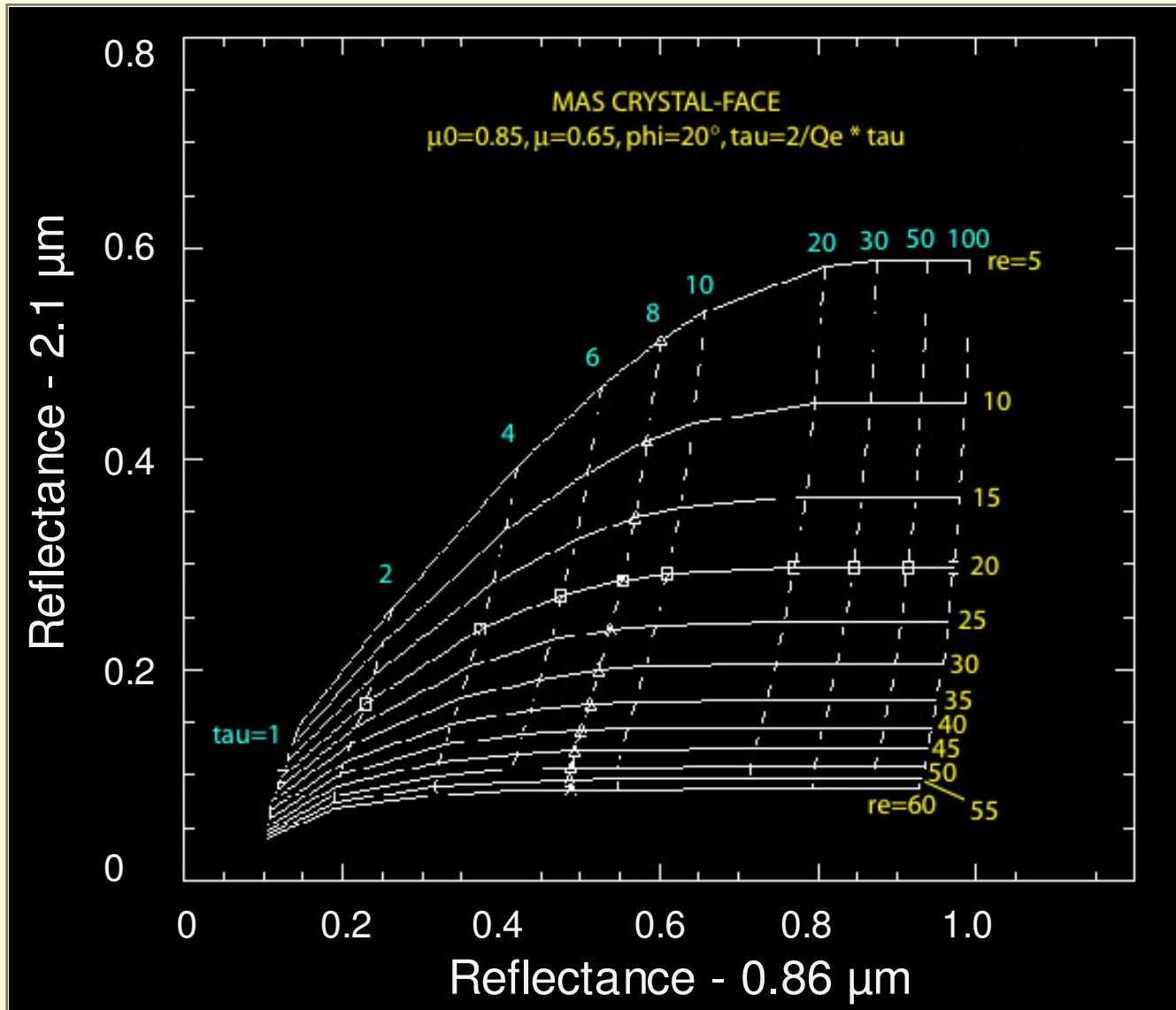
MOD06 - Cloud Optical & Microphysical Properties

Pixel-level cloud product for **daytime** observations at **1 km**

- Cloud optical thickness (τ), effective particle radius (r_e), water path, thermodynamic phase
- liquid water and ice clouds, global retrievals (land, water, snow/ice)
- Algorithm overview
 - Use single water non-absorbing band (0.65, 0.86, 1.2 μm) w/three absorbing bands (1.6, 2.1, 3.7 μm) => **1 τ , 3 r_e (*2.1 μm derived r_e is primary*)**.
 - Short-wavelength band choice: 0.65 μm (land), 0.86 μm (ocean), 1.2 μm (snow/ice)
 - Surface spectral albedo from MODIS ecosystem and albedo products
 - Retrieval gives homogeneous-equivalent cloud properties

