

# Characterization of aerosols using airborne lidar and MODIS

**Richard Ferrare<sup>(1)</sup>, Edward Browell<sup>(1)</sup>, Syed Ismail<sup>(1)</sup>, Yoram Kaufman<sup>(2)</sup>, Mian Chin<sup>(2)</sup>, John Hair<sup>(1)</sup>, Carolyn Butler<sup>(1,3)</sup>, Vince Brackett<sup>(1,3)</sup>, Marta Fenn<sup>(1,3)</sup>, Anthony Notari<sup>(1,3)</sup>, Susan Kooi<sup>(1,3)</sup>, Marian Clayton<sup>(1,3)</sup>, Phil Russell<sup>(4)</sup>, Jens Redemann<sup>(4,5)</sup>, John Livingston<sup>(4,6)</sup>, Beat Schmid<sup>(4,5)</sup>, Gao Chen<sup>(1)</sup>, Antony Clarke<sup>(7)</sup>, Jean Francois Léon<sup>(8)</sup>**

*(1)Atmospheric Sciences Research, NASA Langley Research Center,  
MS 401A, Hampton, Virginia, 23681,USA*

*(2)Climate and Radiation Branch, NASA Goddard Space Flight Center,  
Code 613.2, Greenbelt, Maryland, 20771,USA*

*(3) Science Application International Corporation, Hampton,  
NASA Langley Research Center, MS 927, VA 23666 USA*

*(4) NASA Ames Research Center, Moffett Field, CA*

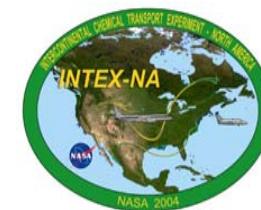
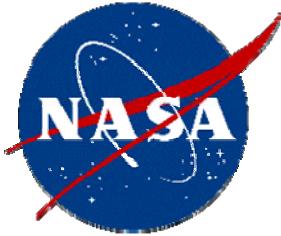
*(5) Bay Area Environmental Research Institute, Sonoma, CA*

*(6) SRI International, Menlo Park, CA*

*(7)University of Hawaii, Honolulu, HI*

*(8) Laboratoire d'Optique Atmosphérique, Lille, France*

**MODIS Atmospheres Meeting, January 5, 2006**



# Outline

---

- Objectives
- Airborne Lidar Aerosol Measurements
- Lidar + MODIS retrievals
- GOCART model evaluation
- Summary and Future

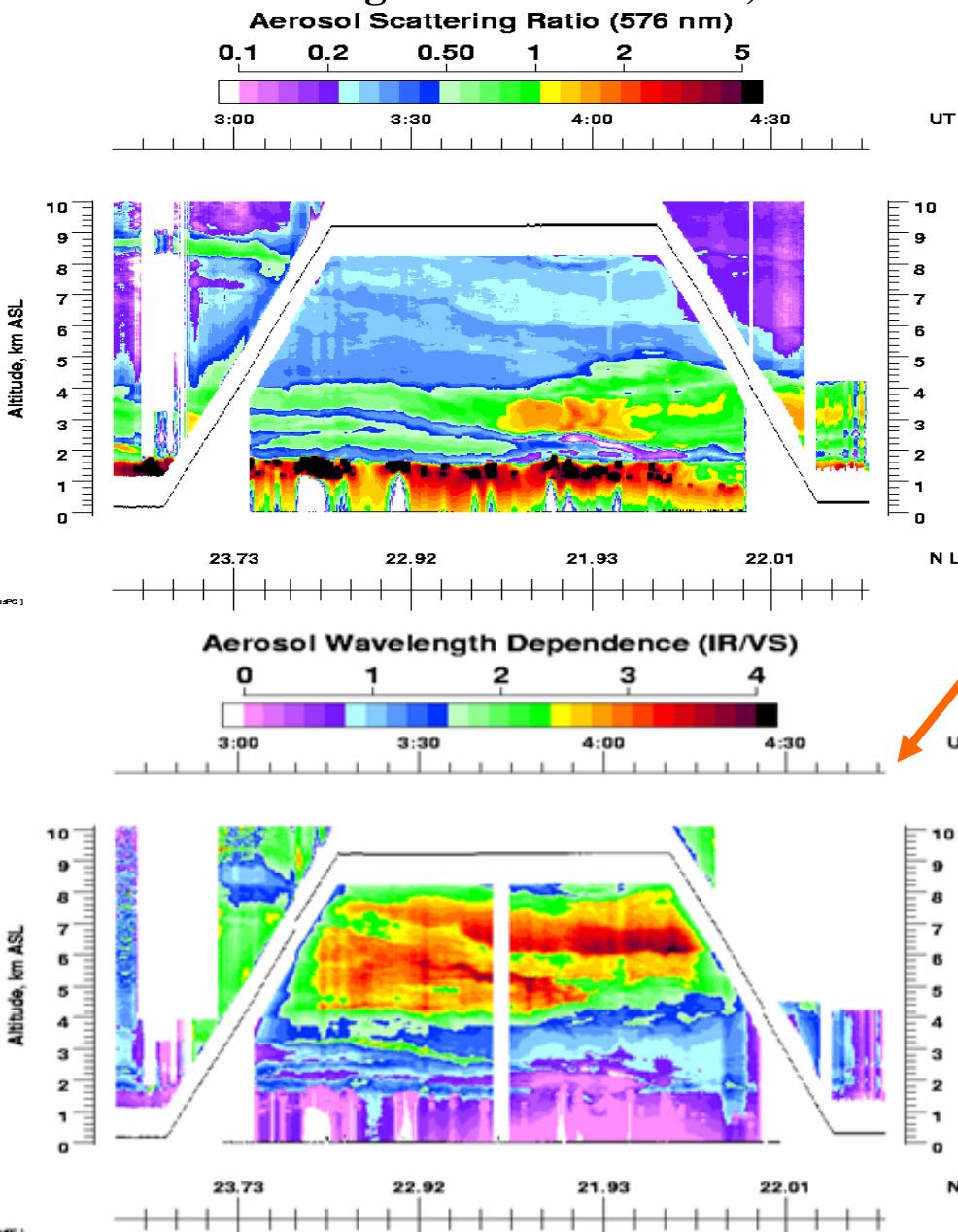
# Objectives

---

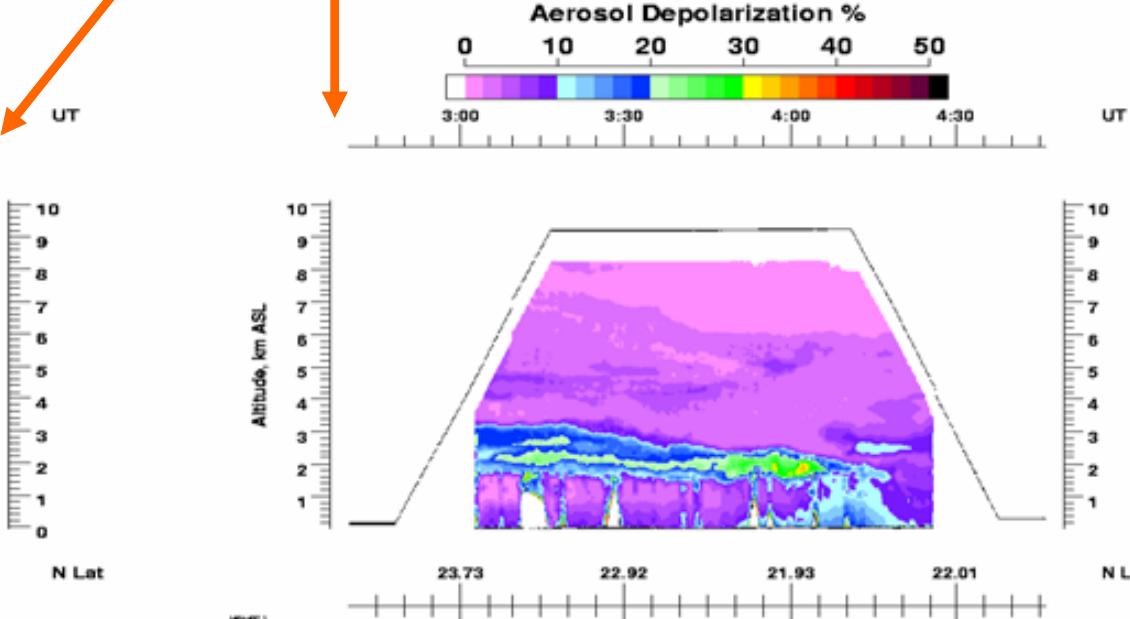
- Retrieve aerosol extinction and optical thickness profiles from lidar data
- Use combination of airborne lidar and MODIS to provide information regarding the vertical distribution of aerosol properties (size, fine mode fraction)
- Identify aerosol types vs. altitude
- Evaluate ability of GOCART model to simulate aerosol extinction profiles and aerosol type

# NASA Langley Airborne UV DIAL Measurements

TRACE-P Flight 14 March 23-24, 2001



- Simultaneous Nadir and Zenith Ozone & Aerosol Profiling
- Deployed on NASA DC-8 for TRACE-P (2001), INTEX NA (2004)
- Aerosol extensive parameters (300, 576, 1064 nm)
  - aerosol scattering ratio
  - backscatter
  - extinction
- Aerosol intensive parameters
  - backscatter wavelength dependence
  - depolarization



# Aerosol Profile Retrievals

- Problem - Backscatter lidar equation (1 equation with 2 unknowns)

The diagram illustrates the backscatter lidar equation:

$$P(r) = \frac{C}{r^2} [\beta_p(r) + \beta_m(r)] \exp \left\{ -2 \int_0^r [\sigma_m(r') + \sigma_p(r')] dr' \right\}$$

Inputs (Known):

- Measured Signal
- Range from Instrument
- Calibration Constant
- Molecular Backscatter Coefficient
- Molecular Extinction Coefficient

Outputs (Retrieved Parameters):

- Particulate Backscatter Coefficient
- Particulate Extinction Coefficient

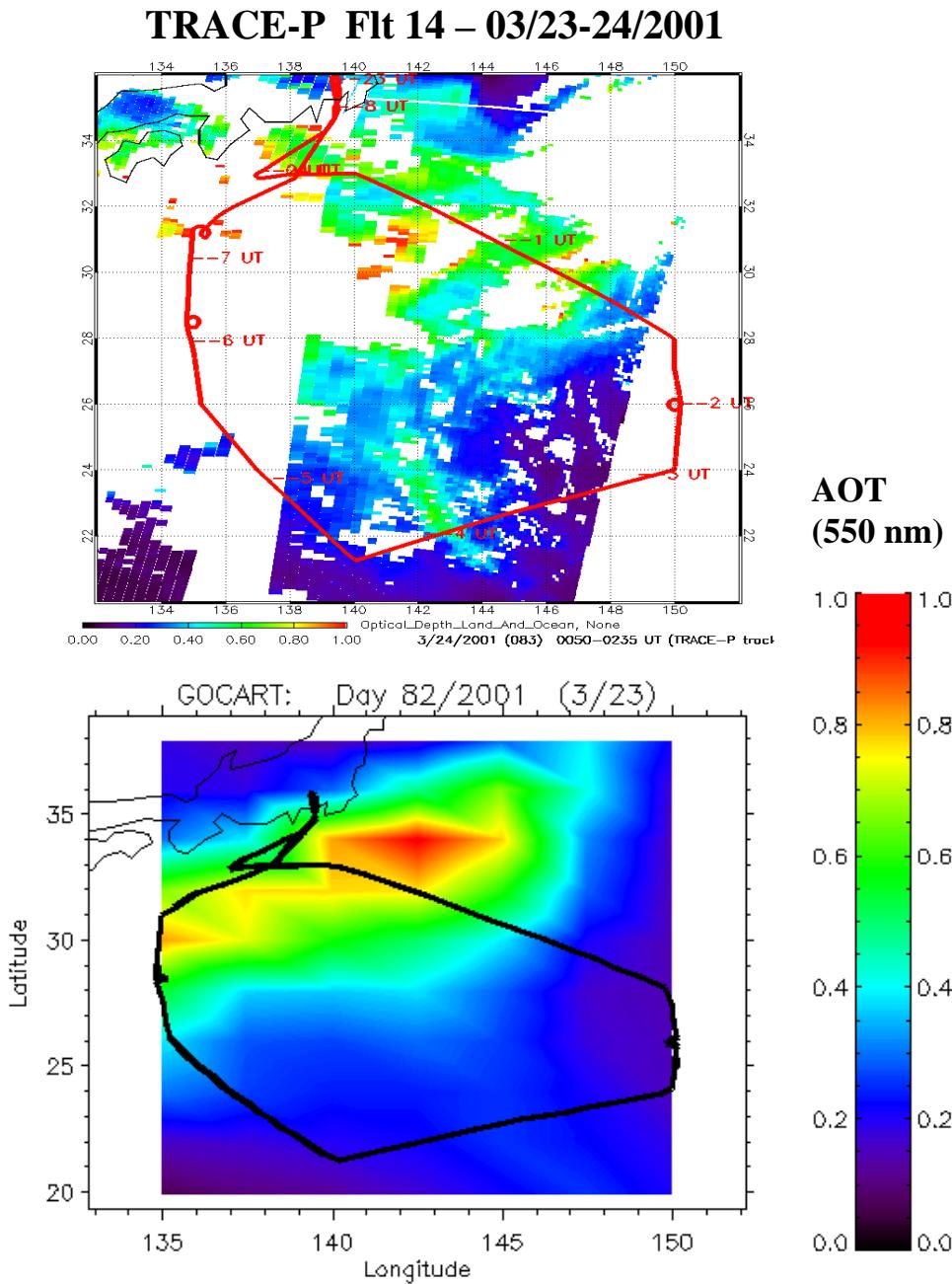
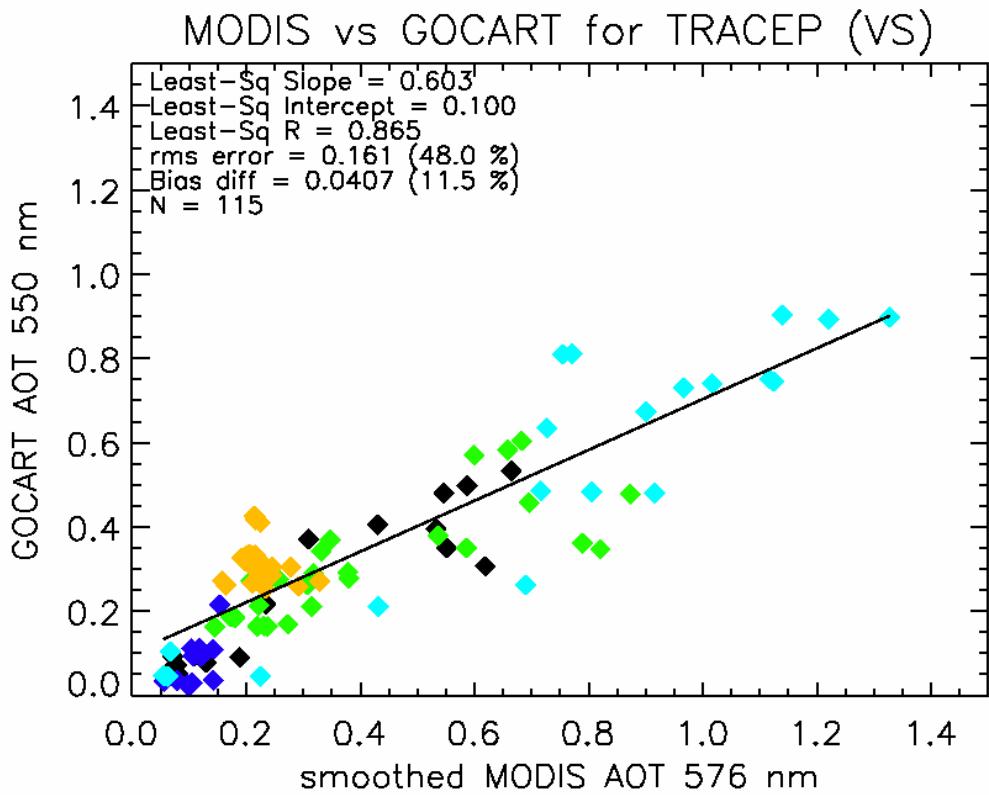
A blue box labeled "Known" contains the text: "Determined from measured signals and meteorological data". A red box labeled "Retrieved Parameters" contains the text: "Assumption of value for extinction-to-backscatter ( $S_p$ ) ratio required for backscatter lidar retrieval".

"Lidar Ratio" =  $\frac{\sigma_p(r)}{\beta_p(r)} = S_p$  ← Assumption of value for extinction-to-backscatter ( $S_p$ ) ratio required for backscatter lidar retrieval

- Solution – we use aerosol optical thickness (e.g. total aerosol transmission) derived from MODIS and/or model (e.g. GOCART) to constrain solution and derive average lidar ratio

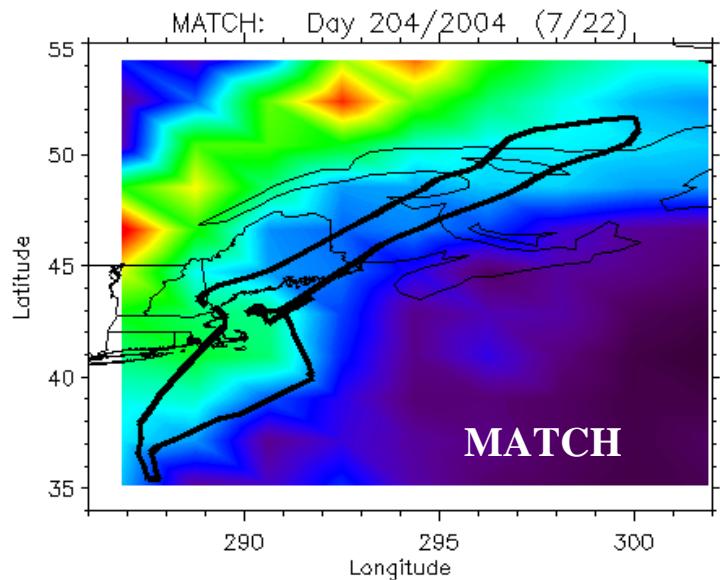
# MODIS and GOCART AOT – TRACE-P

- Since DC-8 flights occurred over areas not measured by MODIS, we require other ways to estimate AOT over flight tracks
- For TRACE-P, we used GOCART simulations of AOT that have been adjusted according to least-squares fit between GOCART and MODIS AOT

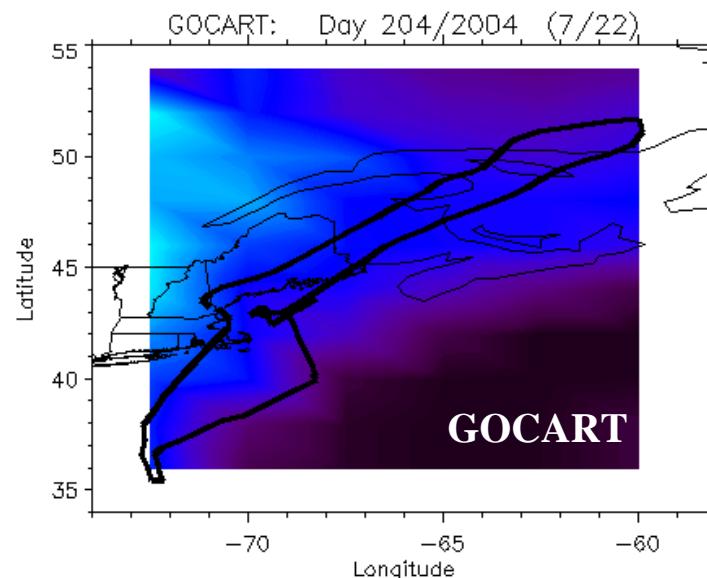
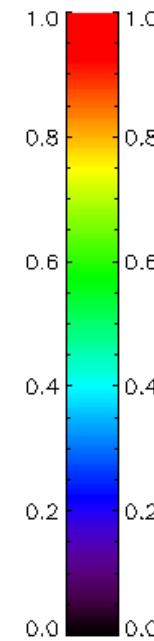


# MODIS and MATCH AOT – INTEX NA

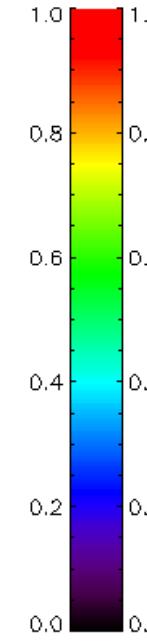
- Since DC-8 flights occurred over areas not measured by MODIS, we require other ways to estimate AOT over flight tracks
- For INTEX-NA, we have initially used MATCH simulations of AOT provided by NCAR for CERES
- MATCH assimilates MODIS AOT