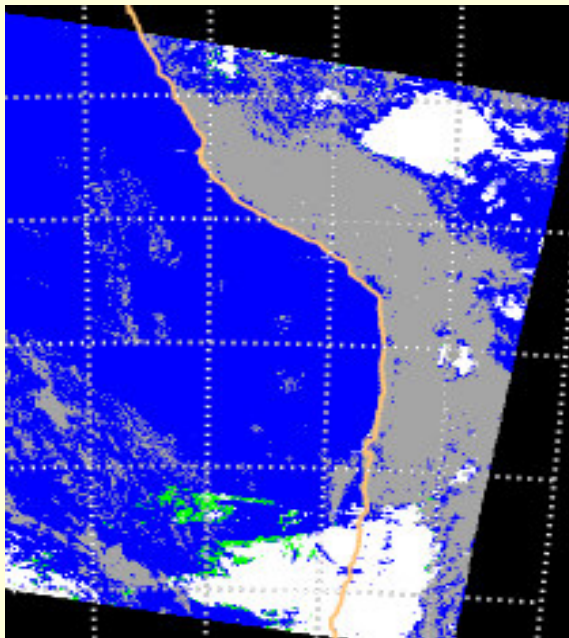
Solar Reflectance Method

retrieval space example - ice cloud over ocean surface

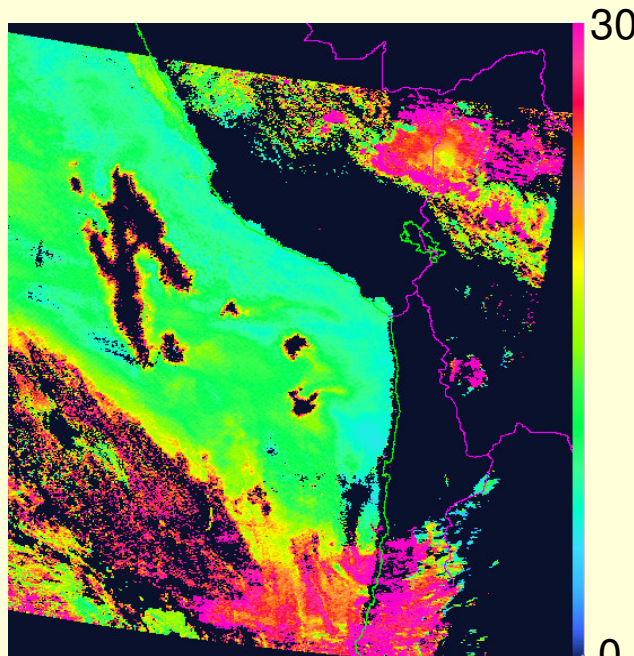


Pixel-level Uncertainty Analysis

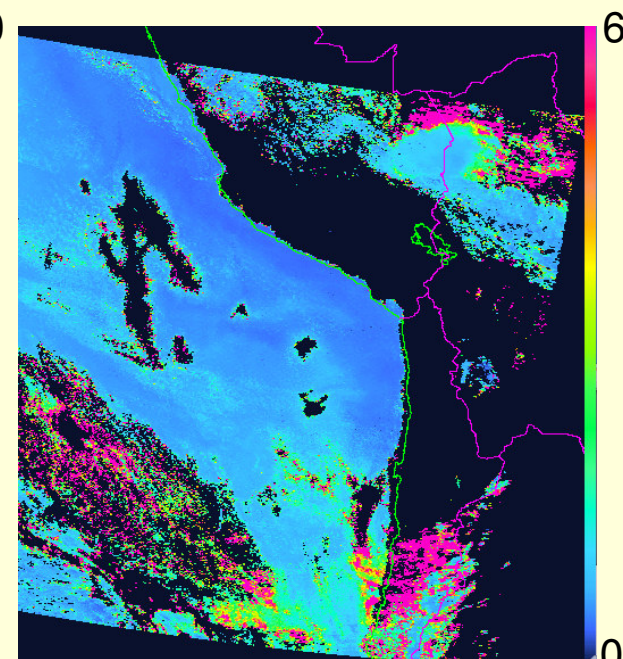
Peru granule (18 July 2001)



Phase (white=ice)



Effective radius (μm)

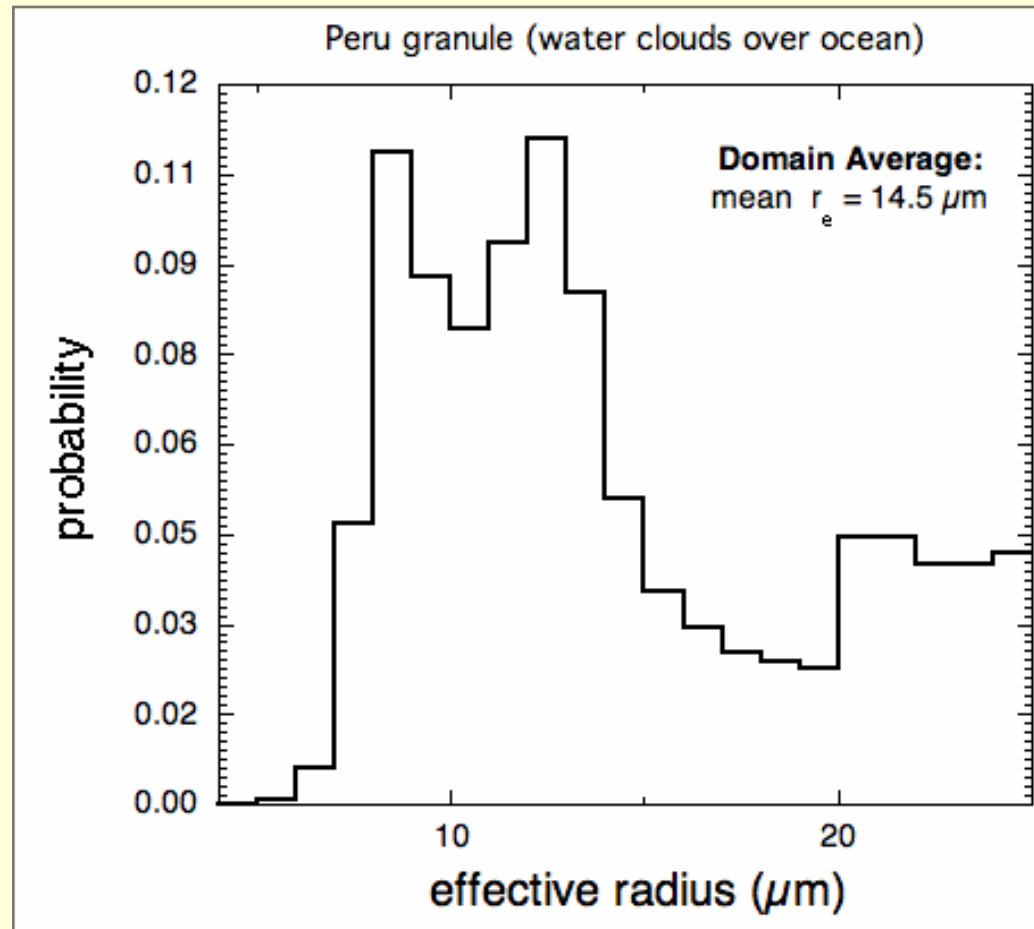


Effective radius
Uncertainty (μm)

Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

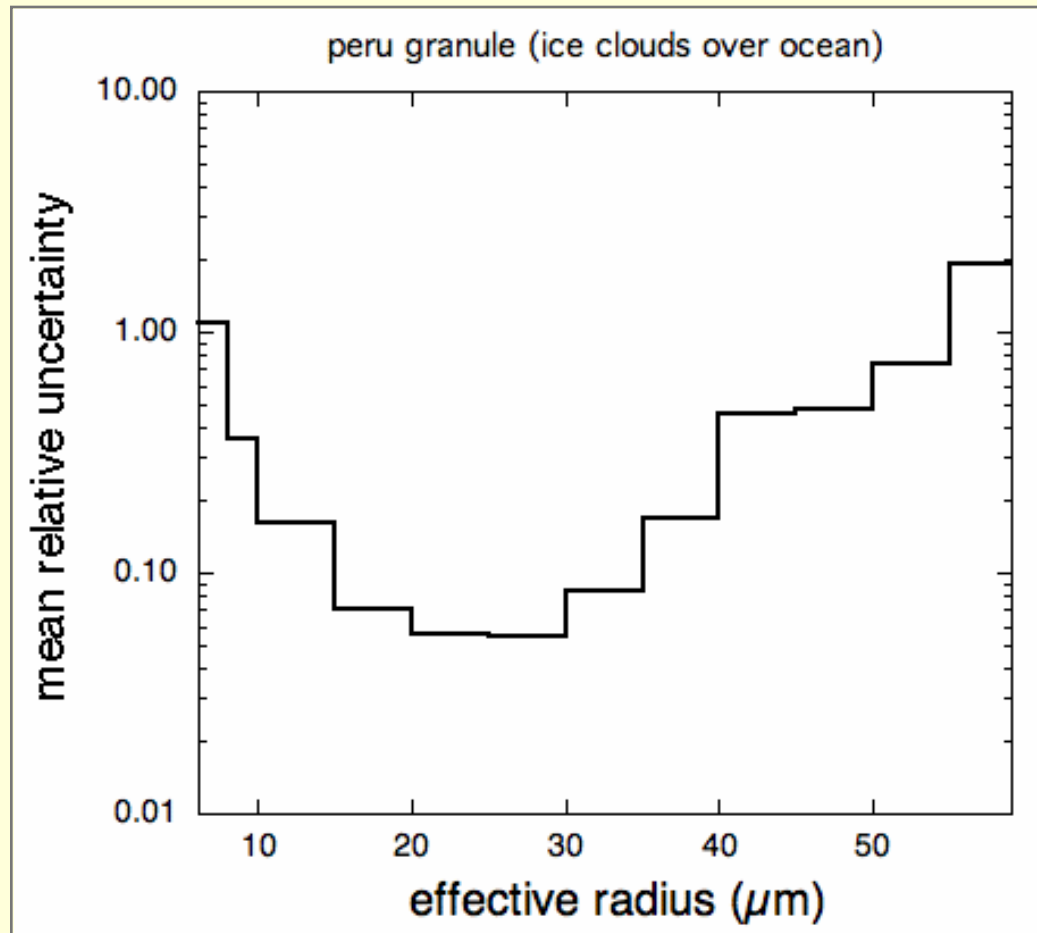
r_e : water clouds over ocean



Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

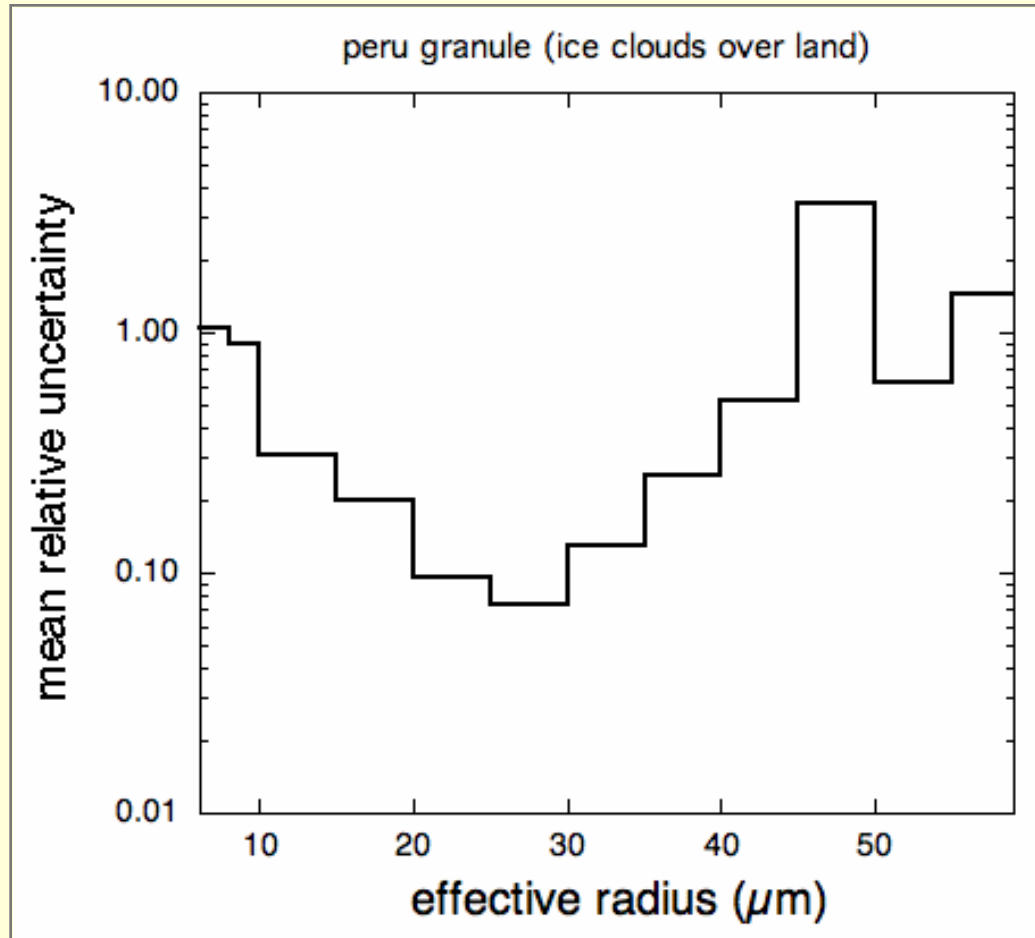
r_e : ice clouds over ocean



Pixel-level Uncertainty Analysis

Peru granule (18 July 2001)