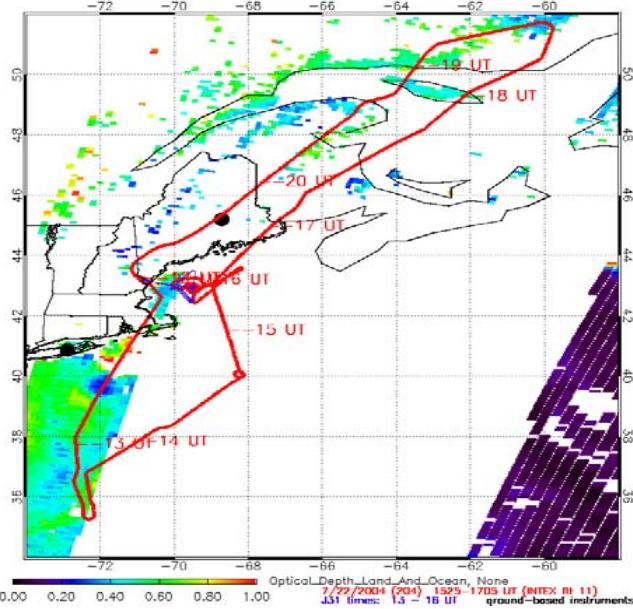
AOT  
(550 nm)



AOT  
(550 nm)

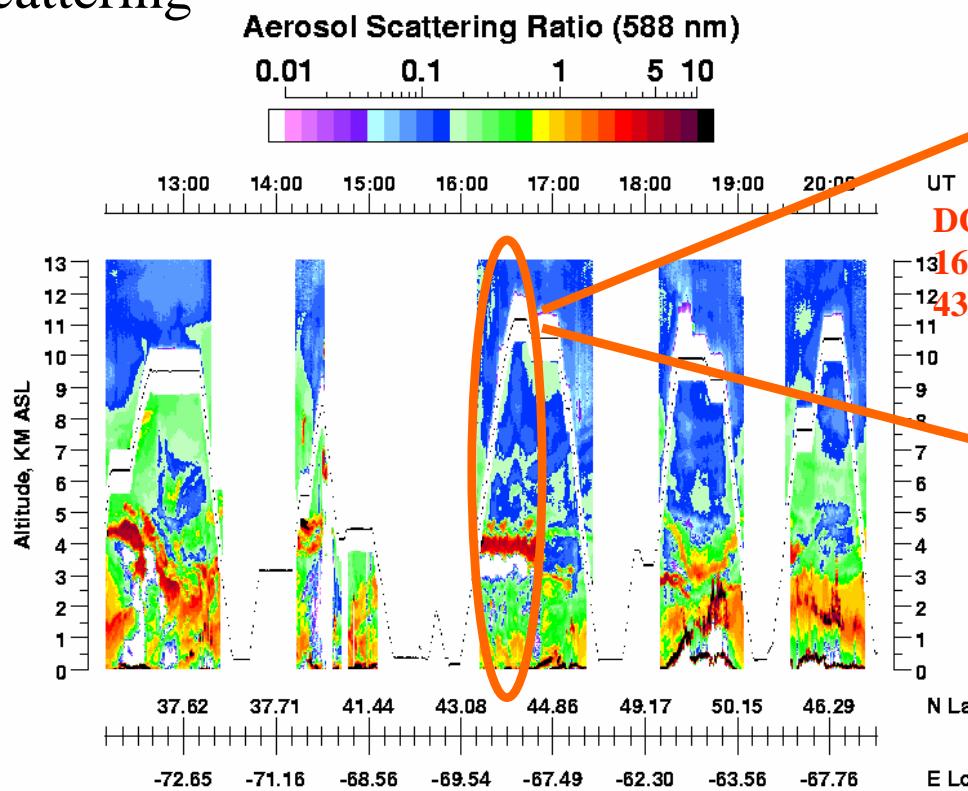


INTEX-NA Flt 11 – 07/22/2004

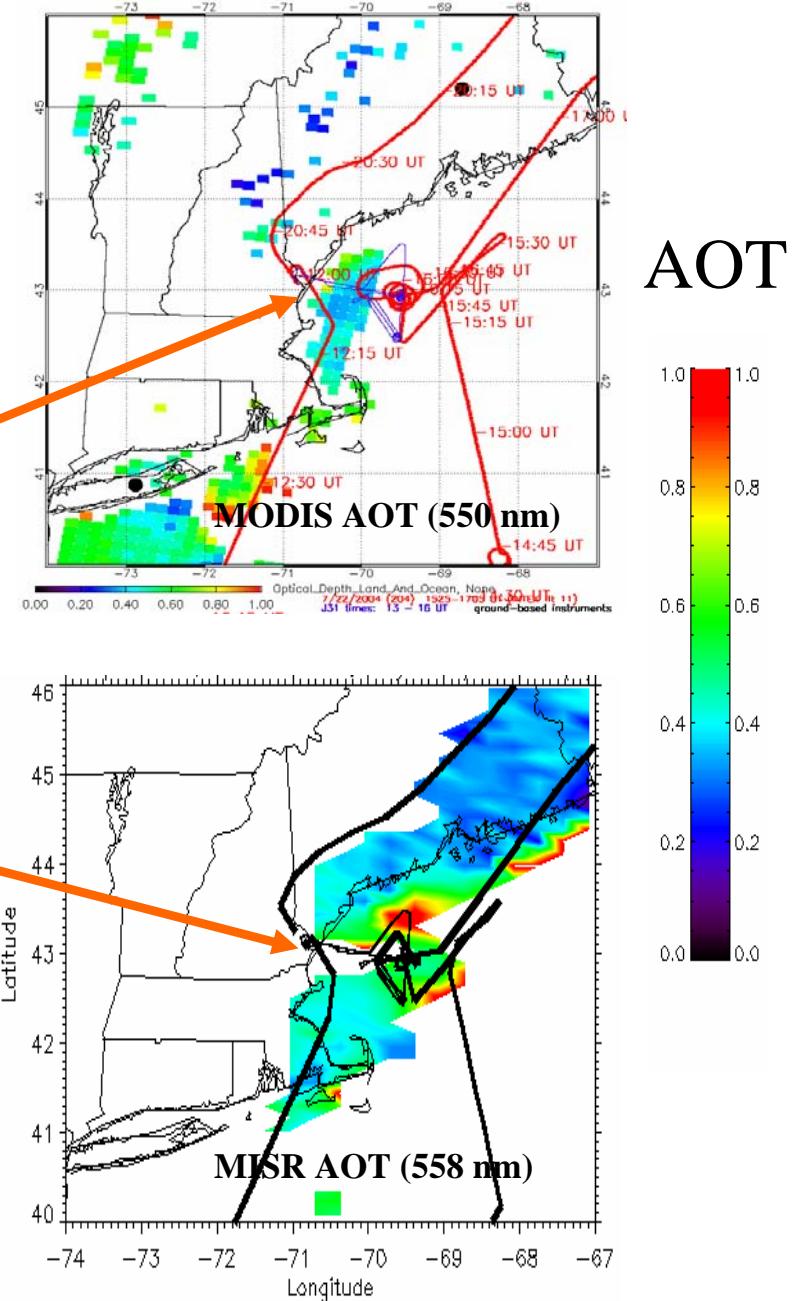


# Aerosol Profile Retrieval – July 22, 2004

- MODIS and MISR Aerosol Optical Thickness (AOT)
- Terra Overpass at 15:30 UT on July 22, 2004 – DC-8 Flight 11
- Aerosol scattering ratio - (aerosol/molecular) scattering

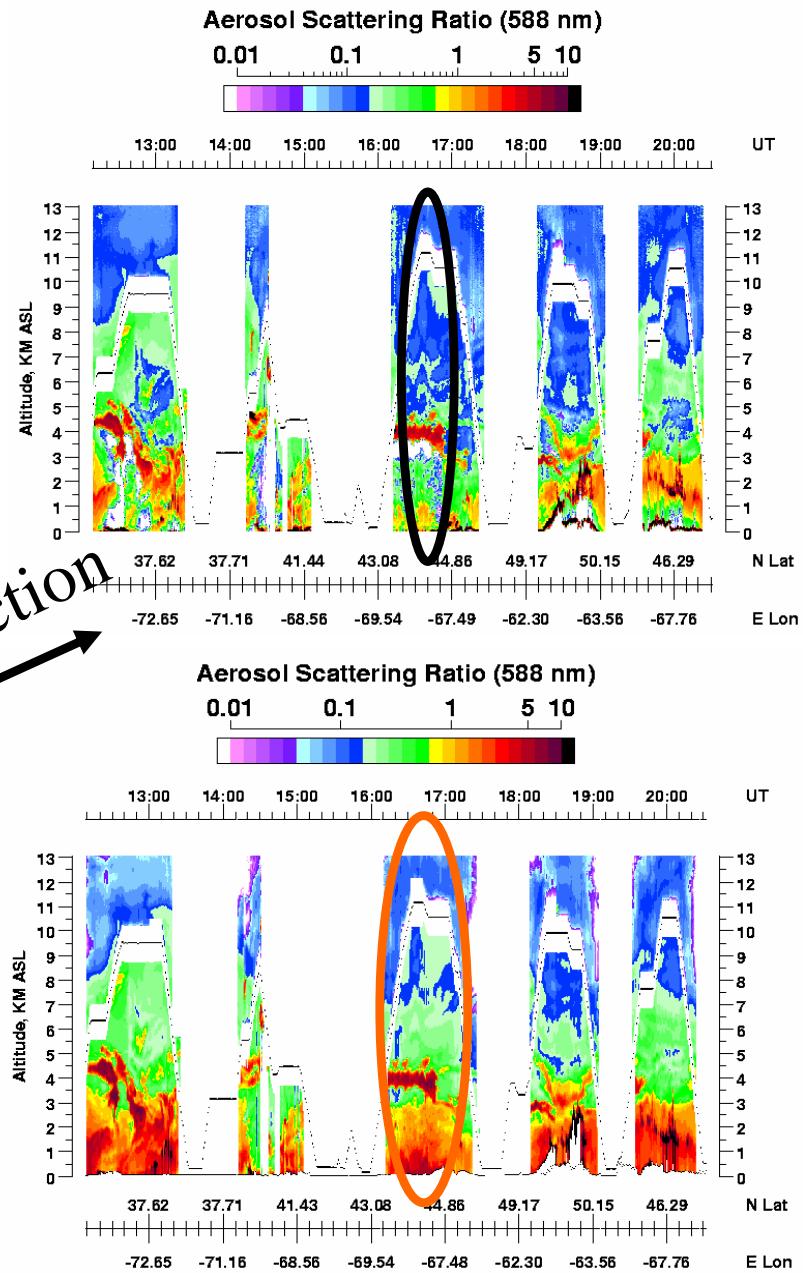
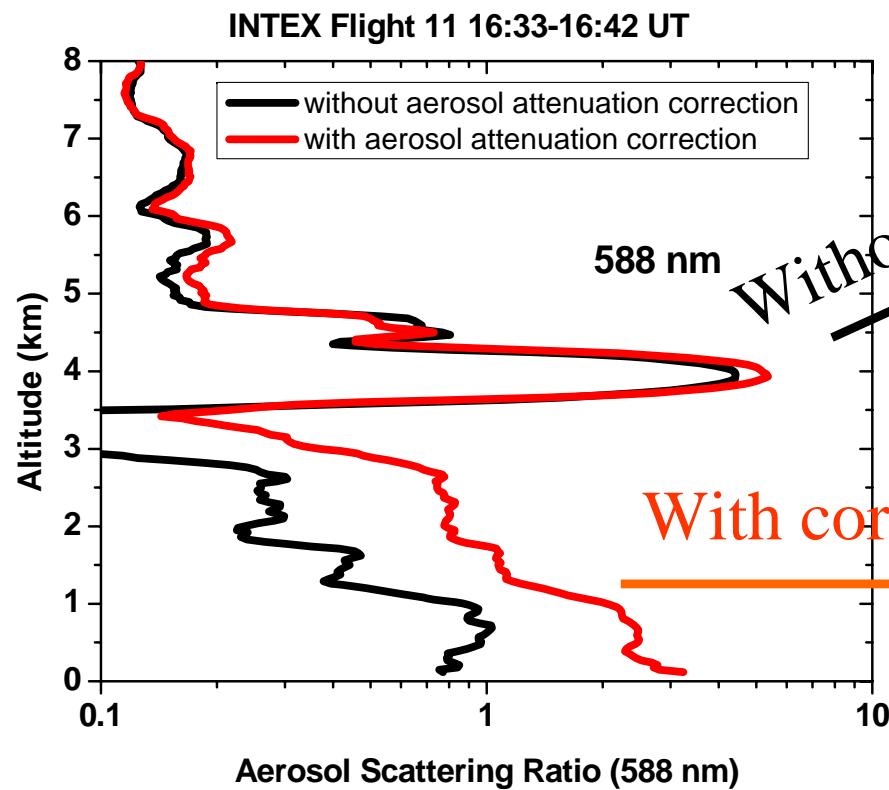


UV DIAL ASR (uncorrected)



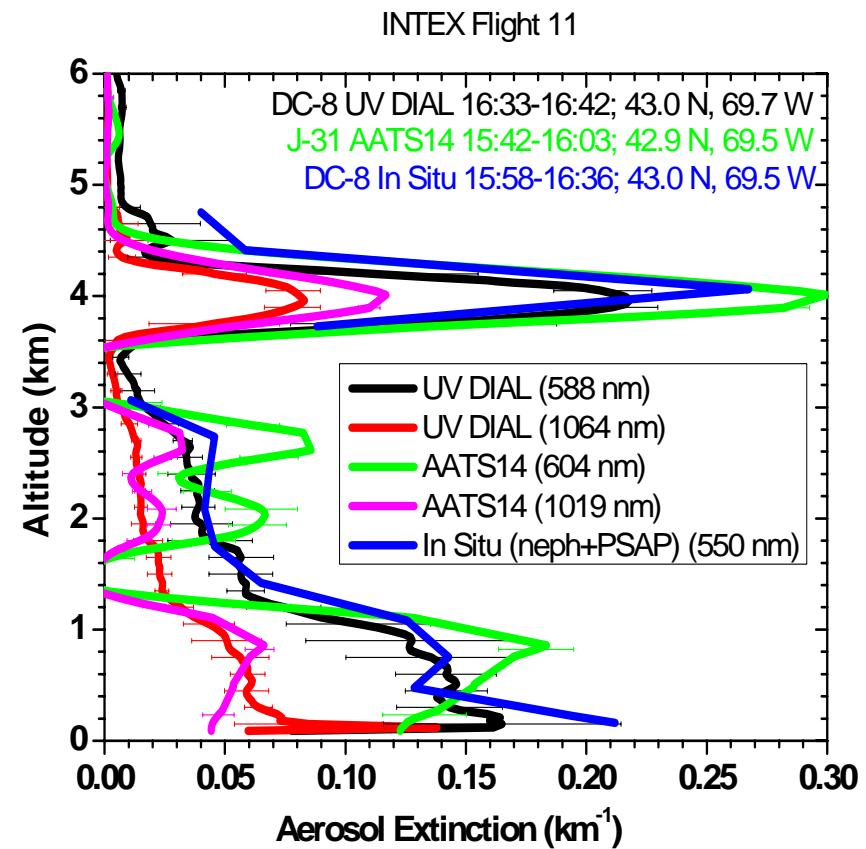
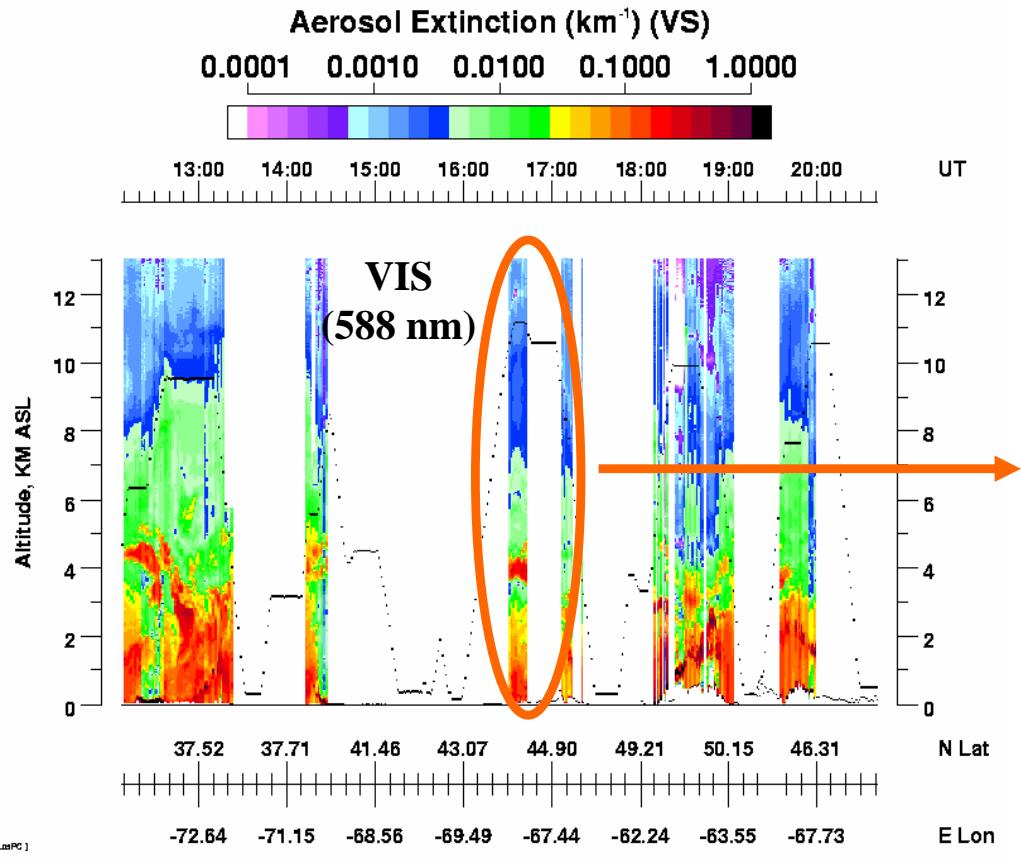
# Aerosol Scattering Ratio – July 22, 2004

- Attenuation correction applied using MODIS AOT constraint
- Correction at low altitudes ~ 200-300% at 588 nm, 20-40% at 1064 nm



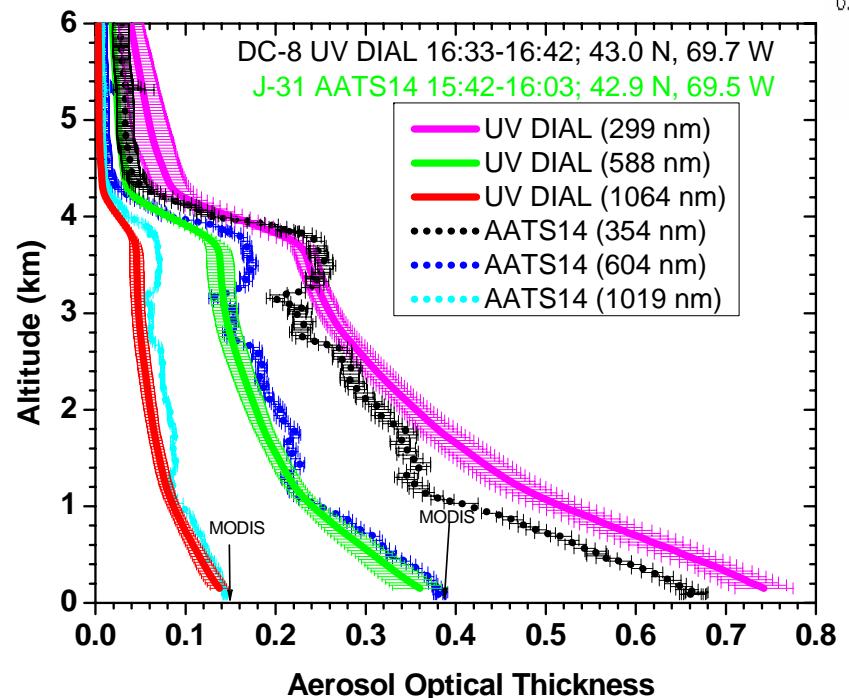
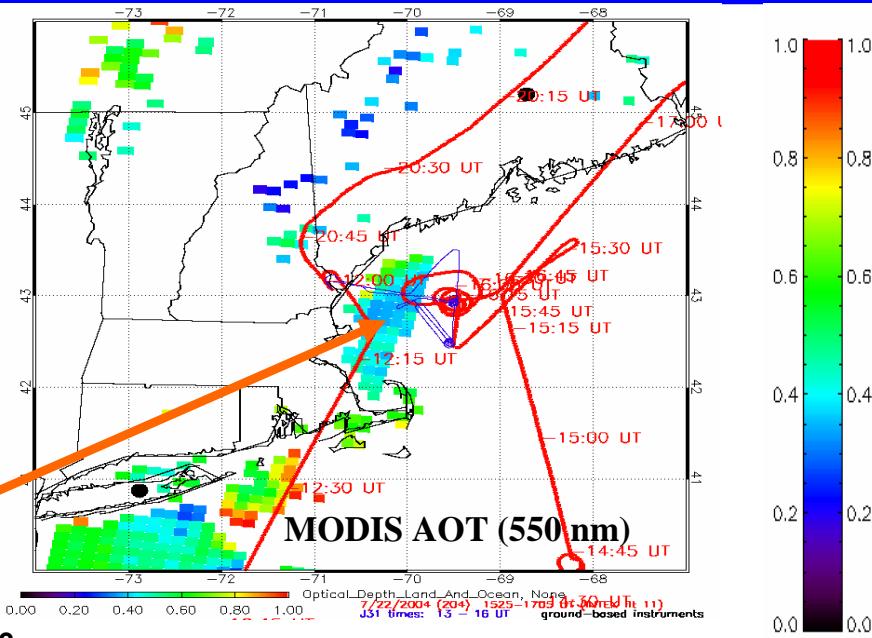
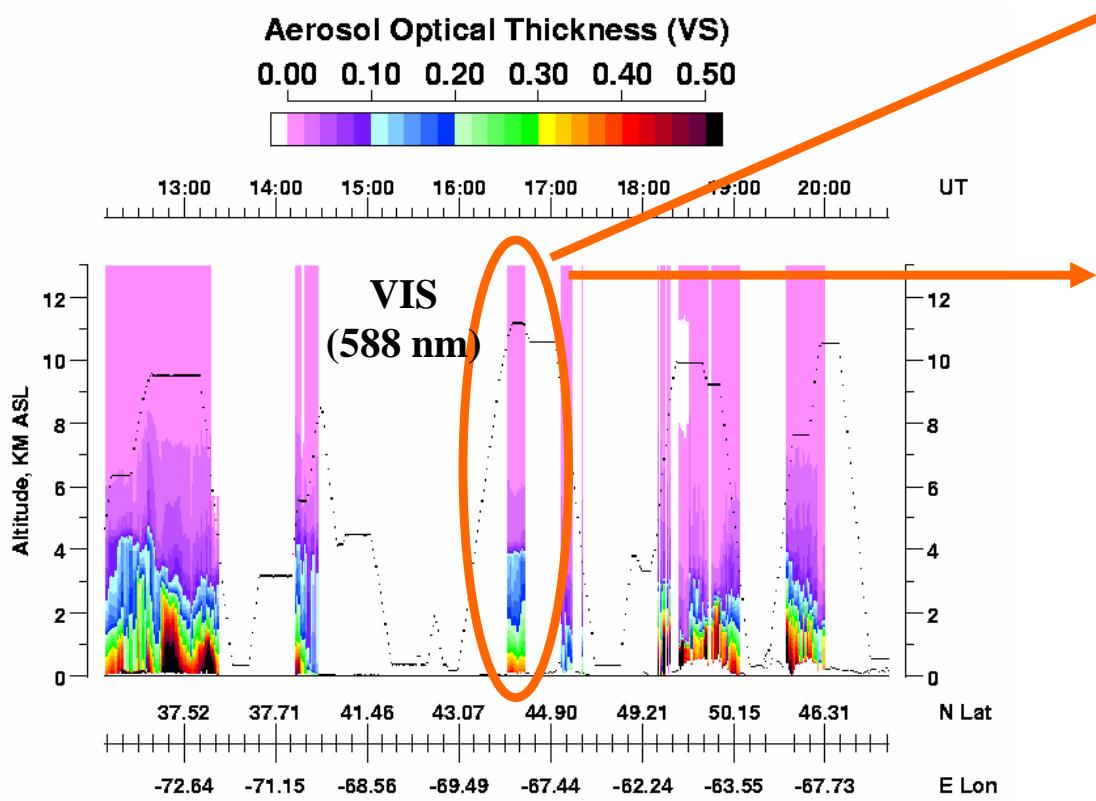
# Preliminary Aerosol Extinction Comparison – July 22, 2004

- UV DIAL
- NASA Ames Airborne Sun Photometer (AATS14) on J-31 aircraft
- Scattering (nephelometer) + Absorption (PSAP) in situ on DC-8



# Preliminary AOT Comparison – July 22, 2004

- UV DIAL
  - NASA Ames Airborne Sun Photometer (AATS14) on J-31 aircraft



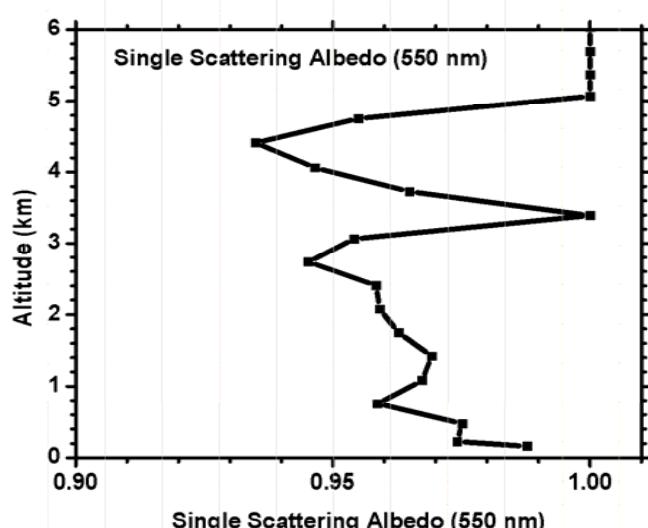
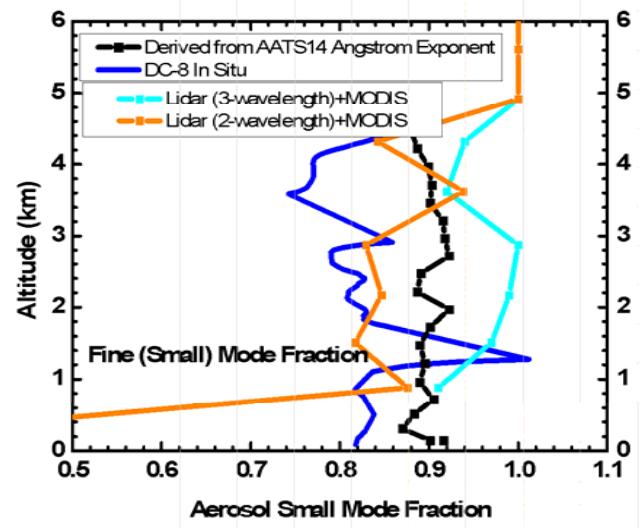
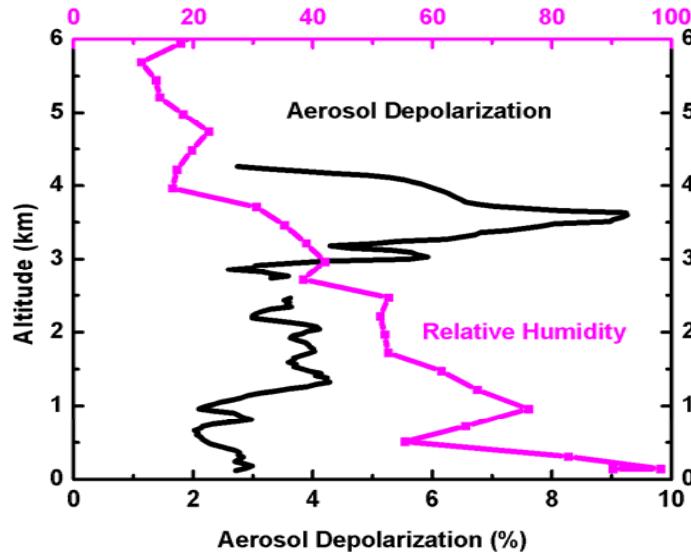
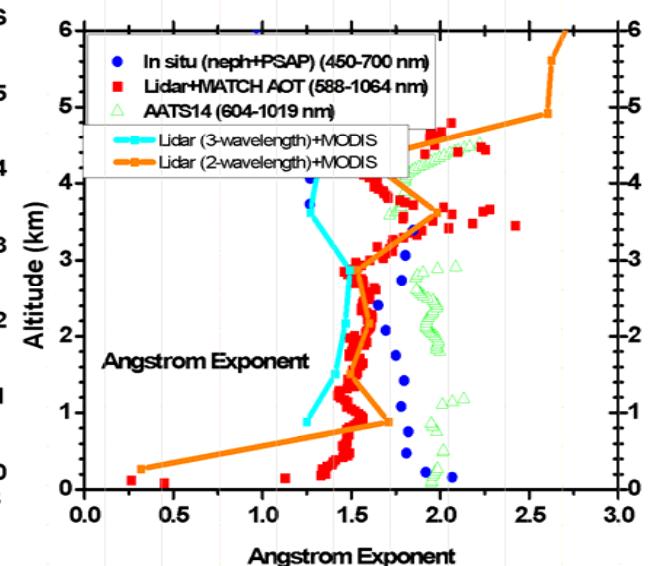
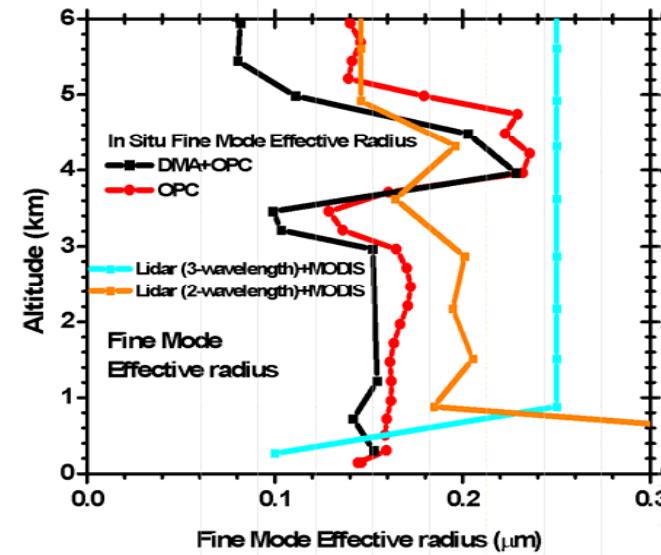
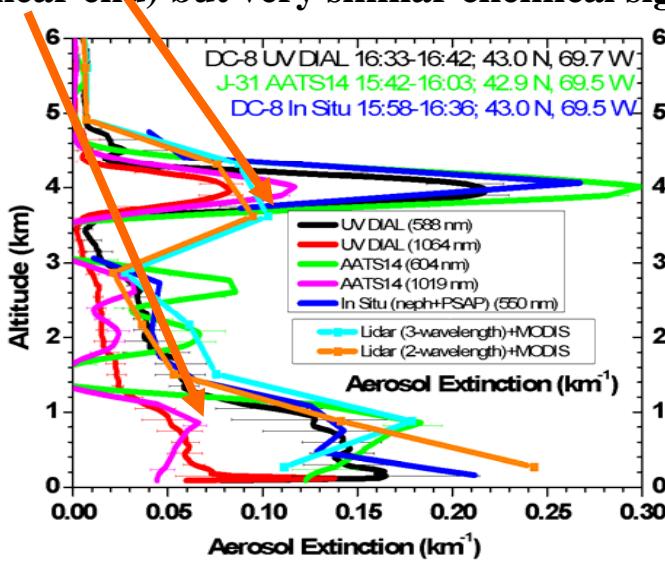
# MODIS+lidar Aerosol Retrieval

---

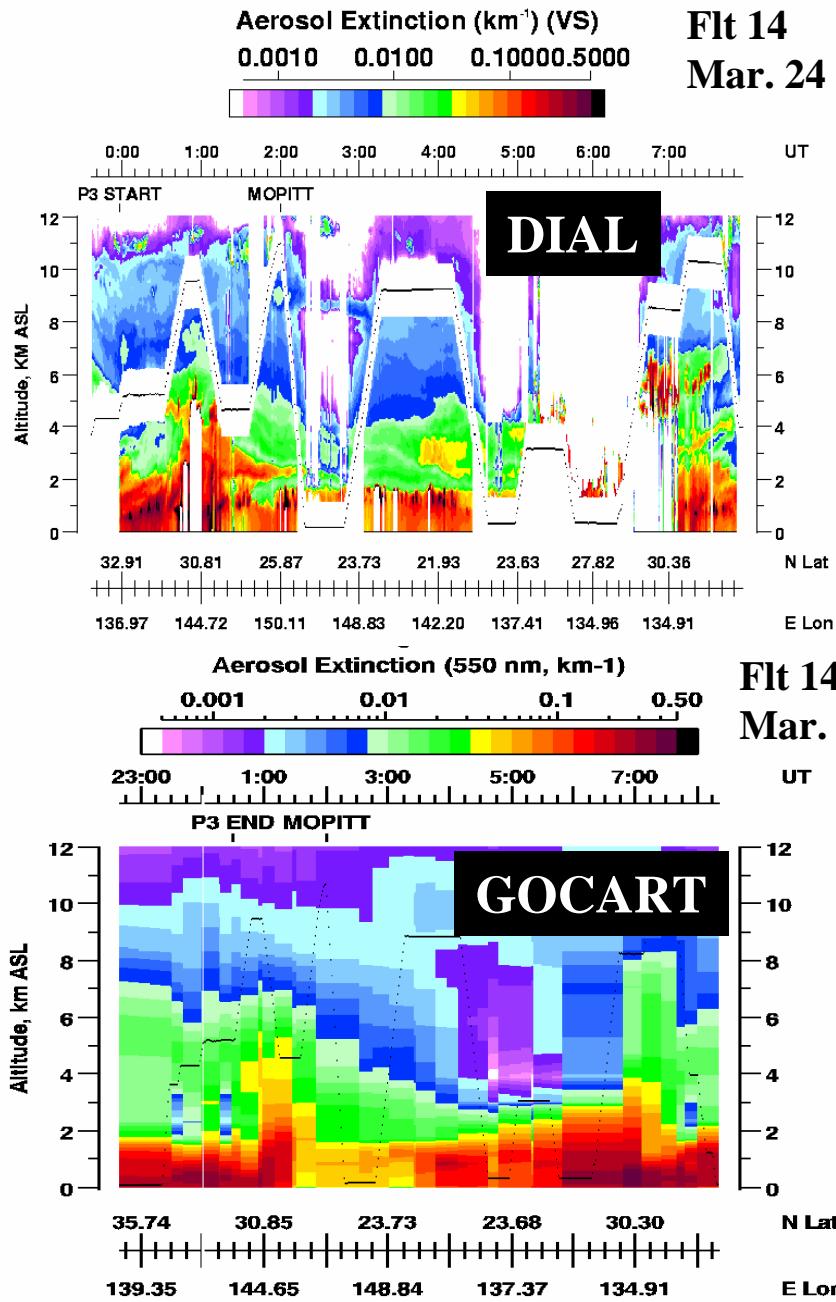
- Retrieval algorithms – (2 Wavelength)
  - (Kaufman et al., IEEE, 2003; GRL, 2003; Léon et al., JGR, 2003)
  - Aerosol size distribution – bimodal lognormal
  - MODIS aerosol models – 20 combinations of 4 fine, 5 coarse particles
  - Size of each mode is assumed to be altitude independent
  - Relative weight of each mode is determined as a function of altitude from lidar backscatter color ratio
  - Retrievals are constrained to fit MODIS measurements
    - Spectral reflectance
    - Column AOT and  $r_{\text{eff}}$
- Modifications – (3 Wavelength)
  - UV wavelength (300 nm) – more information on fine particle size
  - Depolarization – adjust the backscatter phase function for nonsphericity

# Preliminary Aerosol Profile Properties – Retrieval Results - July 22, 2004

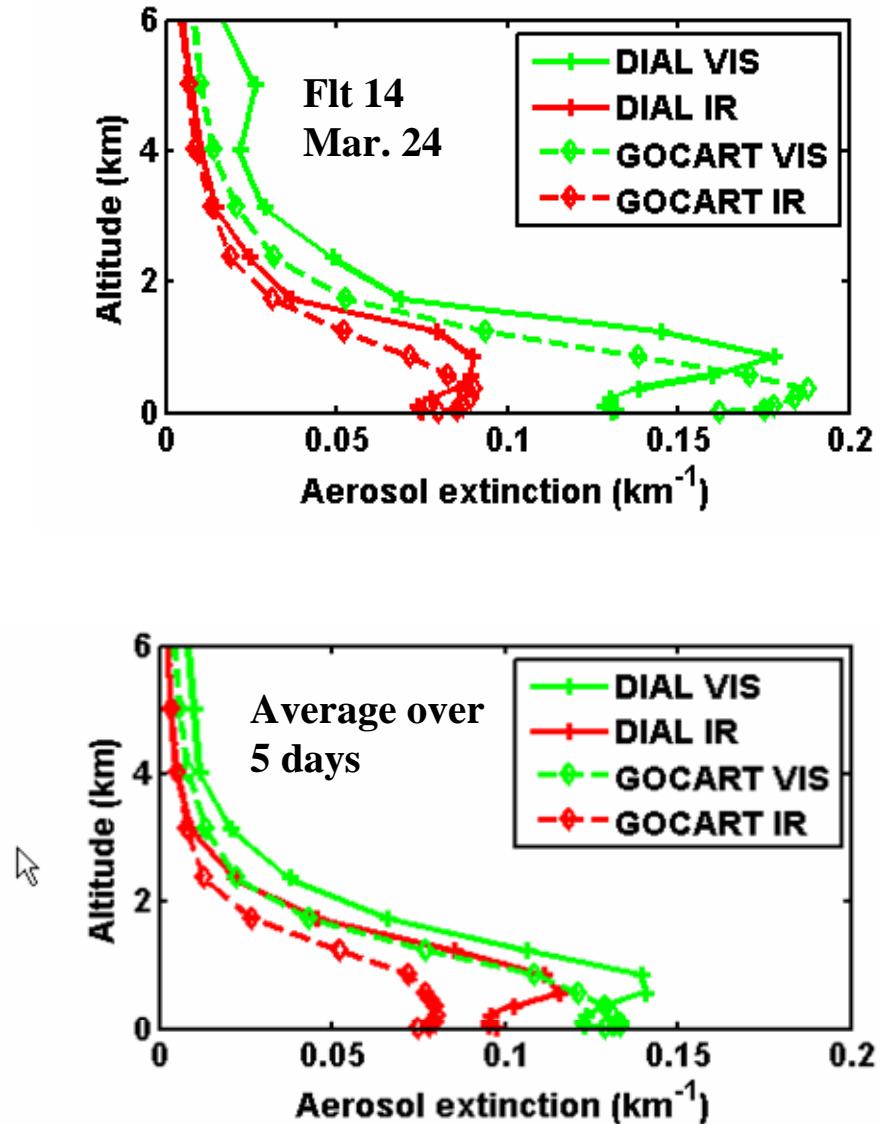
- (biomass) High HCN, ethyne, CO, O<sub>3</sub>, H<sub>2</sub>O, airmass from Canada, mixed with pollution (NO<sub>2</sub> spike)
- (pollution) High SO<sub>2</sub>, CO, O<sub>3</sub>, low H<sub>2</sub>O, fresh urban and industrial. trajectories from great lakes, and East Coast (near end) but very similar chemical signature



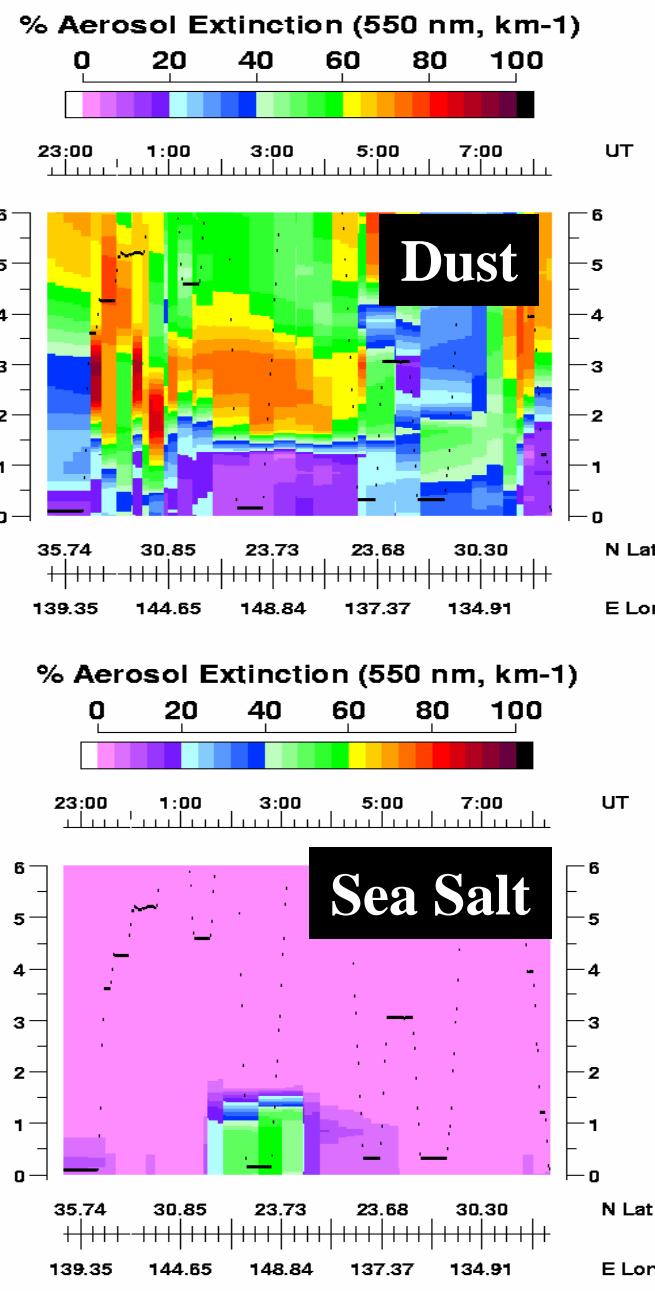
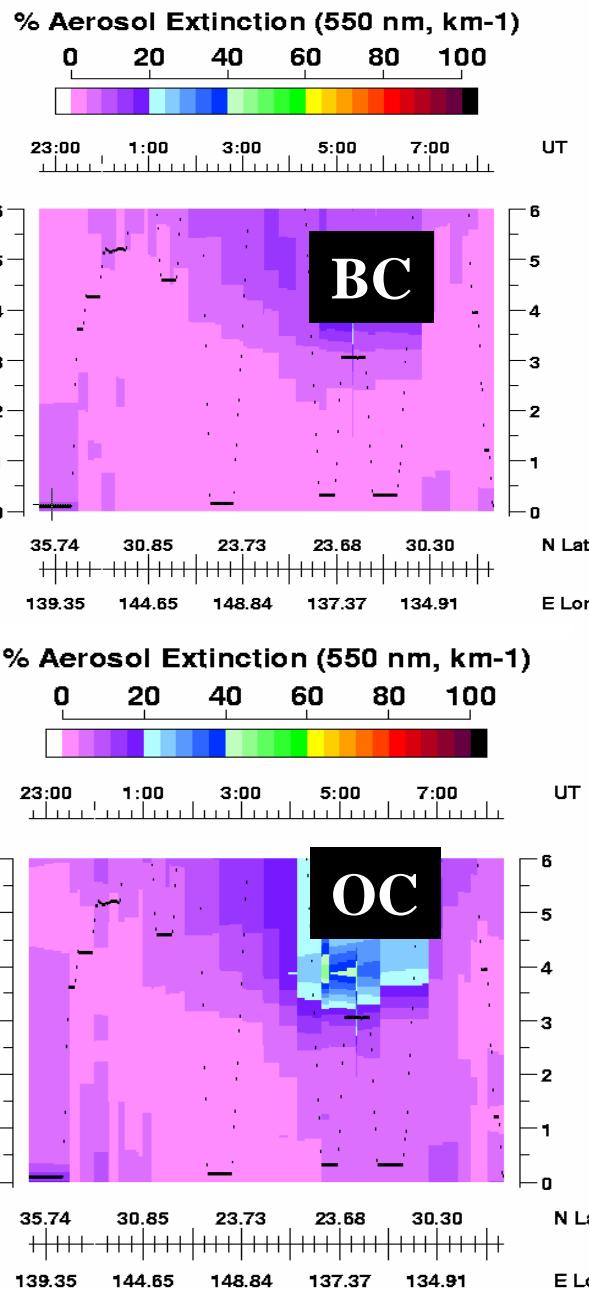
# Comparison of Vertical Profiles – DIAL and GOCART (TRACE-P)