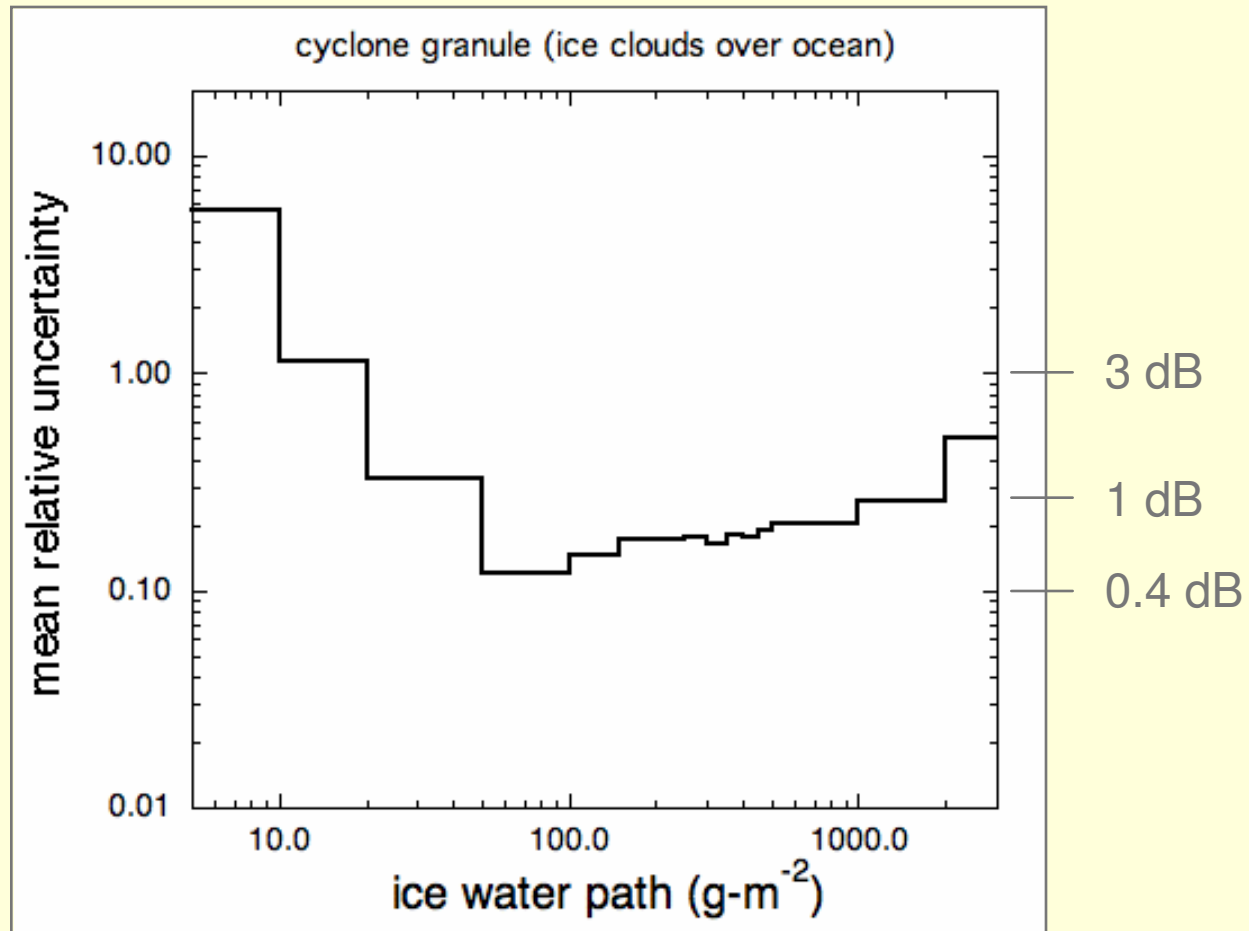
r_e : ice clouds over land



Pixel-level Uncertainty Analysis

Cyclone granule (20 Nov. 2002)

IWP : ice clouds



Cloud optical/microphysical properties from reflectance measurements - **Spherical Particles**

In general:

$$R_{\lambda} = R(\tau_{\lambda}, \omega_{0,\lambda}, g_{\lambda})$$

For Mie scattering (spheres, **water droplets**), 3 optical variables can be reduced to 1 optical & 1 microphysical:

$$R_{\lambda} \approx R(\tau_{\lambda_0}, r_e)$$

$$r_e \equiv \frac{\int_0^{\infty} r^3 n(r) dr}{\int_0^{\infty} r^2 n(r) dr} = \frac{3}{4} \frac{\langle V \rangle}{\langle A_{cs} \rangle}$$

Cloud optical/microphysical properties from reflectance measurements - Spherical Particles, cont.

r_e is a radiative parameter, but with certain assumptions, it can be used with τ to estimate column water mass/unit area (water path):

$$\tau \approx \Delta z \int_0^{\infty} 2A_{cs}(r) n(r) dr = 2\langle A_{cs} \rangle N \Delta z$$
$$WP = \Delta z \int_0^{\infty} \rho_w V(r) n(r) dr = \rho_w \langle V \rangle N \Delta z$$
$$WP = \frac{2\rho_w}{3} \tau r_e$$

Assumption: vertically homogenous cloud layer, i.e., $N, r_e \neq f(z)$

Cloud optical/microphysical properties from reflectance measurements - **Crystal/Irregular Particles**

In general:

$$R_{\lambda} = R(\tau_{\lambda}, \omega_{0,\lambda}, g_{\lambda})$$

3 optical variables can perhaps(?) be reduced to 1 optical & 2 microphysical:

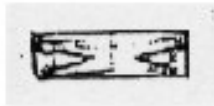
$$R_{\lambda} \approx R(\tau_{\lambda_0}, r_e, \text{Habit mixture})$$

$$\text{if } r_e \equiv \frac{3}{4} \frac{\langle V \rangle}{\langle A_{cs} \rangle} \Rightarrow WP = \frac{2\rho_i}{3} \tau r_e$$

MODIS operational (collection 4) ice crystal library habits/mixtures

(a) Ice crystal shapes frequently observed in cirrus clouds

hollow column



plate



bullet rosettes



aggregates



(b) MODIS/MAS cirrus cloud microphysical model

$D < 70 \mu\text{m}$:

50%



+ 25%



+ 25%

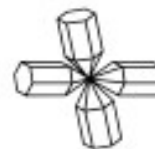


$D > 70 \mu\text{m}$:

30%



+ 30%



+ 20%

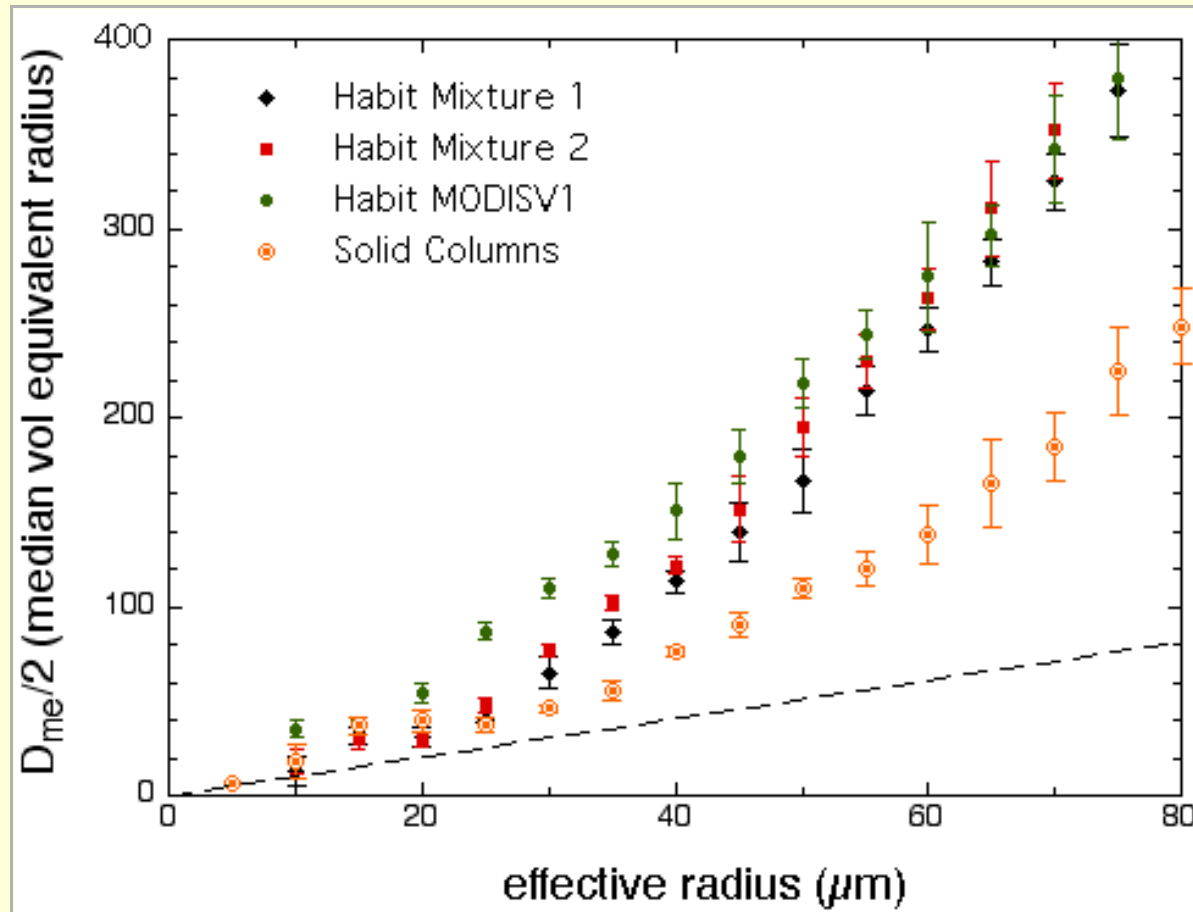


+ 20%



Example Pseudo-Empirical $r_e - D_{me}$ Relations

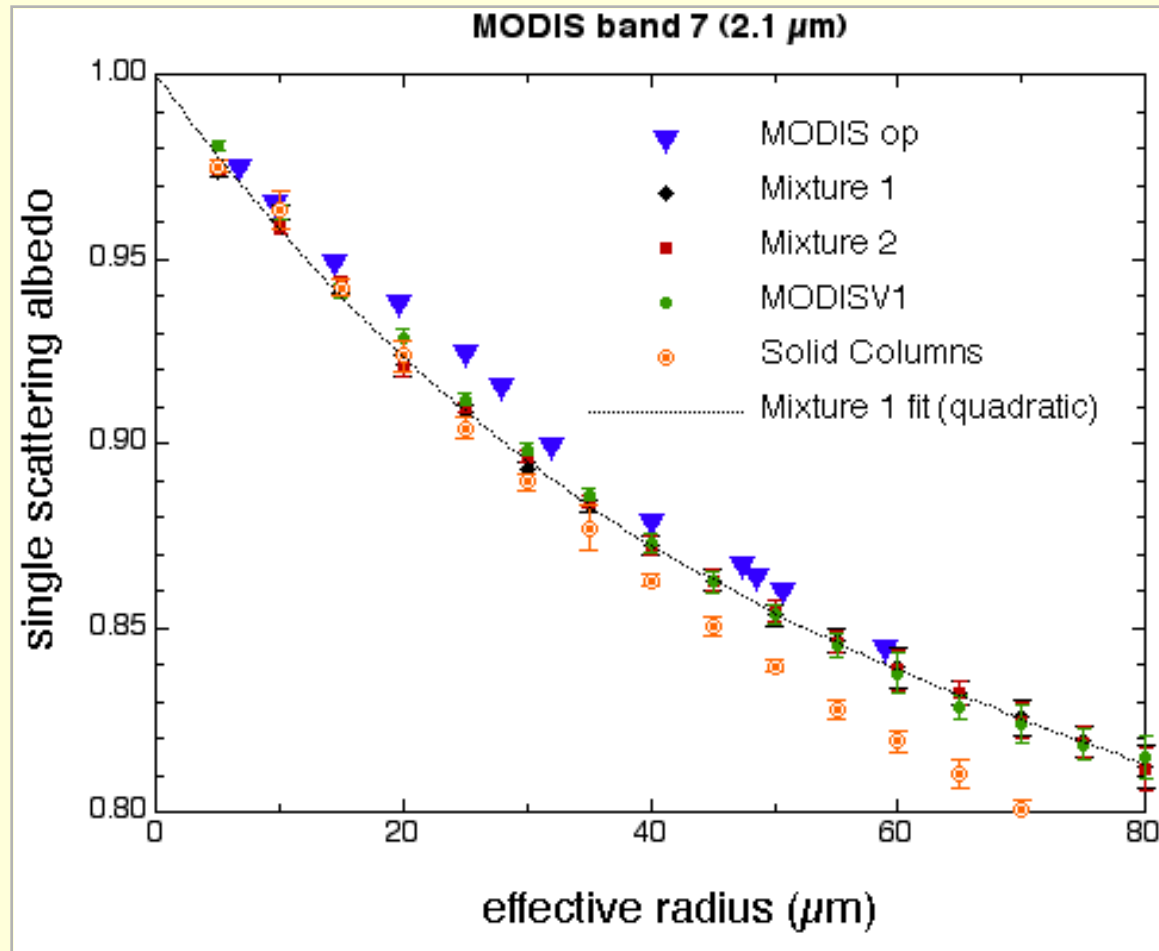
B. Baum, A. Heymsfield, P. Yang



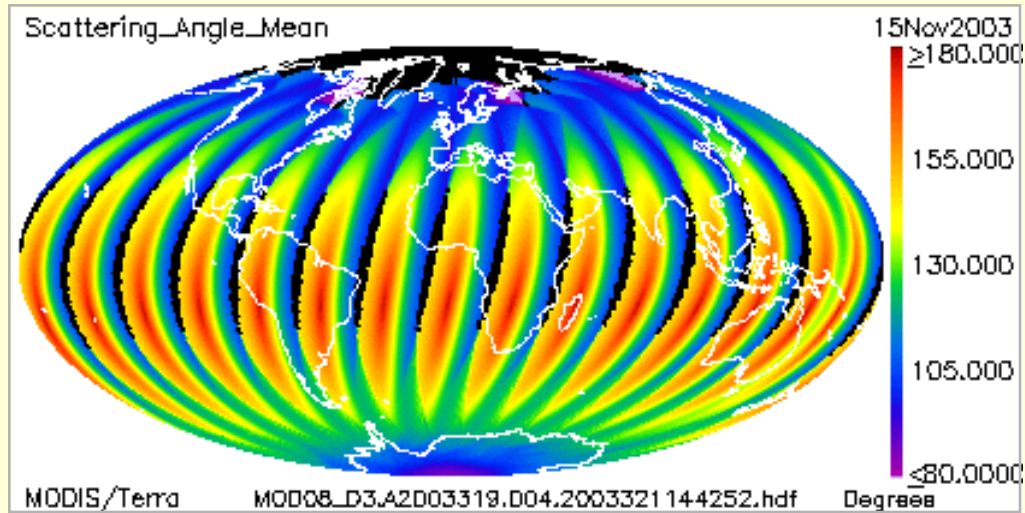
Note: *the tail can wag the D_{me}*

Sensitivity of Scattering Parameters to Habits/Mixture

B. Baum



Terra geometry (Nov. 15, 2003)