- DIAL, GOCART close near surface
- GOCART has lower amounts aloft



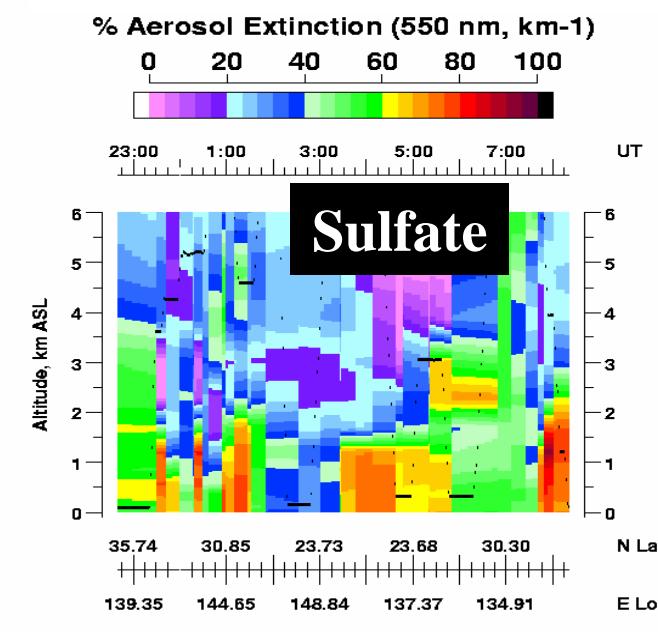
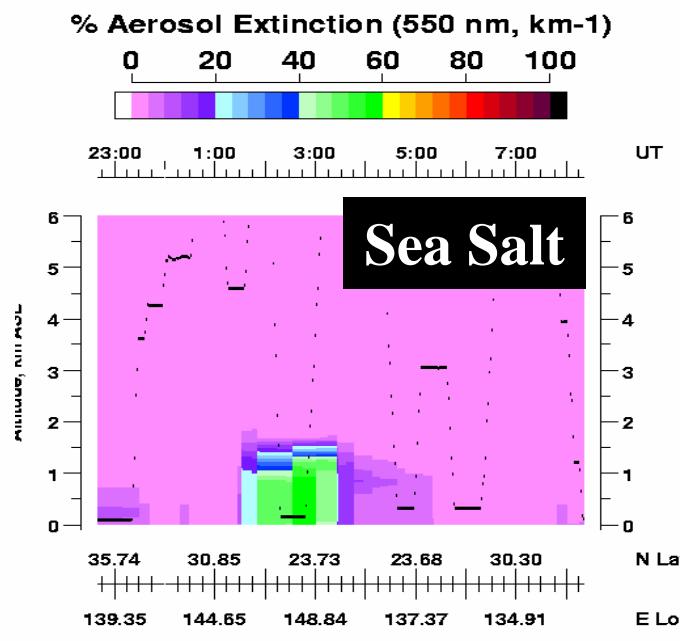
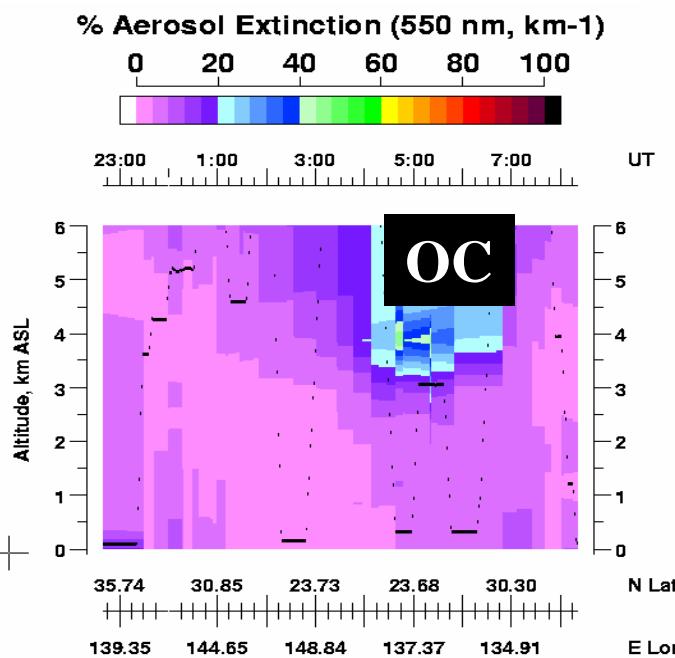
# Vertical Profile of Aerosol Composition – GOCART



TRACE-P March 24, 2001

When examining impact  
on aerosol extinction, AOT  
(550 nm); according to  
GOCART

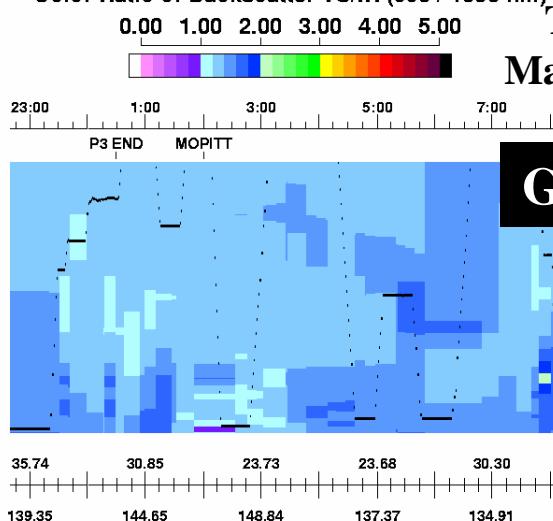
- Sulfate, Dust, Sea salt  
dominate near surface
- Dust dominates aloft



# Comparison of Vertical Profiles – DIAL and GOCART- TRACE-P

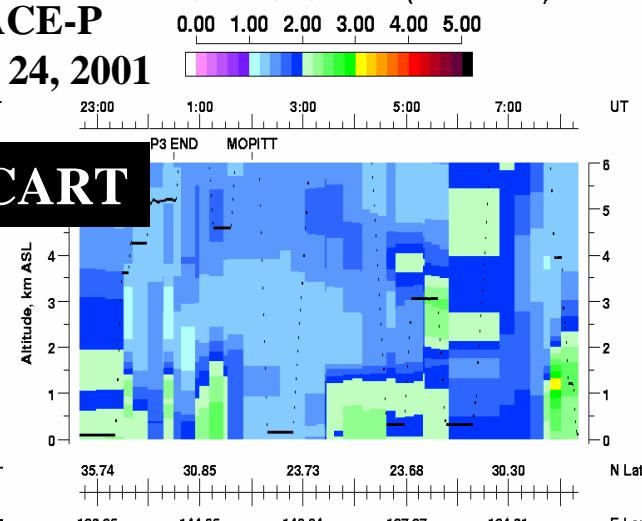
Can we use DIAL measurements of aerosol intensive parameters (backscatter and extinction color ratios, depolarization) to help identify aerosol type?

Color Ratio of Backscatter VS/IR (550 / 1000 nm)  
0.00 1.00 2.00 3.00 4.00 5.00



TRACE-P  
March 24, 2001

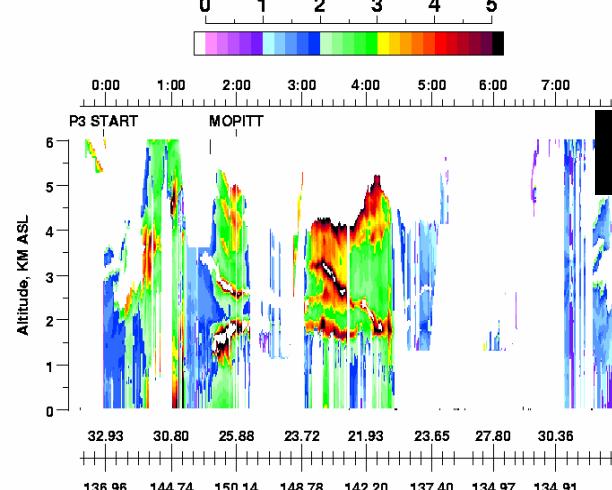
Color Ratio of Extinction VS/IR (550 / 1000 nm)  
0.00 1.00 2.00 3.00 4.00 5.00



GOCART

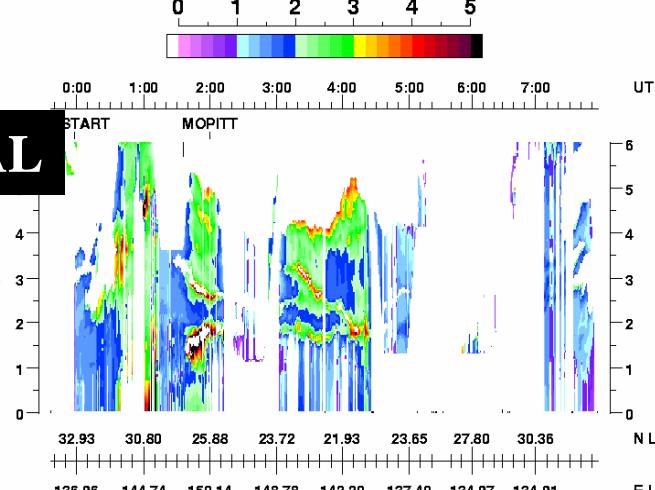
Backscatter Color Ratio

Aerosol Backscatter Color Ratio (576/1064nm)  
0 1 2 3 4 5



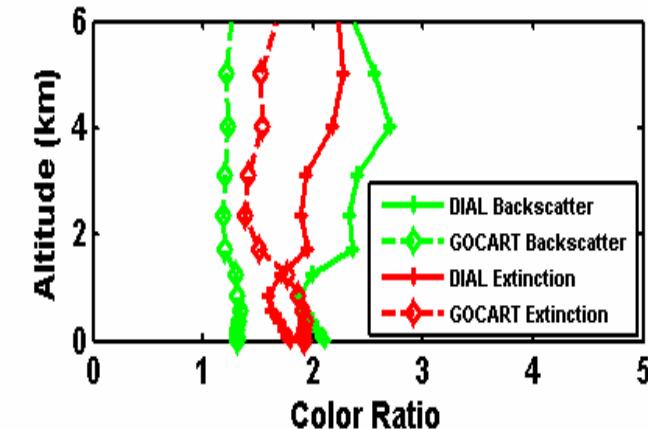
DIAL

Aerosol Extinction Color Ratio (576/1064nm)  
0 1 2 3 4 5



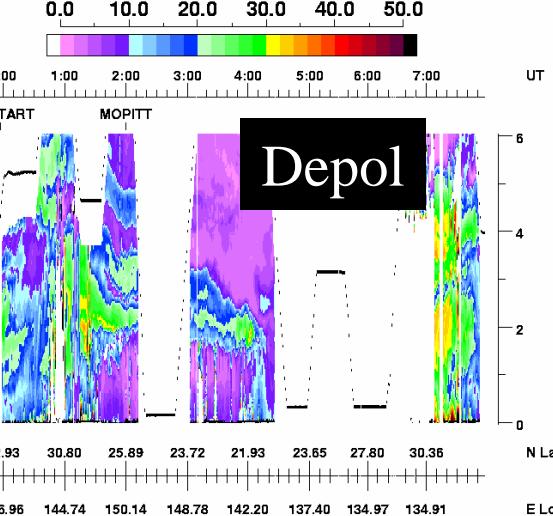
Color ratios

- General agreement near surface
- DIAL shows more vertical variability



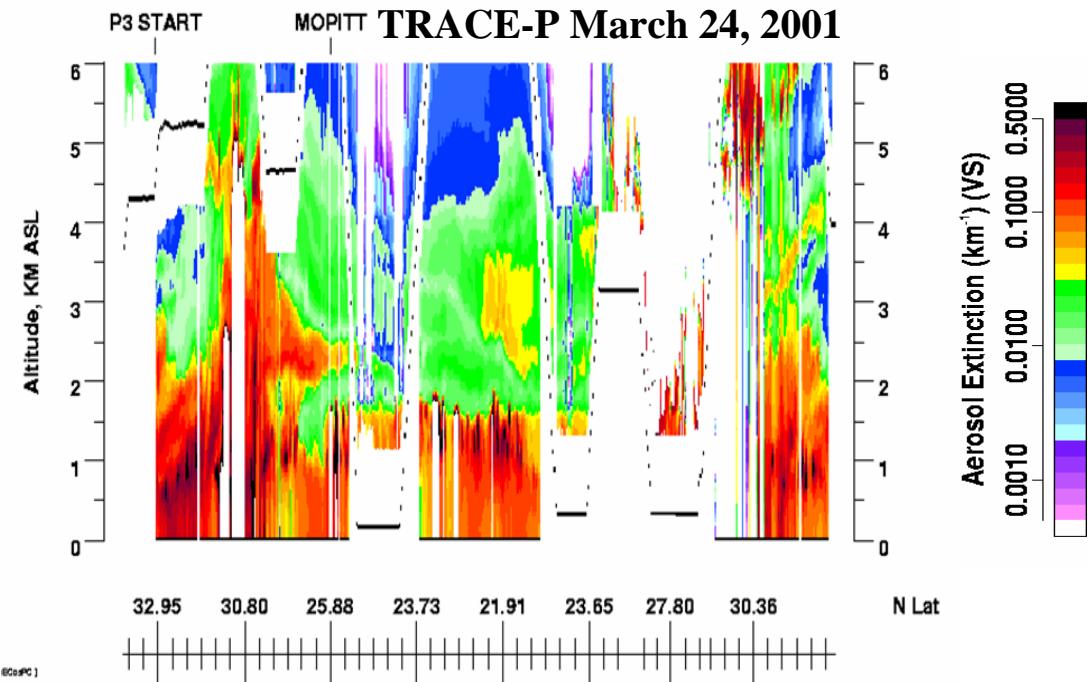
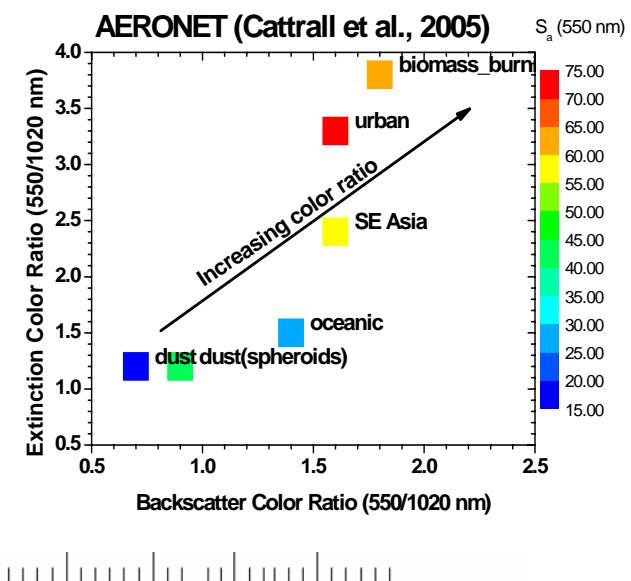
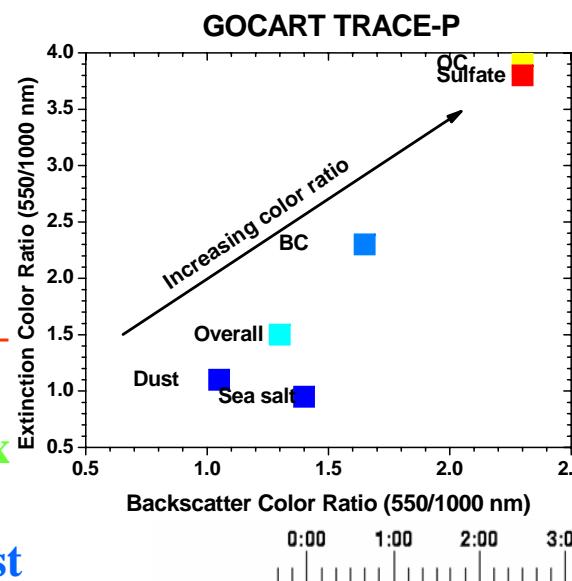
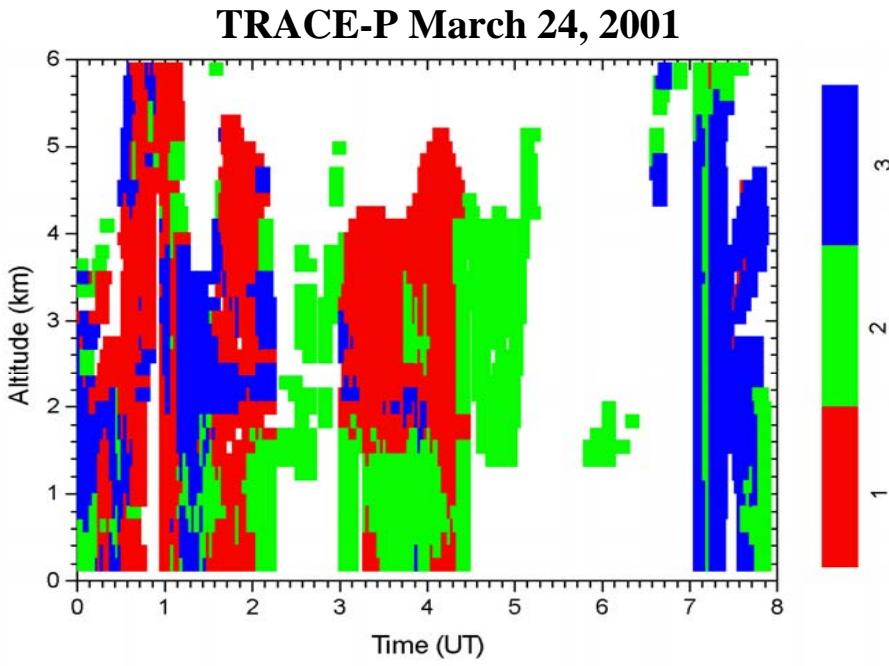
Depol

Aerosol Depolarization (%)  
0.0 10.0 20.0 30.0 40.0 50.0



# Aerosol Classification Using DIAL Measurements

- Aerosol types were grouped using intensive parameters derived from DIAL
  - Extinction color ratio
  - Backscatter color ratio
  - Depolarization
- Three main clusters were identified
  - Cluster 1 – high ratio, elevated depol – mix of dust, urban (sulfate)
  - Cluster 2 – mid ratios, low depol – mix of urban and oceanic (sea salt)
  - Cluster 3 – low ratios, high depol - dust



# Summary

- MODIS data helped constrain airborne lidar retrievals of aerosol extinction profiles, and backscatter and extinction color ratios - TRACE-P (2001) and INTEX NA (2004)
- Combination of three-wavelength lidar/MODIS measurements over ocean used to retrieve profiles of fine mode fraction and effective radius
  - INTEX NA data used to evaluate results
  - General good agreement with extinction, fine mode fraction
- Evaluating GOCART simulations (TRACE-P)
  - Aerosol extinction - GOCART in generally good agreement with lidar near surface, somewhat lower amounts aloft
  - Backscatter and extinction color ratios – GOCART shows less vertical variability than derived from lidar
- On-going work (TRACE-P and INTEX NA, B)
  - Use cluster analysis techniques to identify and group aerosols
  - Derive aerosol types to help evaluate GOCART aerosol compositions
- Future
  - Use aerosol extinction, backscatter, depolarization measurements from LaRC airborne High Spectral Resolution Lidar (HSRL) – MILAGRO (Mexico City), TEXAQS/GoMACCS (Houston)
  - Proposed to use combination of CALIPSO/MODIS/PARASOL data

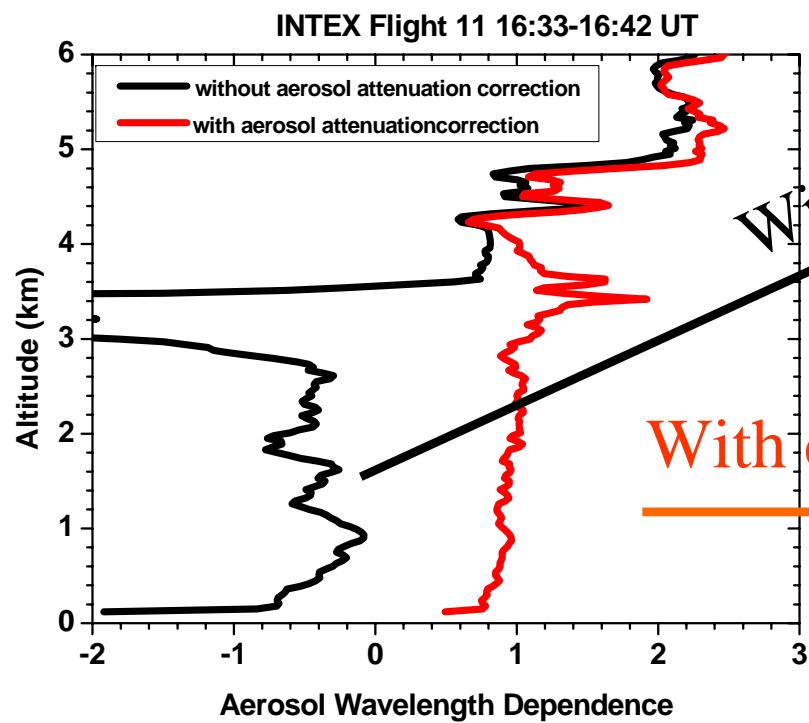
---

---

# Backup Slides

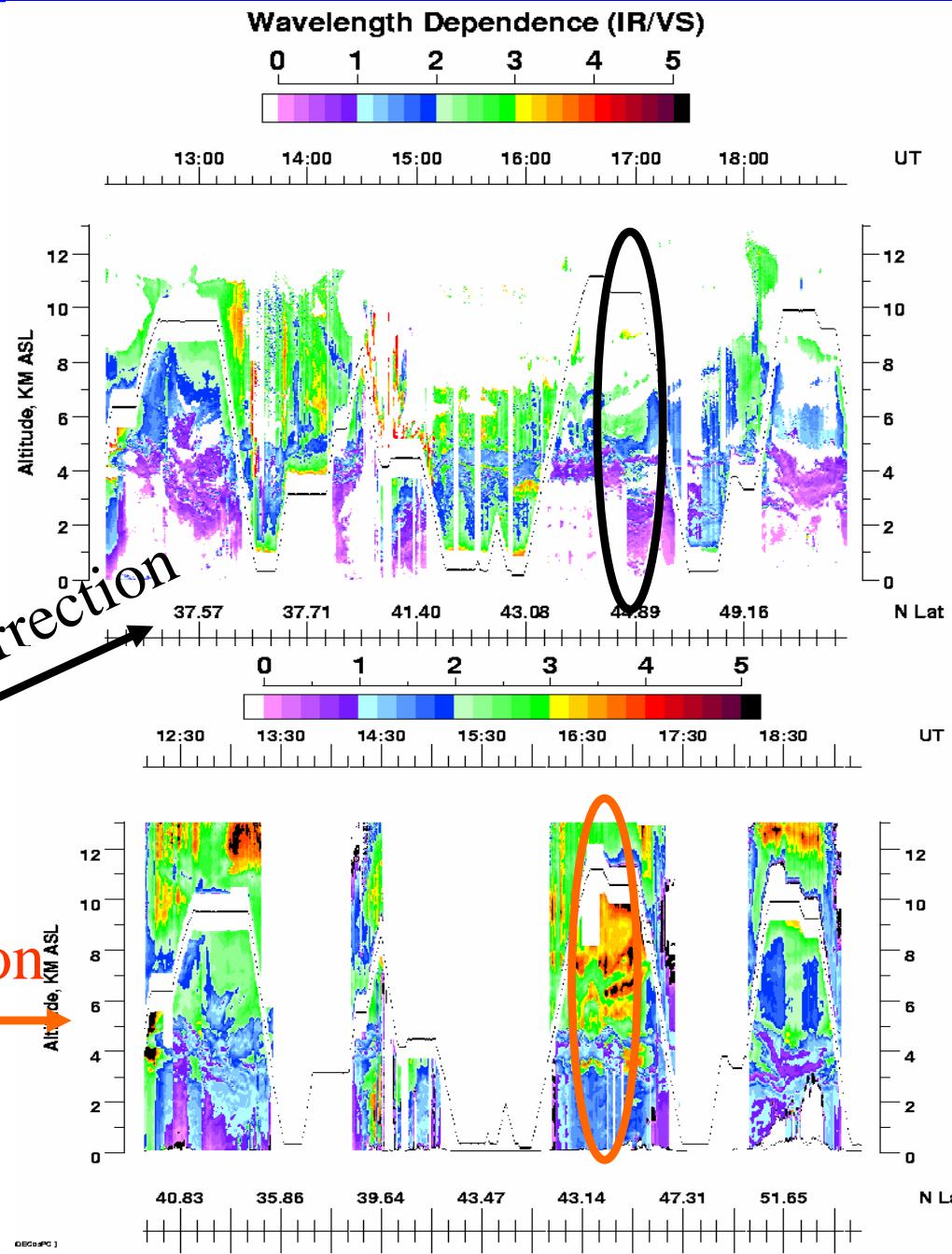
# Aerosol Wavelength Dependence – July 22, 2004

- Attenuation correction applied using MODIS AOT constraint
- Correction retrieves profiles under layers of large aerosol attenuation



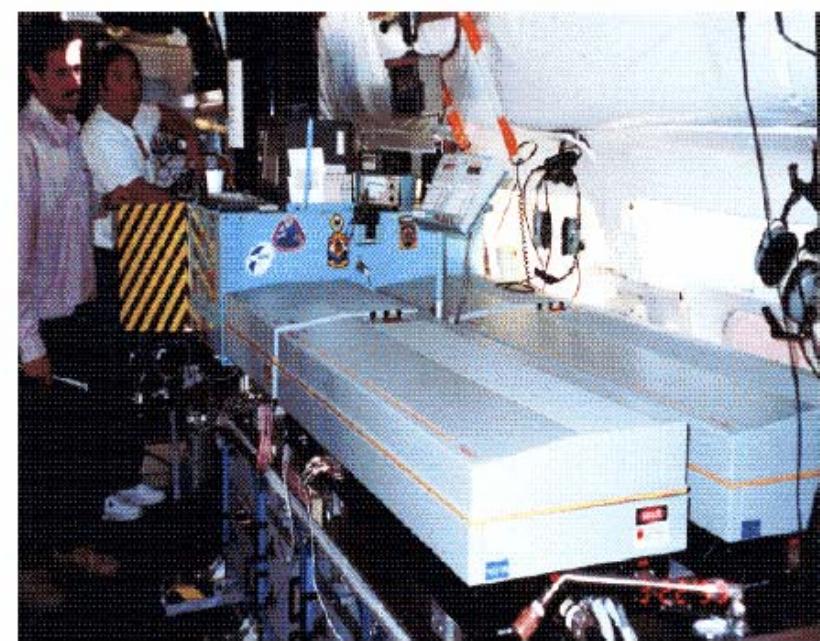
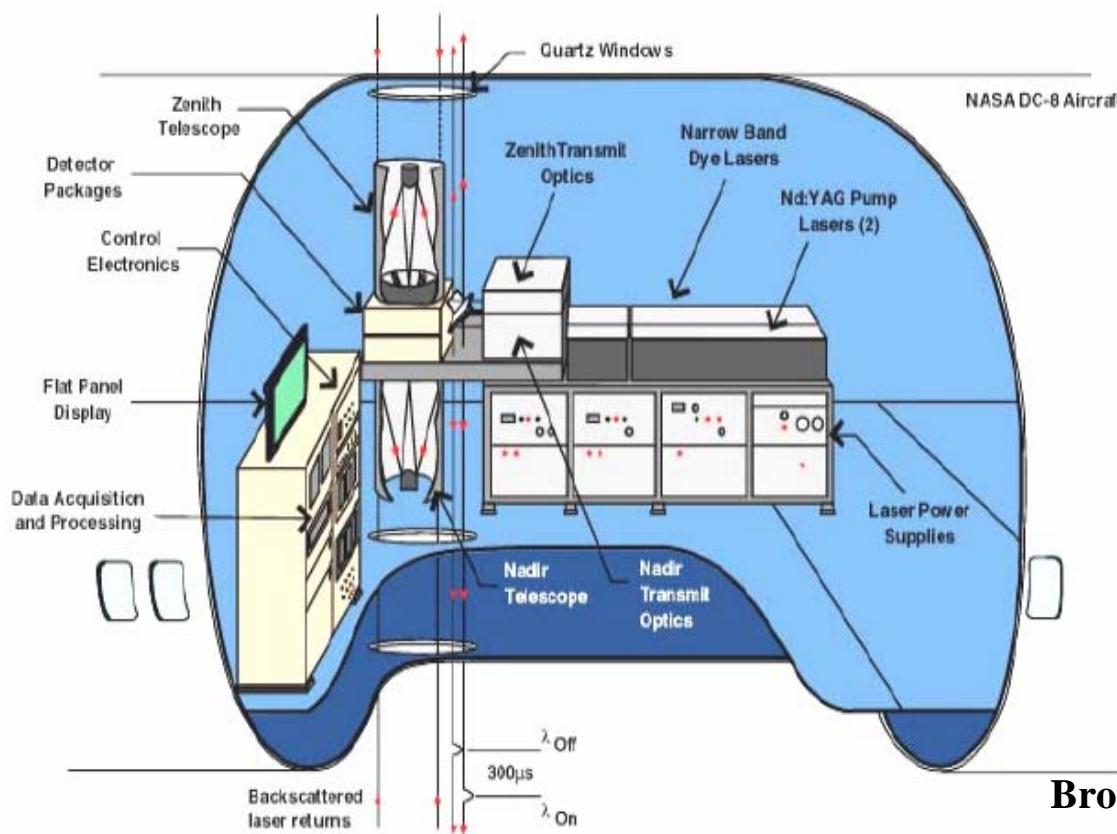
Without correction

With correction



# NASA Langley Airborne UV DIAL Measurements

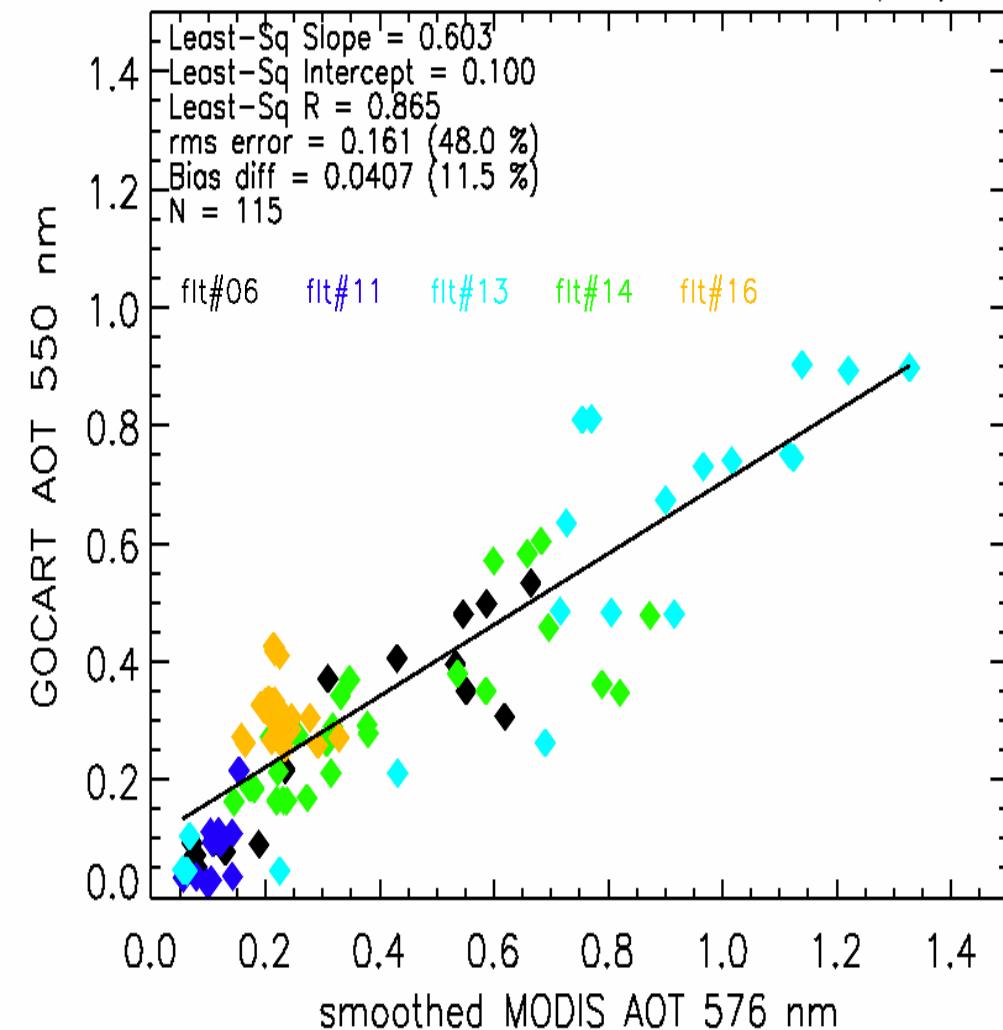
- Ozone Differential Absorption Lidar (DIAL) Profiles ( $\lambda_{\text{on}}=289 \text{ nm}$  &  $\lambda_{\text{off}}=300 \text{ nm}$ )
- Aerosol & Cloud Scattering Ratio Profiles (300, 576, & 1064 nm)
- Simultaneous Nadir and Zenith Ozone & Aerosol Profiling
- Nadir Aerosol Depolarization Profiles (576 nm)
- Deployed on NASA DC-8 for TRACE-P (2001), INTEX NA (2004)



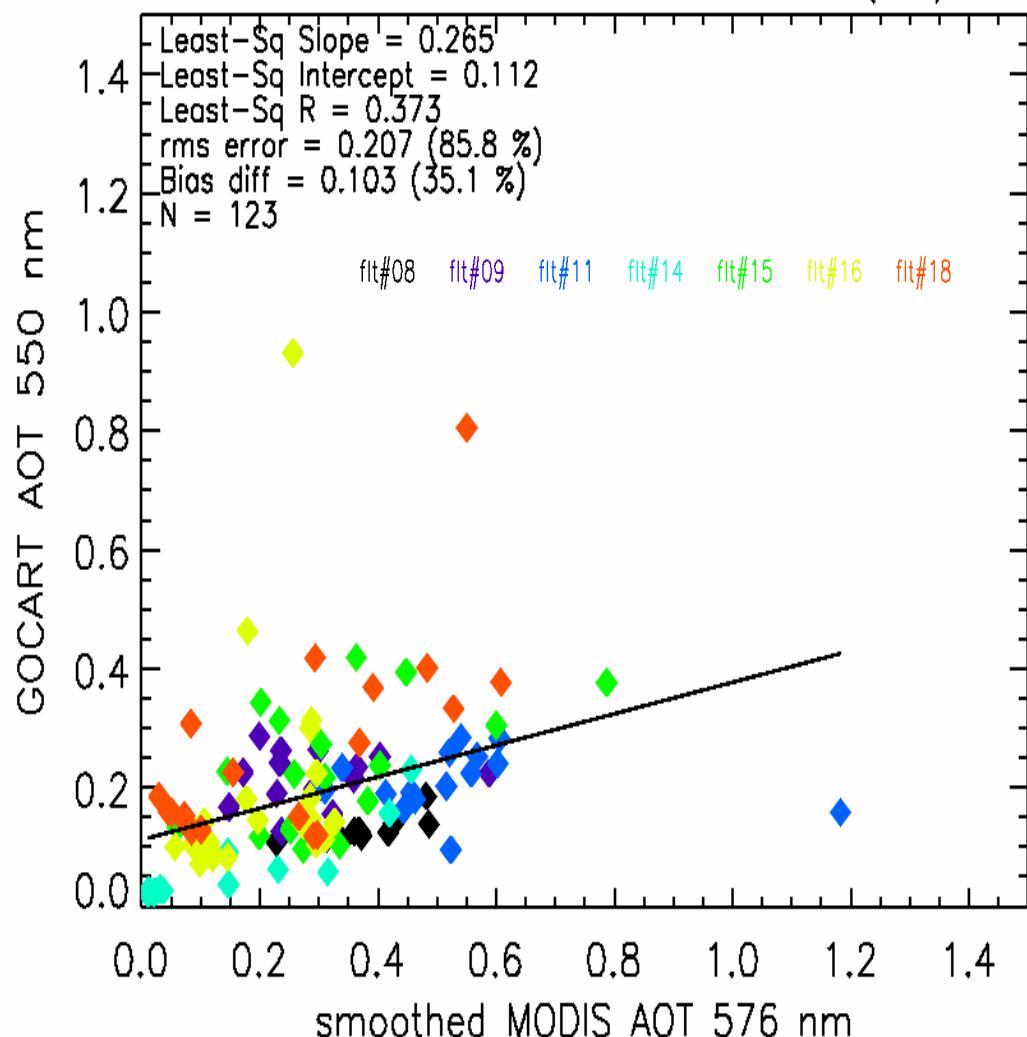
Browell et al., J. Geophys. Res., 108(D20), 8805, 2003.

# GOCART and MODIS AOT Comparisons

MODIS vs GOCART for TRACEP (VS)