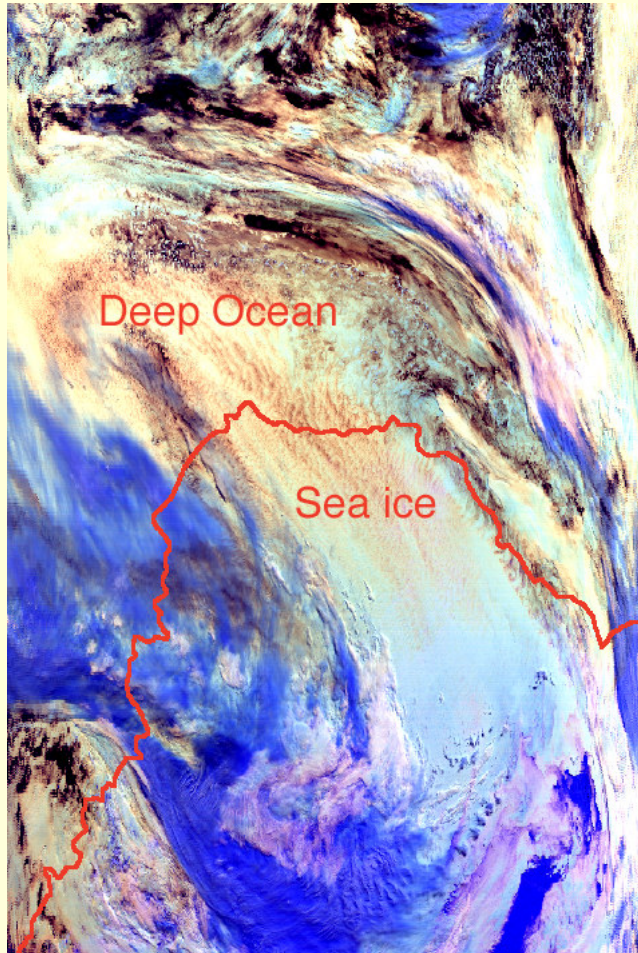
scattering angle (deg)

=> scan-dependent view angle
& azimuth, orbital-dependent
solar angle

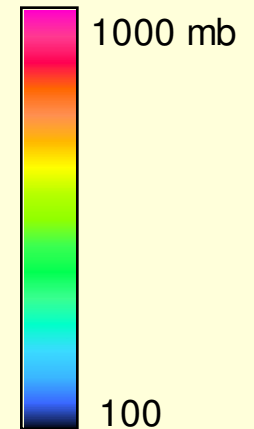
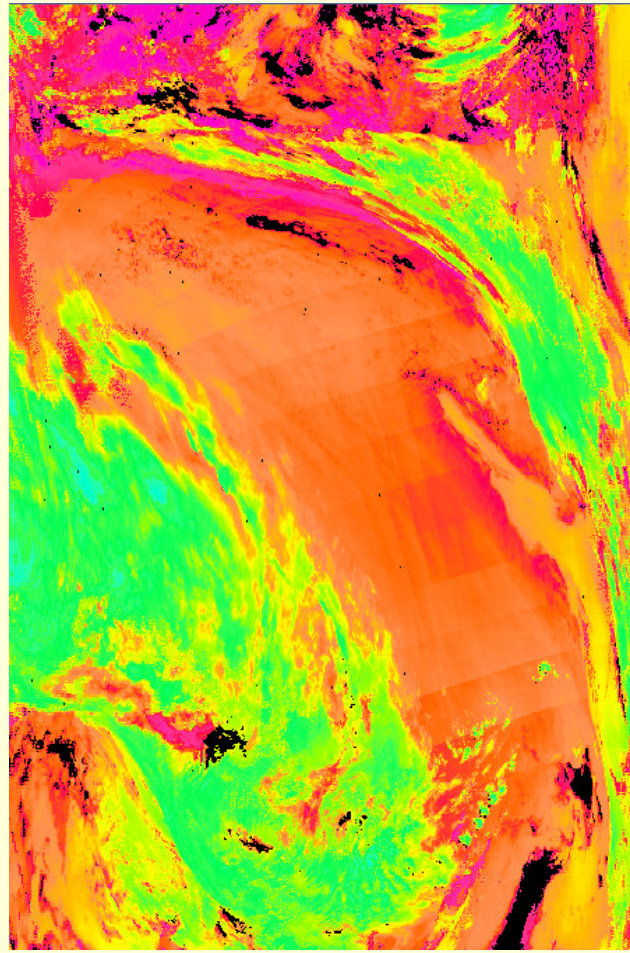
multilevel/phase detection, example

MODIS Terra, Antarctic Ocean 11-20-2002

RGB composite (2.1, 1.6, 0.55 μm)



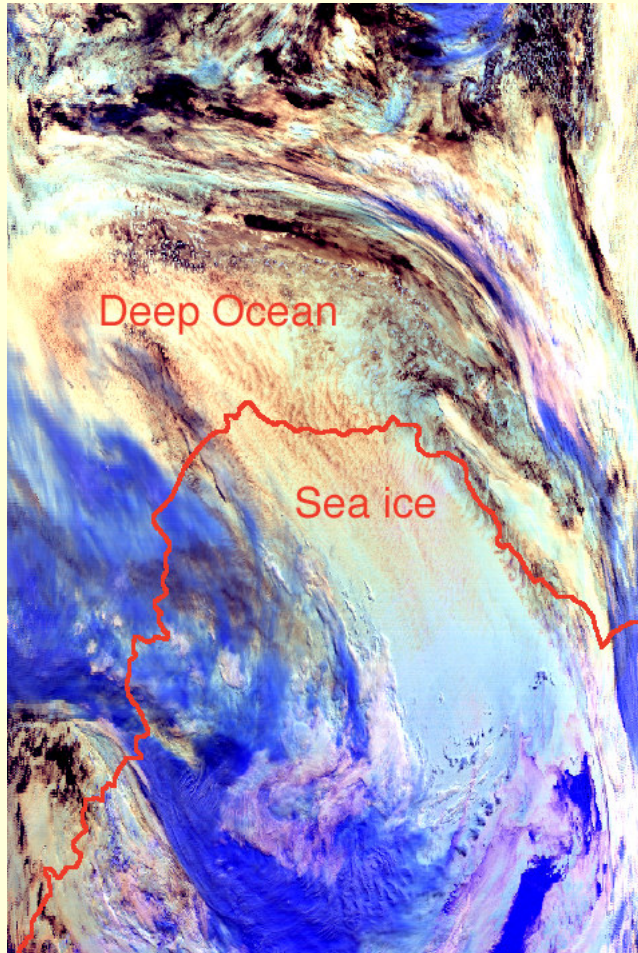
Cloud top pressure (CO2 slicing)