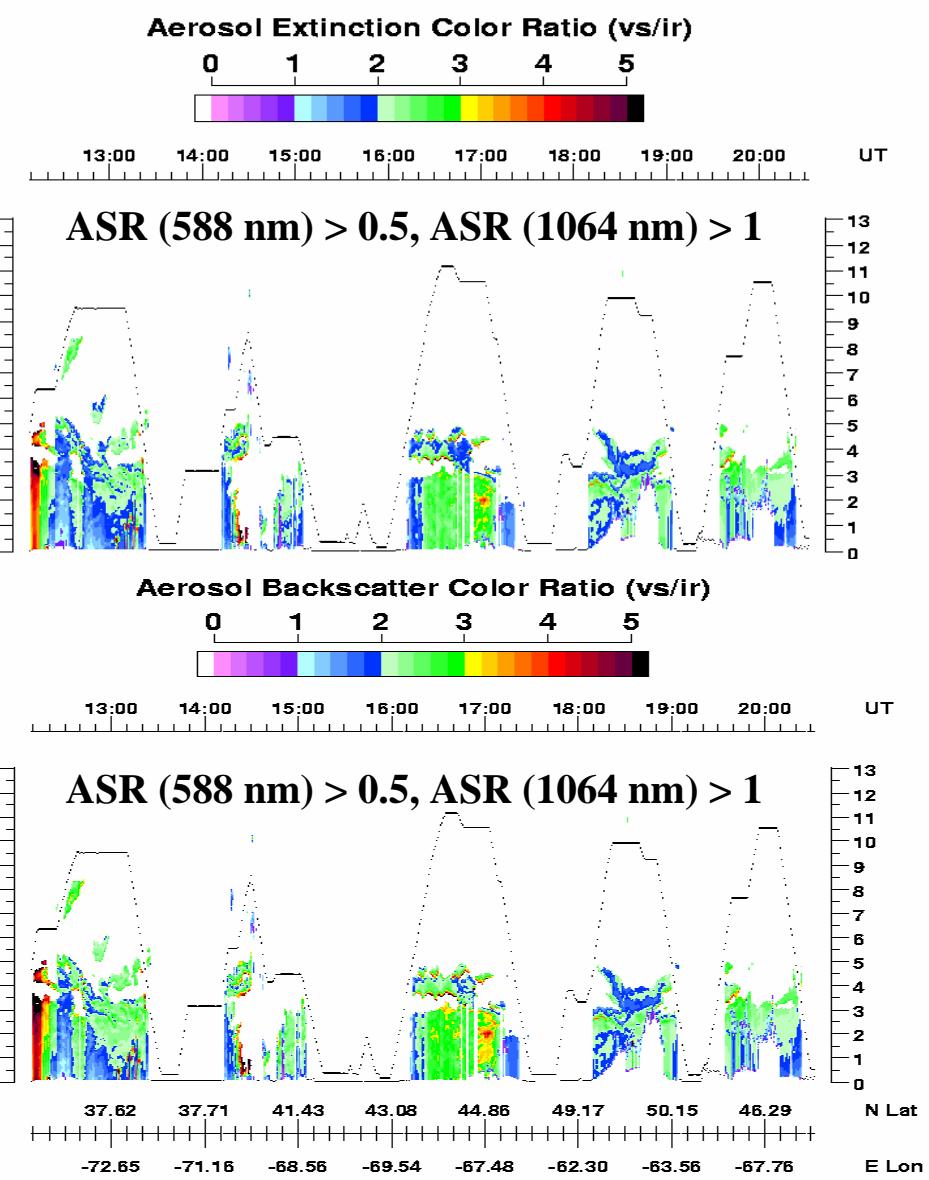
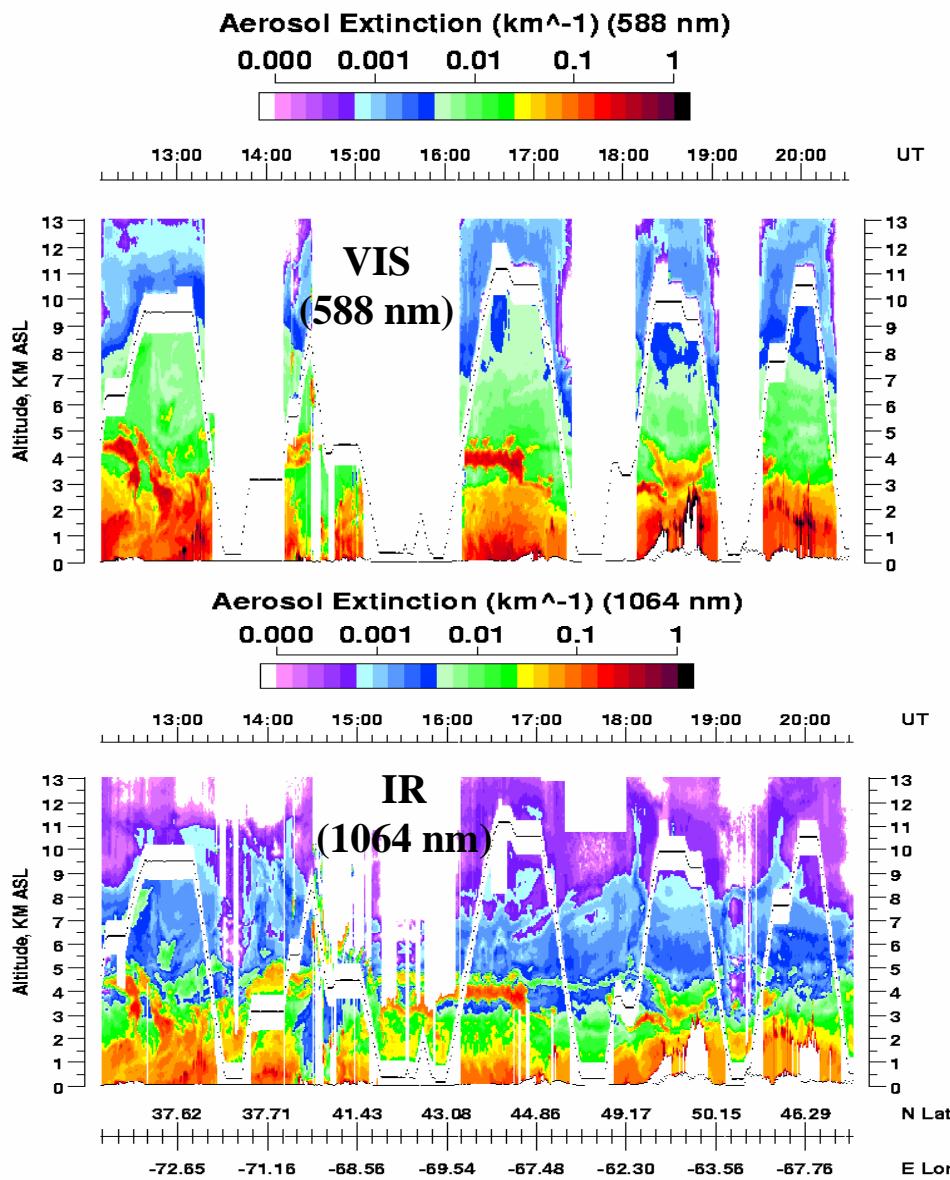


MODIS vs GOCART for INTEX (VS)



# Aerosol Extinction and Color Ratio Profiles – July 22, 2004

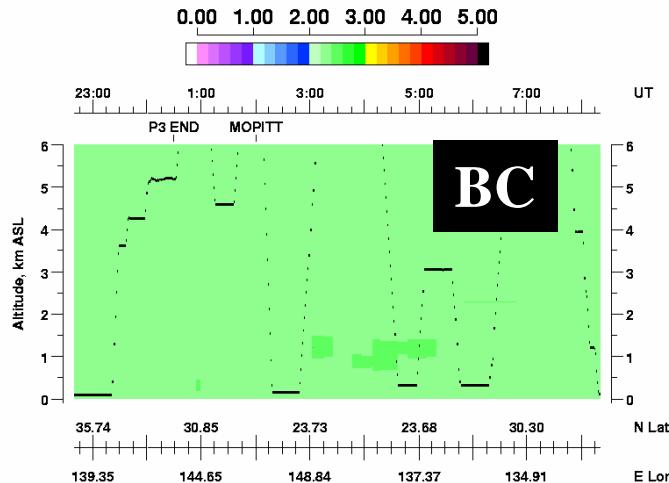
- Example retrievals constrained with MATCH AOT



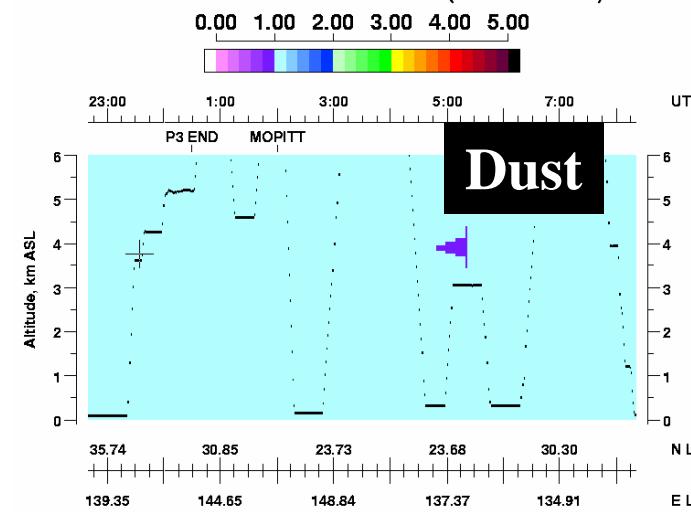
# Vertical Profile of Aerosol Extinction Color Ratio – GOCART

TRACE-P March 24, 2001

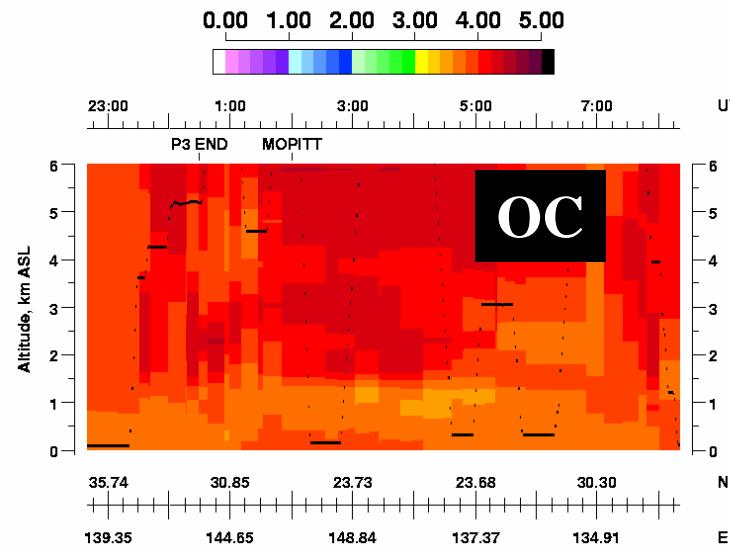
Color Ratio of Extinction VS/IR (550 / 1000 nm)



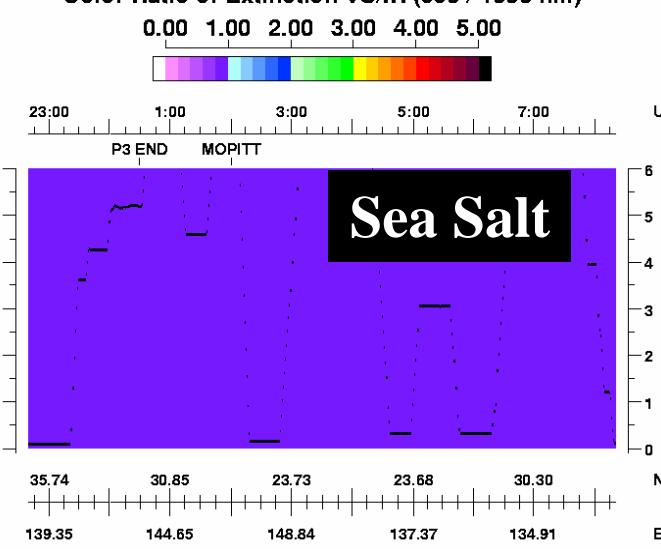
Color Ratio of Extinction VS/IR (550 / 1000 nm)



Color Ratio of Extinction VS/IR (550 / 1000 nm)



Color Ratio of Extinction VS/IR (550 / 1000 nm)



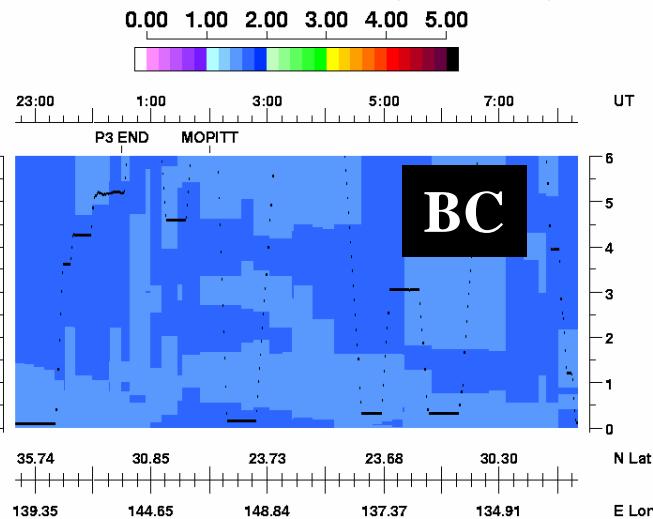
## Extinction Color ratios

- BC – 2.2-2.4
- Dust – 1.1
- OC – 3.5-4.2
- Sea salt – 0.9-1.0
- Sulfate- 3.0-4.6

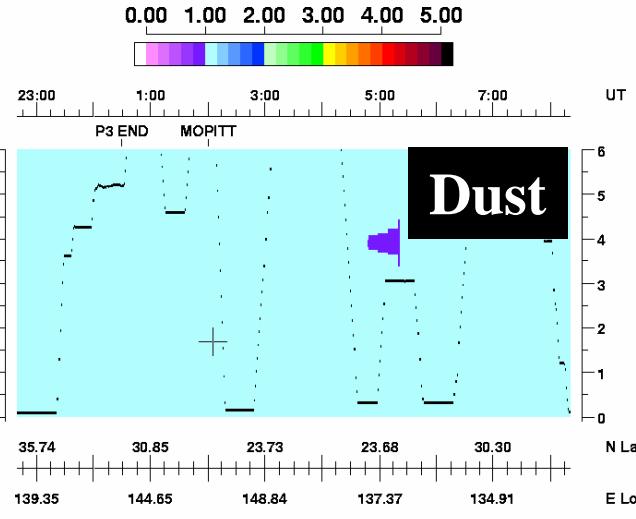
# Vertical Profile of Aerosol Backscatter Color Ratio – GOCART

TRACE-P March 24, 2001

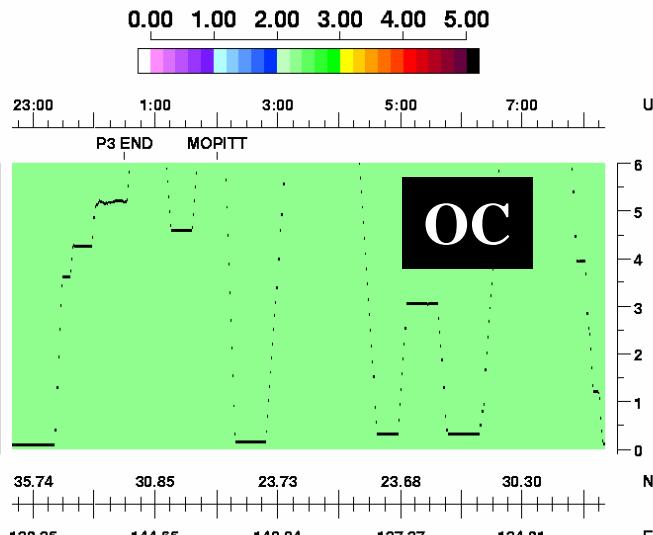
Color Ratio of Backscatter VS/IR (550 / 1000 nm)



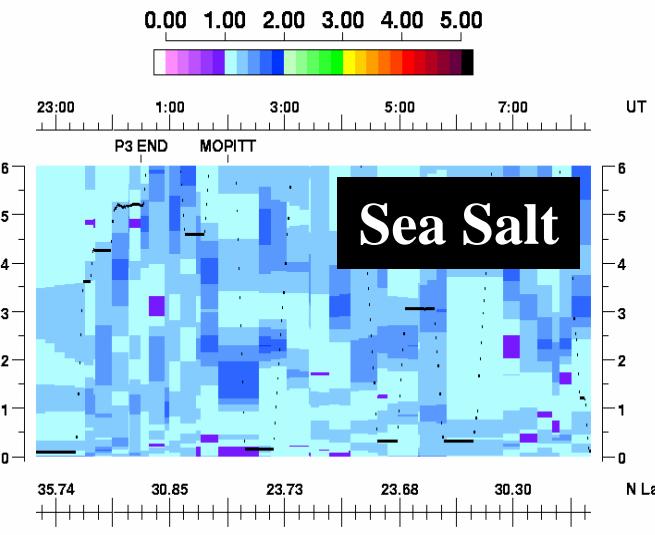
Color Ratio of Backscatter VS/IR (550 / 1000 nm)



Color Ratio of Backscatter VS/IR (550 / 1000 nm)



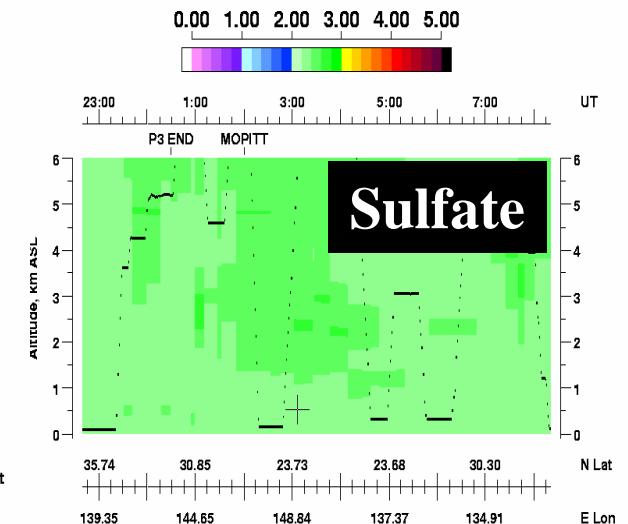
Color Ratio of Backscatter VS/IR (550 / 1000 nm)



## Backscatter Color ratios

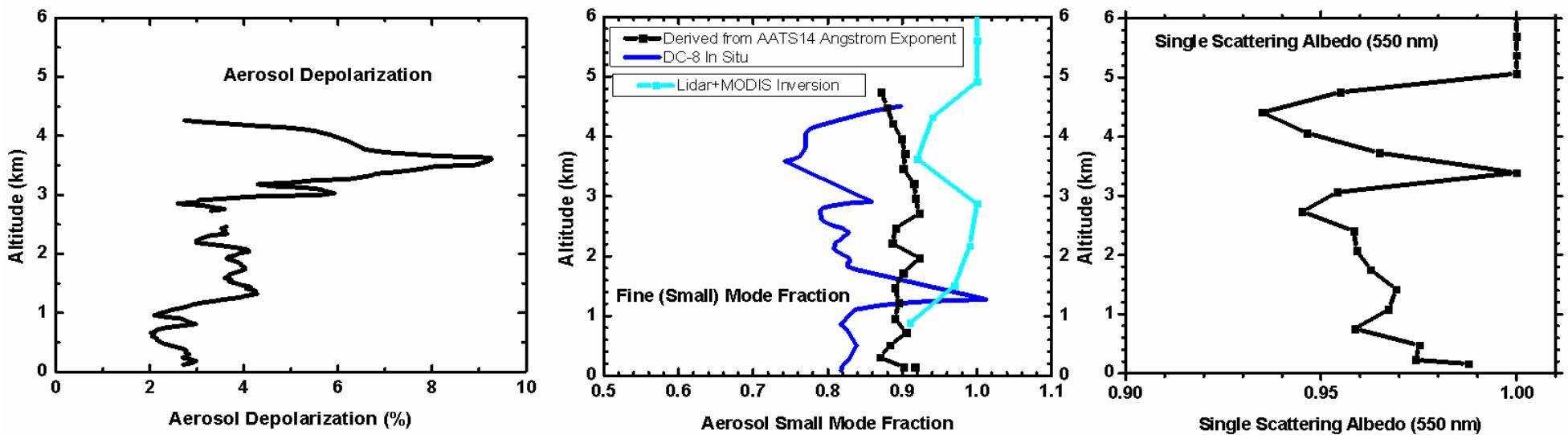
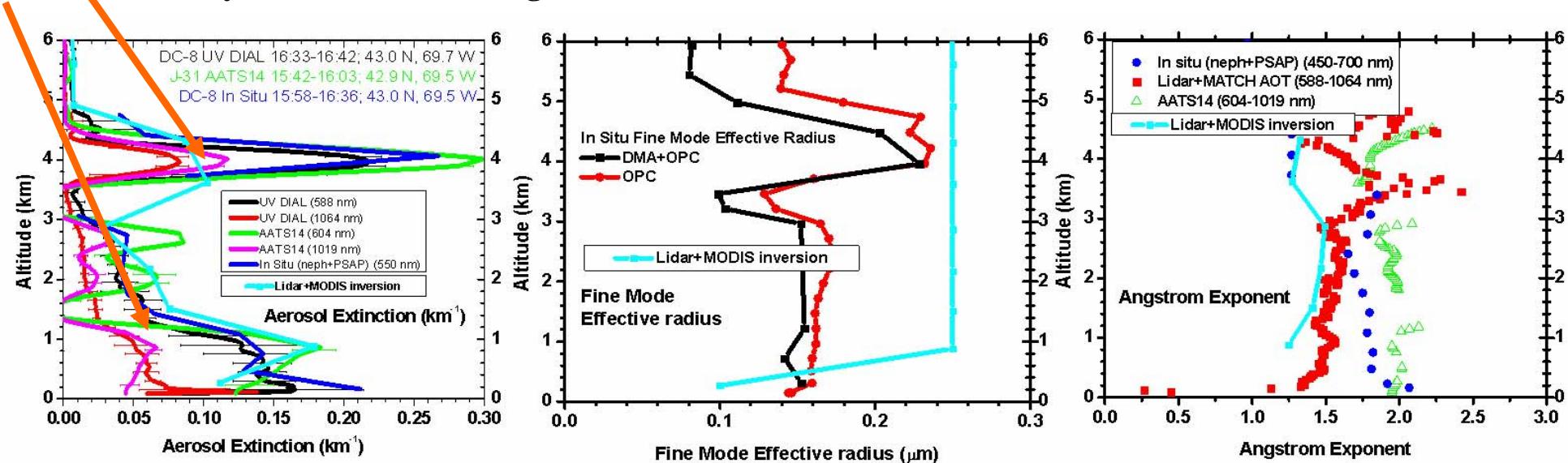
- BC – 1.6-1.7
- Dust – 1.0-1.1
- OC – 2.3
- Sea salt – 1.1-1.7
- Sulfate- 2.2-2.4

Color Ratio of Backscatter VS/IR (550 / 1000 nm)