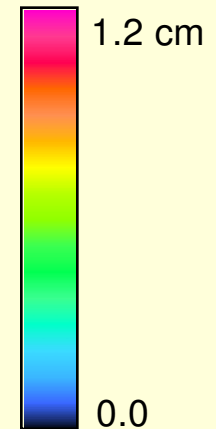
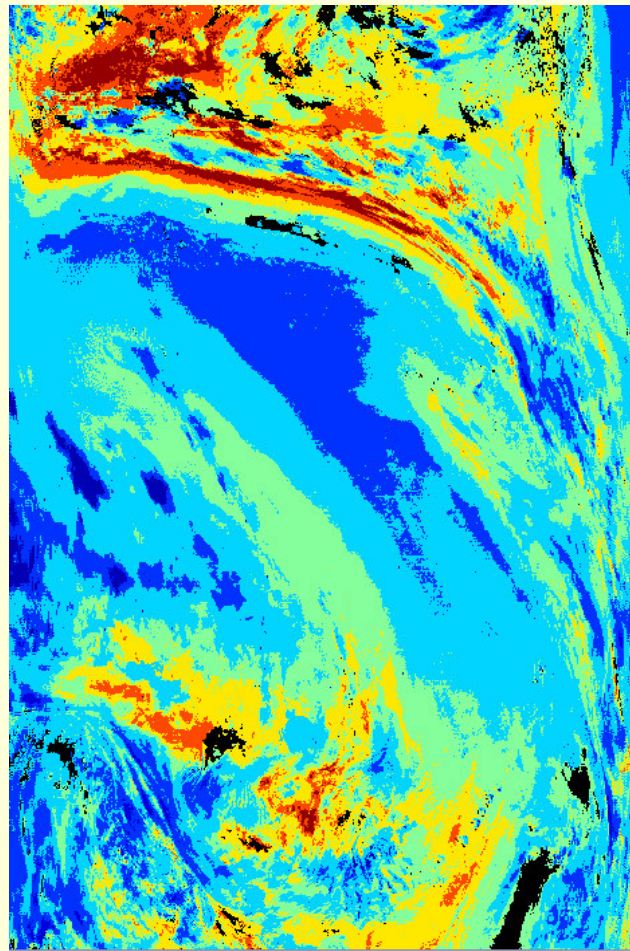
multilevel/phase detection, example

MODIS Terra, Antarctic Ocean 11-20-2002

RGB composite (2.1, 1.6, 0.55 μm)



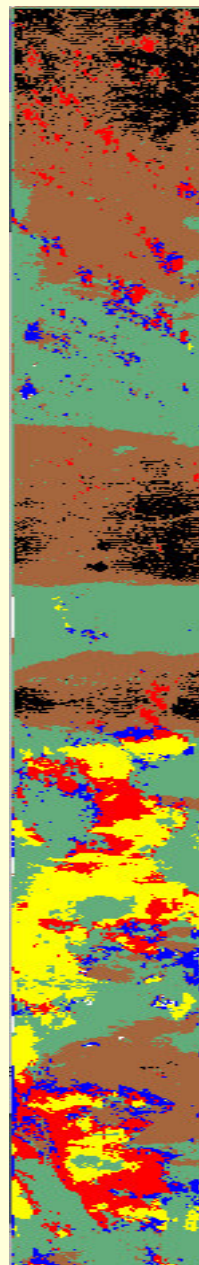
0.94 μm above-cloud PW



multilayer/phase
detection
MAS, CRYSTAL-
FACE 7-26-2002,
track 5



R(1.61) G(0.66) B(1.87)



Multi-layer map

- Clear sky
- Water cloud
- Ice cloud
- Ice cloud contaminated by water (PW test)
- Ice cloud contaminated by water (PW, 900mb test)
- Water cloud contaminated by ice (phase test)
- All multilevel tests positive

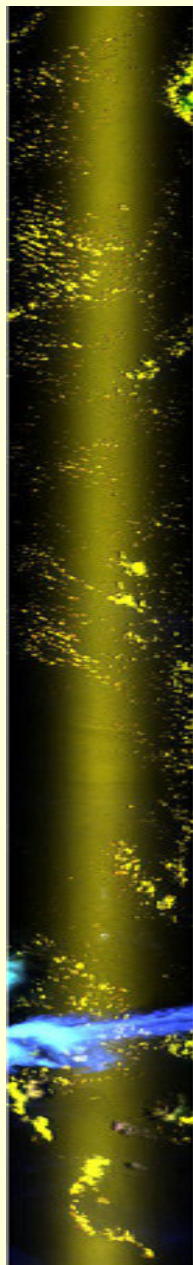


Cloud optical thickness



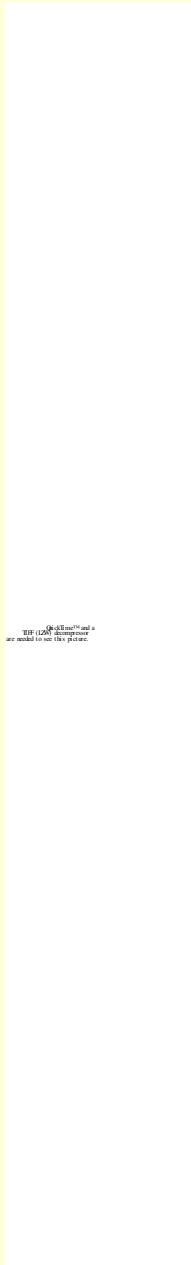
Effective particle radius (μm)

sunlint detection
MAS, CRYSTAL-
FACE 7-26-2002,
track 3



R(1.61) G(0.66) B(1.87) Sunlint/phase map

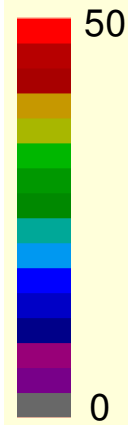
- Clear sky
- Water cloud
- Ice cloud
- Sunlint, no cloud
- Cloud edge detected, No retrieval



Cloud optical thickness

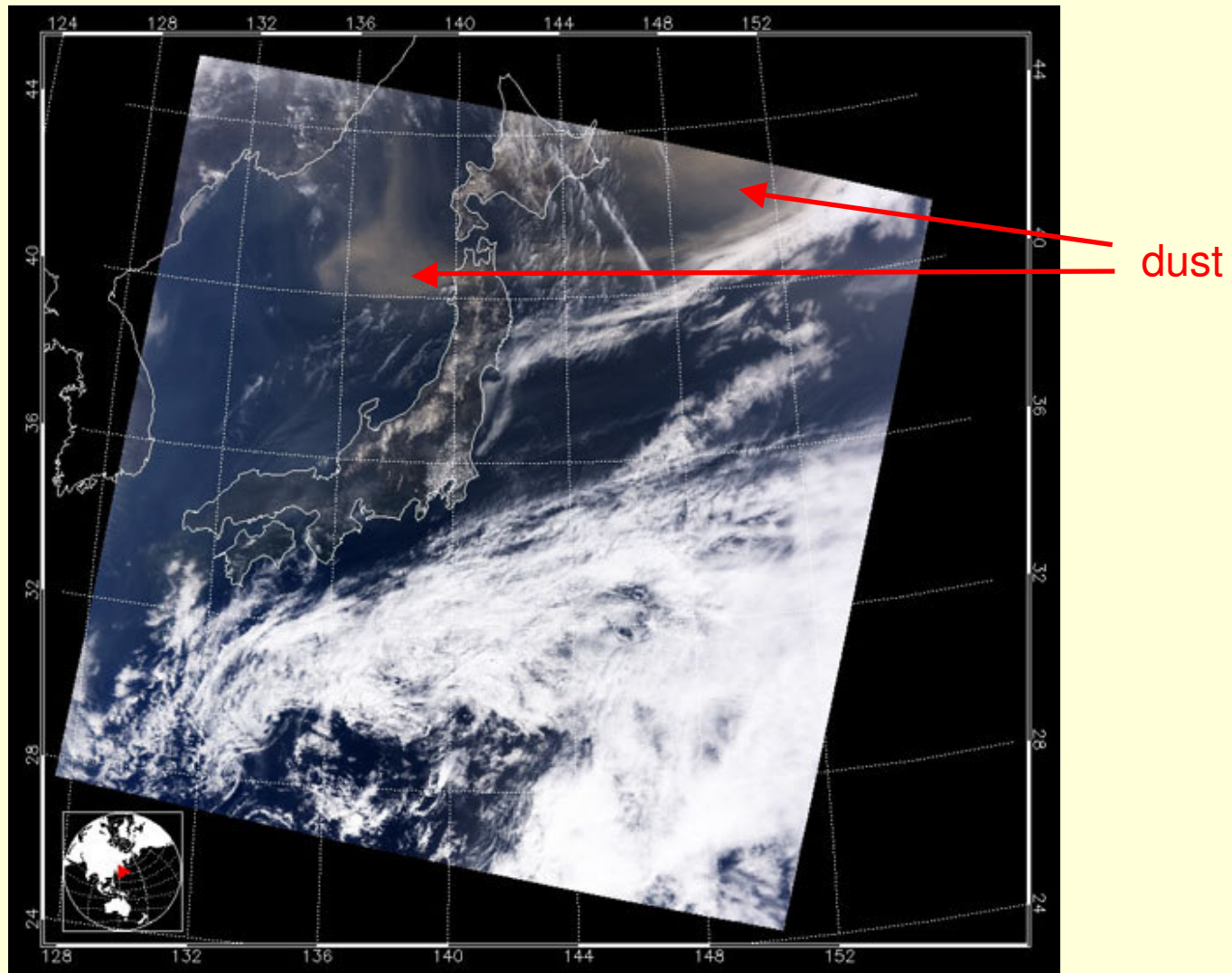


Effective particle radius (μm)



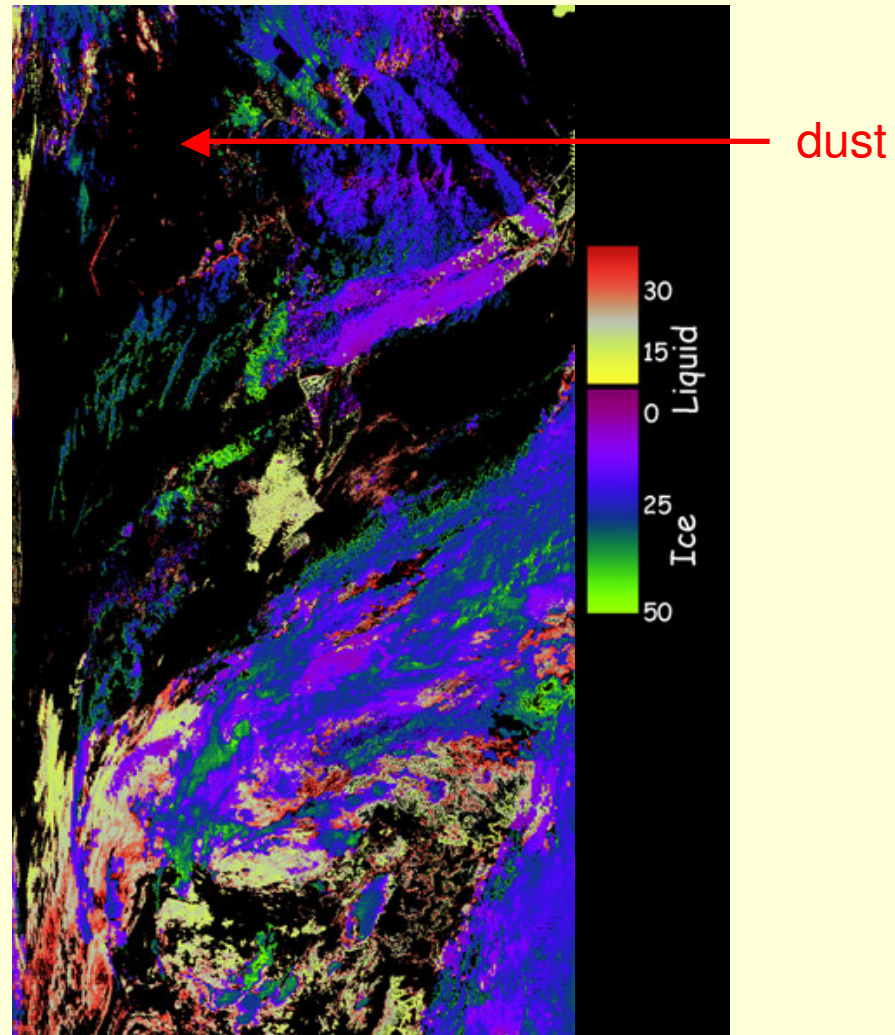
Sun Glint & Heavy Aerosol Detection Example

Terra, 10 April 2001, 1200 UTC, Asian Dust & Pollution



Sun Glint & Heavy Aerosol Detection Example

Terra, 10 April 2001, 1200 UTC, Asian Dust & Pollution



MODIS Atmosphere Level-3 Aggregation Summary

- 1° grid spatial; daily, 8-day, monthly temporal – all atmosphere products
- Statistics (mean, sdev, min, max, QA-weighting)
- Histograms (pdf's): 1-D and 2-D

2-D cloud parameter combinations (collection 4):

parameter	τ_c	r_e	T_c	ϵ_c
τ_c		X	X	X
r_e			X	X
ρ_c				X

- L3 code designed to aggregate L2 data sets only (monthly file contains ~ 800 statistical data sets). **For maintenance (sanity) reasons, code not capable of mathematical or logical manipulation of L2 data!**

Science Questions

- To what extent do aggregations show significant differences and/or correlations by hemisphere, land/ocean, regionally (e.g., tropical convection vs. midlatitude ice clouds; marine stratocumulus regimes)?
- Are aggregations sensitive to the geometry/retrieval space (due to 3-D geometry, pixel-level retrieval sensitivity, etc.)? How do aggregations change by elimination of certain parts of the space (e.g, exclude view angles regions, backscatter azimuth, etc.)? To what extent can changes be equated with bias “error”?
- Can pixel-based retrieval sensitivity/error calculations (include geometry and retrieval solution dependence) be used to weight L2 retrievals to reduce bias error?
- Are other grids or statistics more useful for forecast/climate model evaluation and diagnosis?