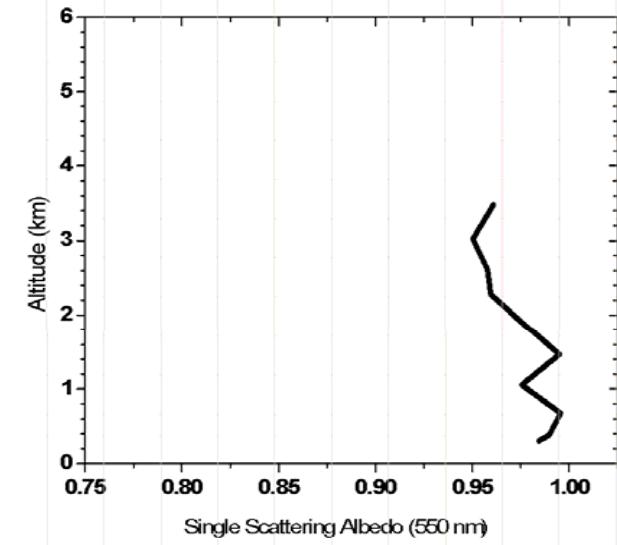
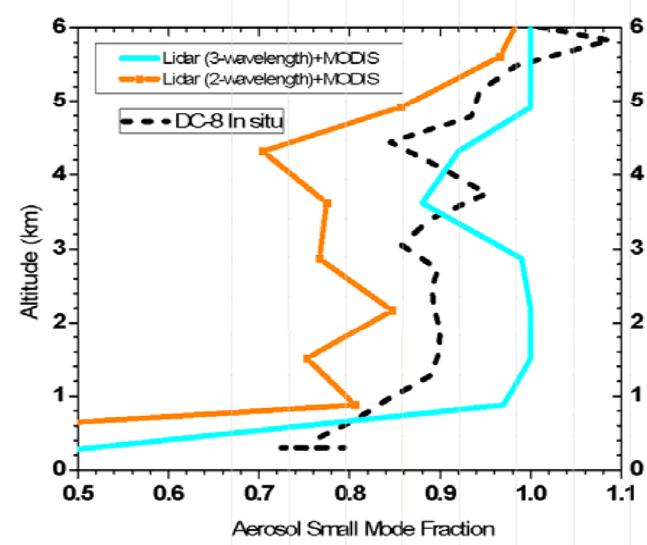
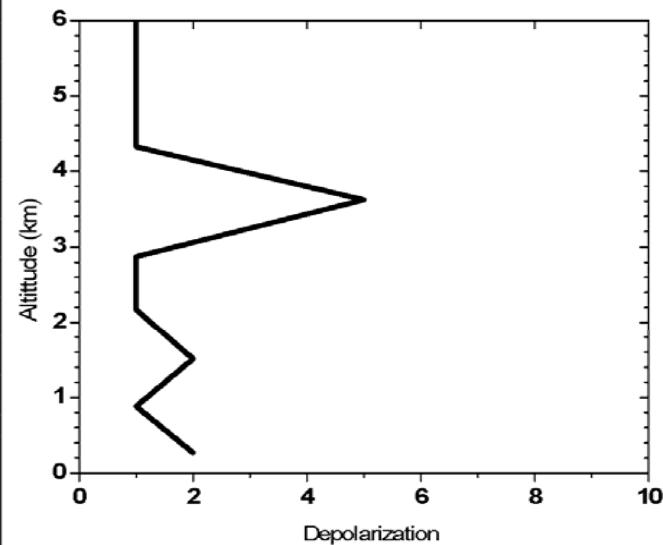
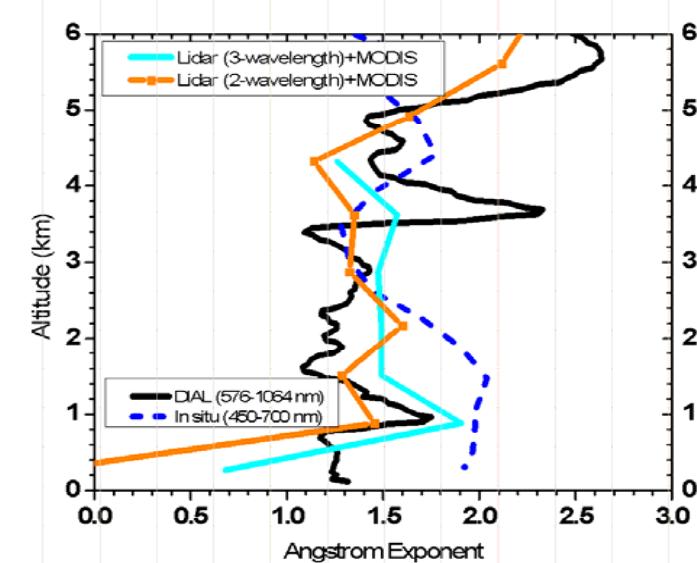
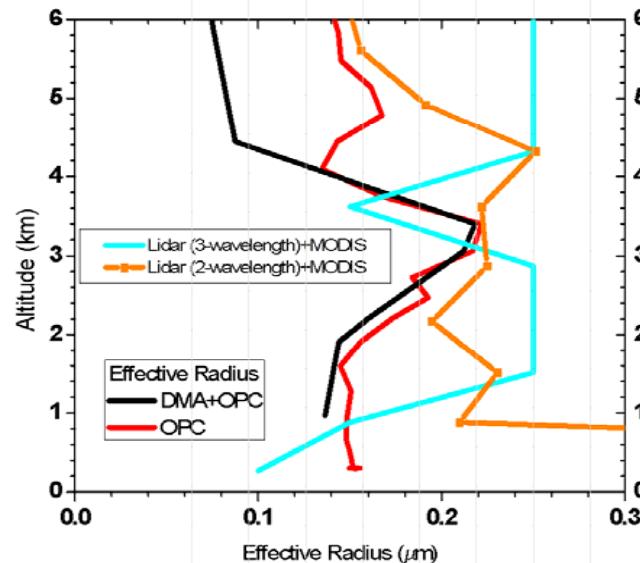
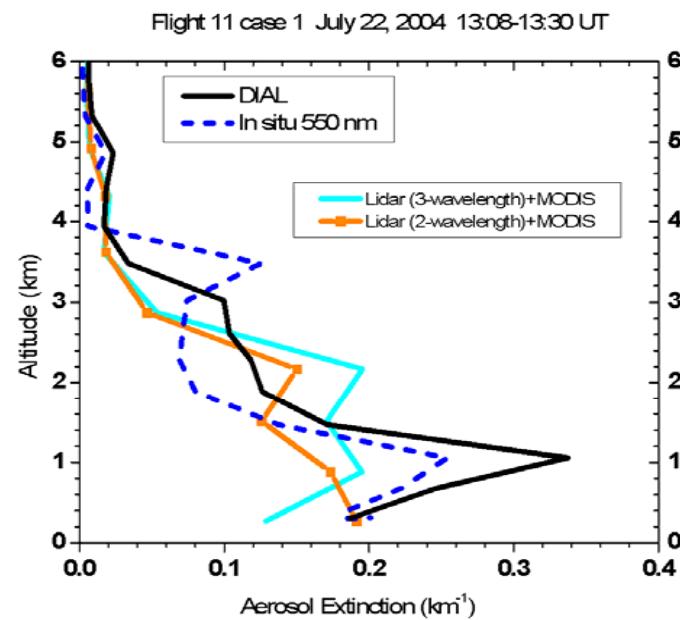


# Preliminary Aerosol Profile Properties – Retrieval Results - July 22, 2004

- (biomass) High HCN, ethyne, CO, O<sub>3</sub>, H<sub>2</sub>O, airmass from Canada, mixed with pollution (NO<sub>2</sub> spike)
- (pollution) High SO<sub>2</sub>, CO, O<sub>3</sub>, low H<sub>2</sub>O, fresh urban and industrial. trajectories from great lakes, and East Coast (near end) but very simimal chemical signature



Flight 11, case 1, July 22, 2004 revised extinction, fine mode effective radius, JF



# Aerosol Measurements – July 15, 2004

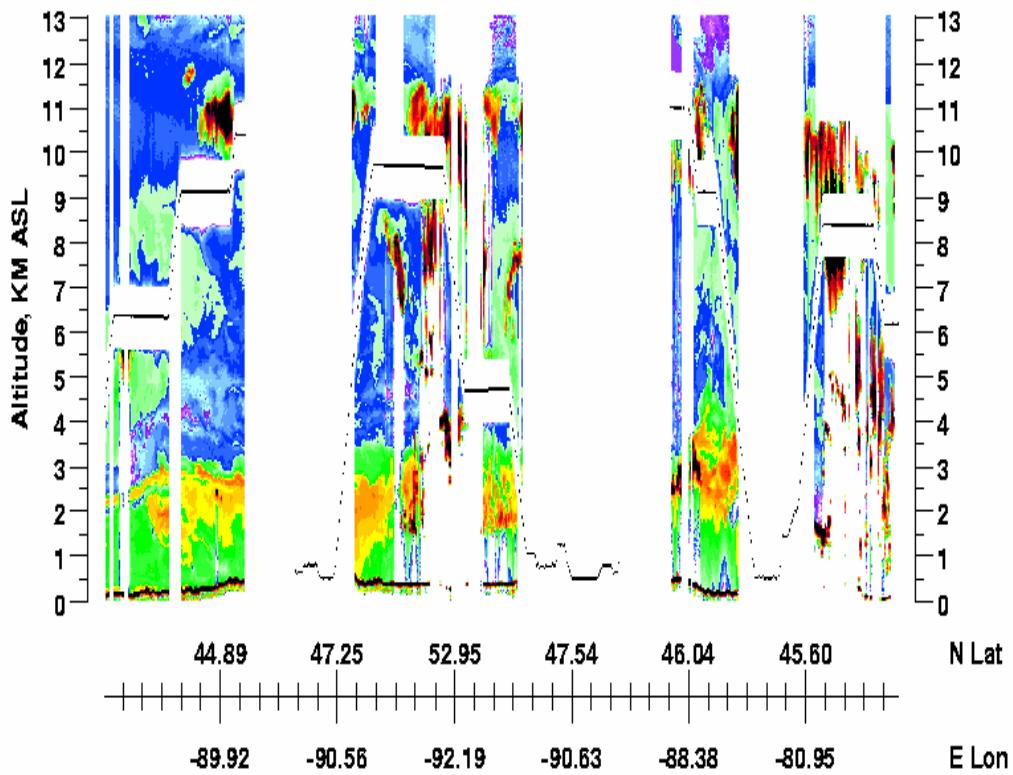
## DC-8 Flight 8

Aerosol Scattering Ratio (VS) (uncorrected)

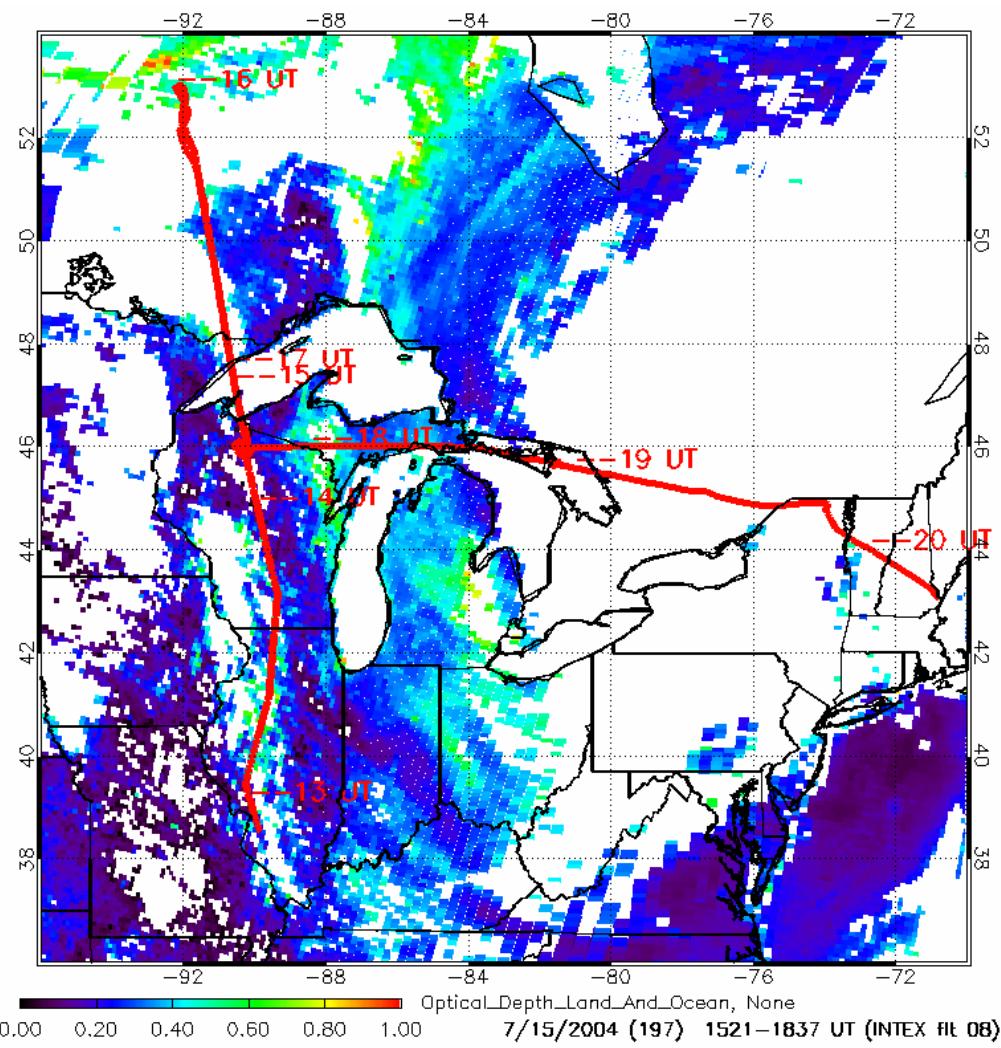
0.01    0.1    1    5    10



14:00    15:00    16:00    17:00    18:00    19:00    UT

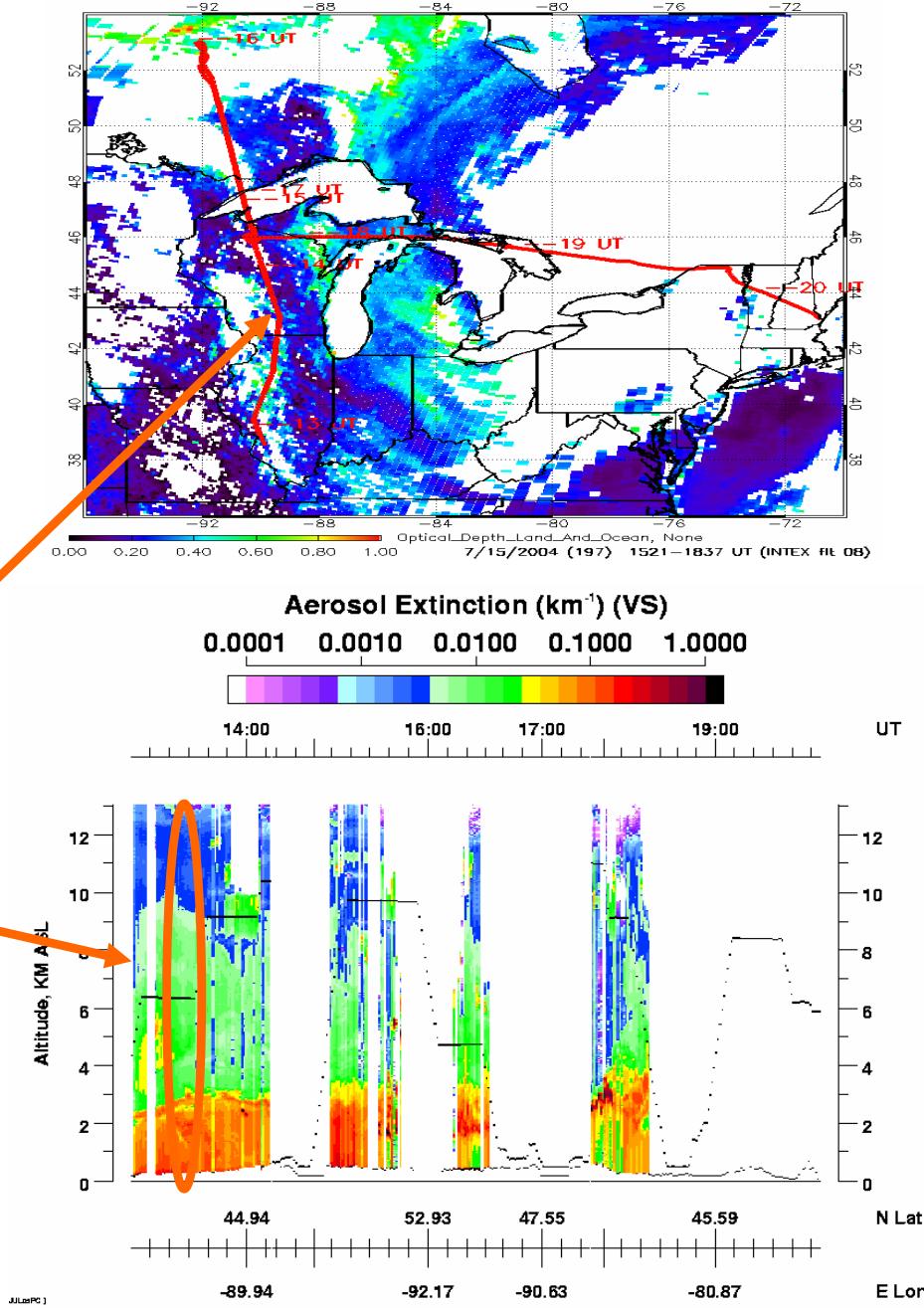
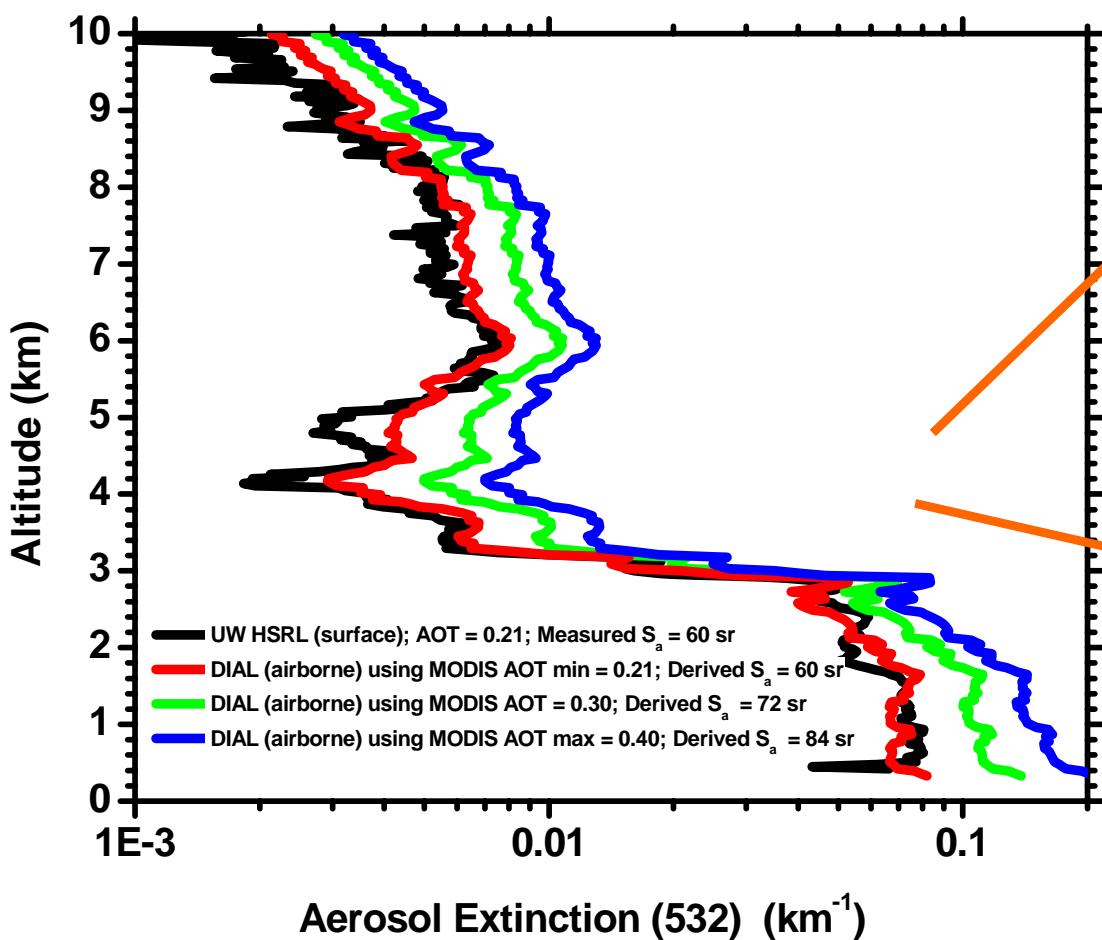


## MODIS AOT (550 nm)



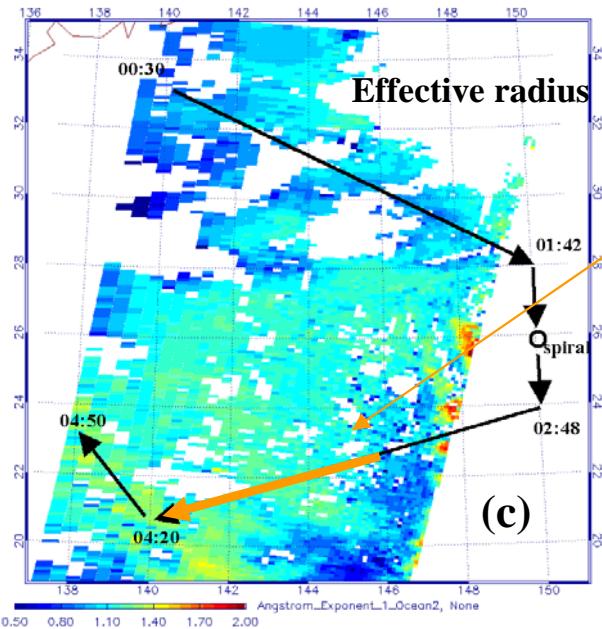
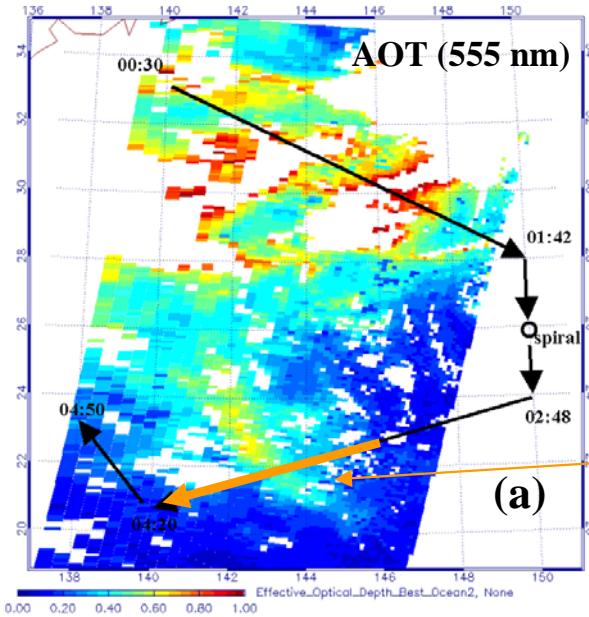
# Aerosol Extinction Comparison – July 15, 2004

- Comparison with ground-based Univ. of Wisconsin HSRL lidar that measures backscattering and extinction directly
- UV DIAL retrieval constrained using MODIS AOT (land)
- Better agreement when using lower error bound of MODIS AOT which supports investigations that indicate MODIS AOT over land is biased slightly high

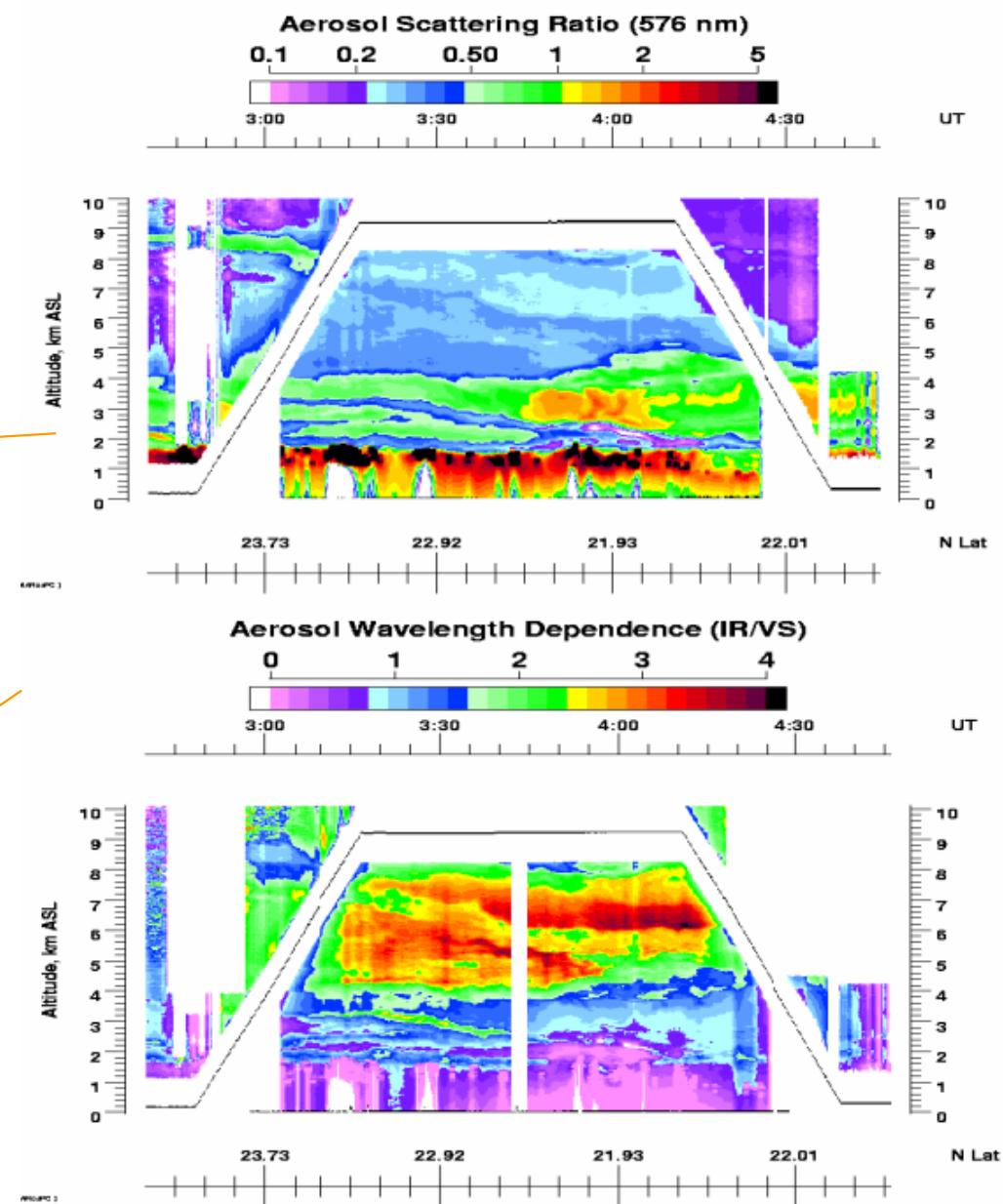


# March 24, 2001 MODIS+GOCART

Terra MODIS

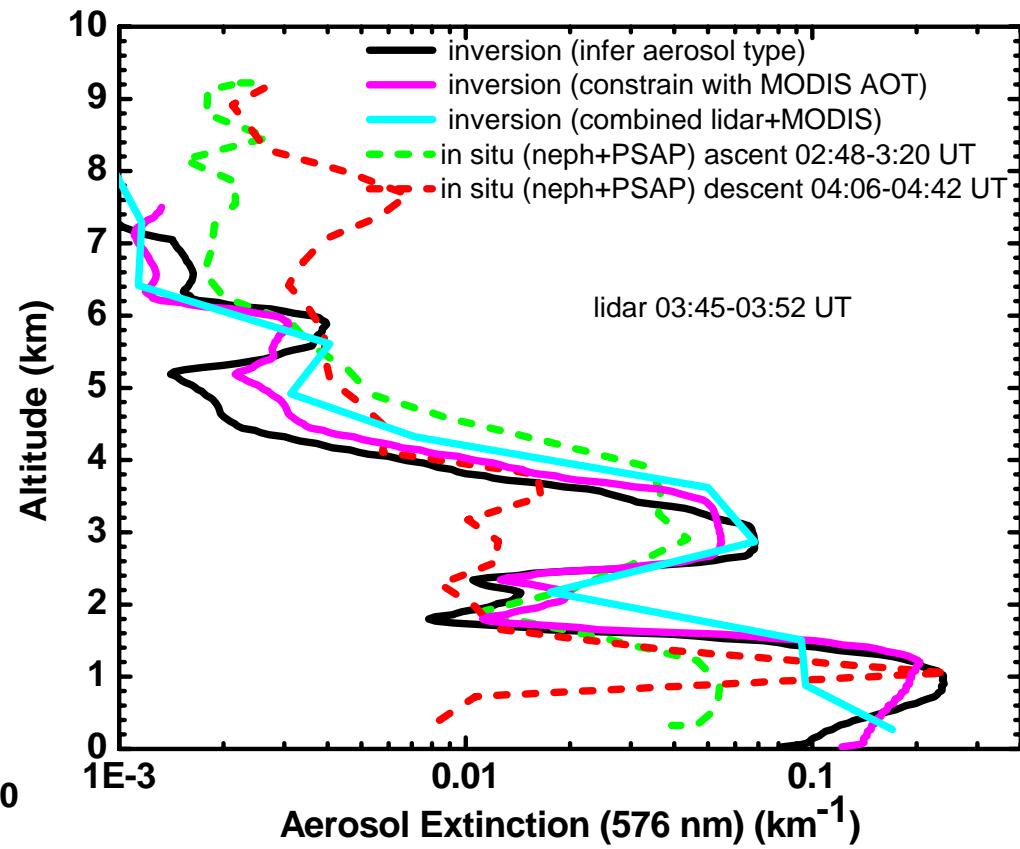
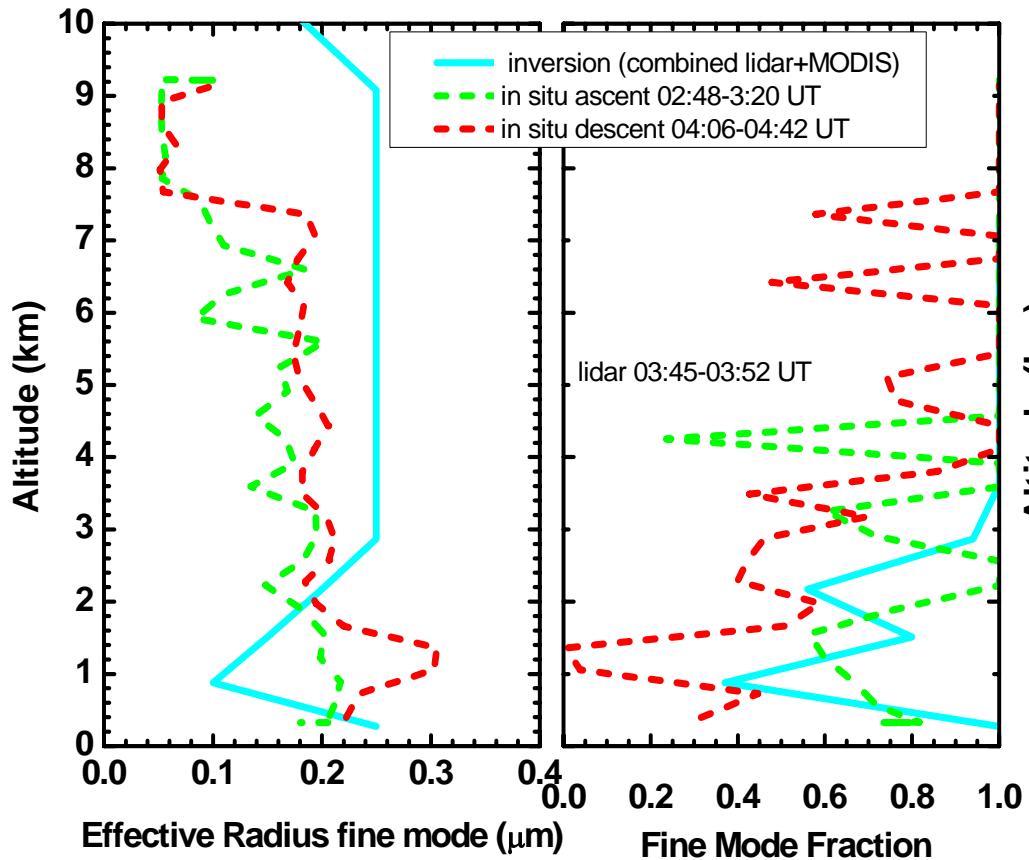


UV DIAL



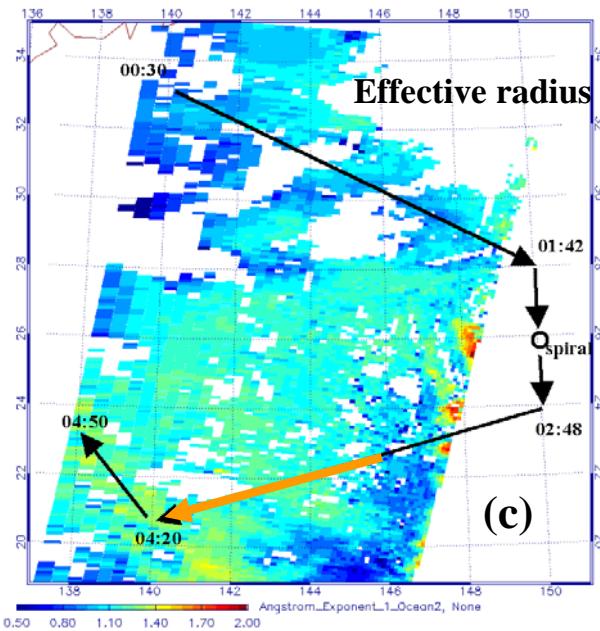
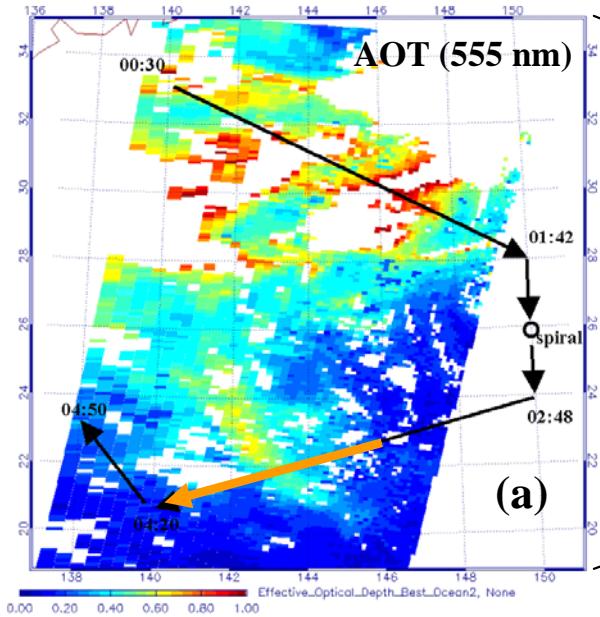
# MODIS+lidar Aerosol Retrieval Example

- TRACE-P Flight 14 March 23-24, 2001
- Good agreement between techniques for this test case
- Results show qualitative agreement with in situ measurements
- Plan to evaluate additional cases from TRACE-P, INTEX NA

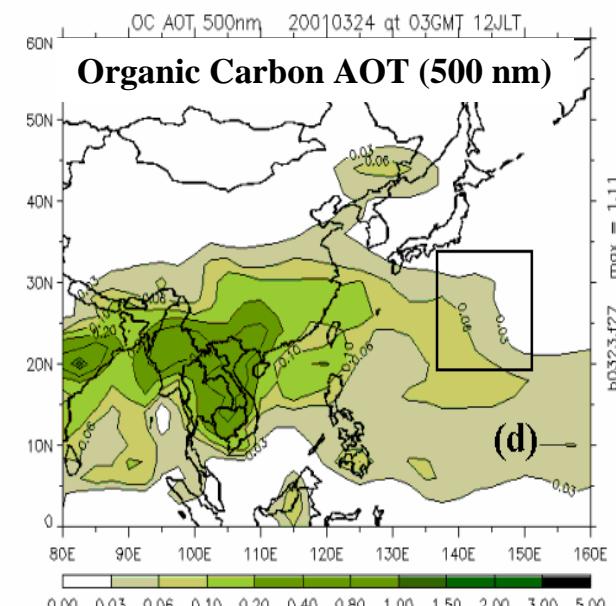
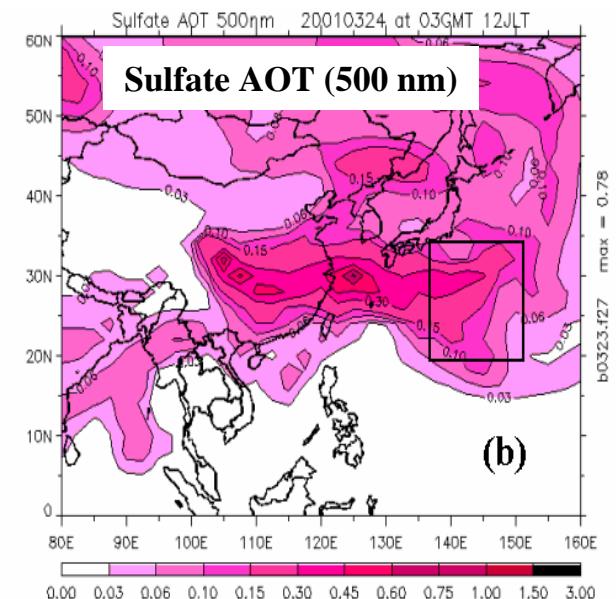
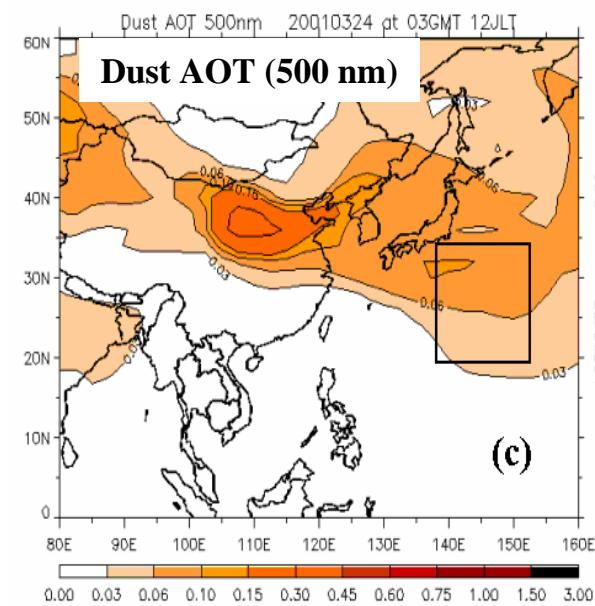
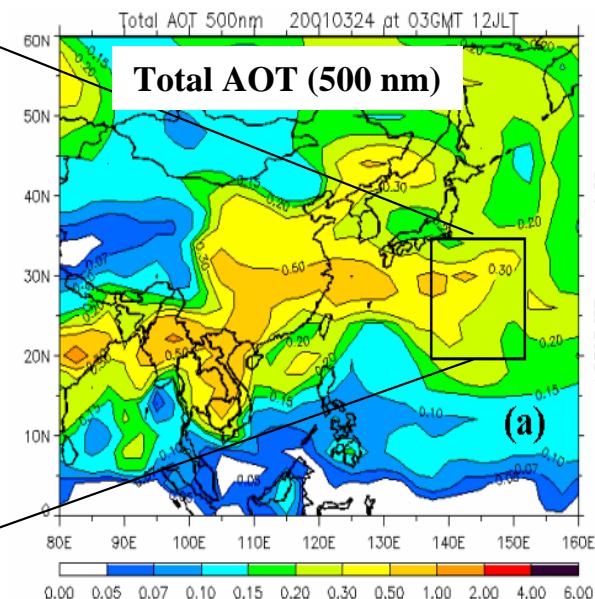


# March 24, 2001 MODIS+GOCART

Terra MODIS



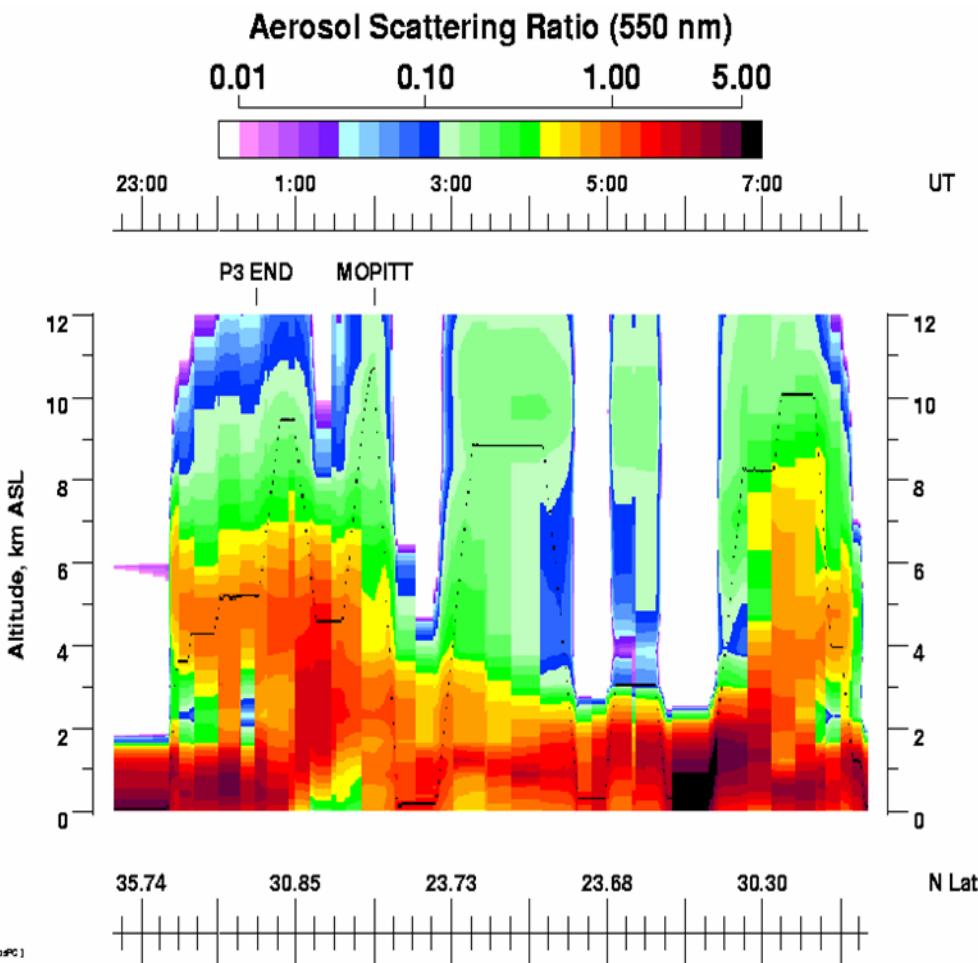
GOCART



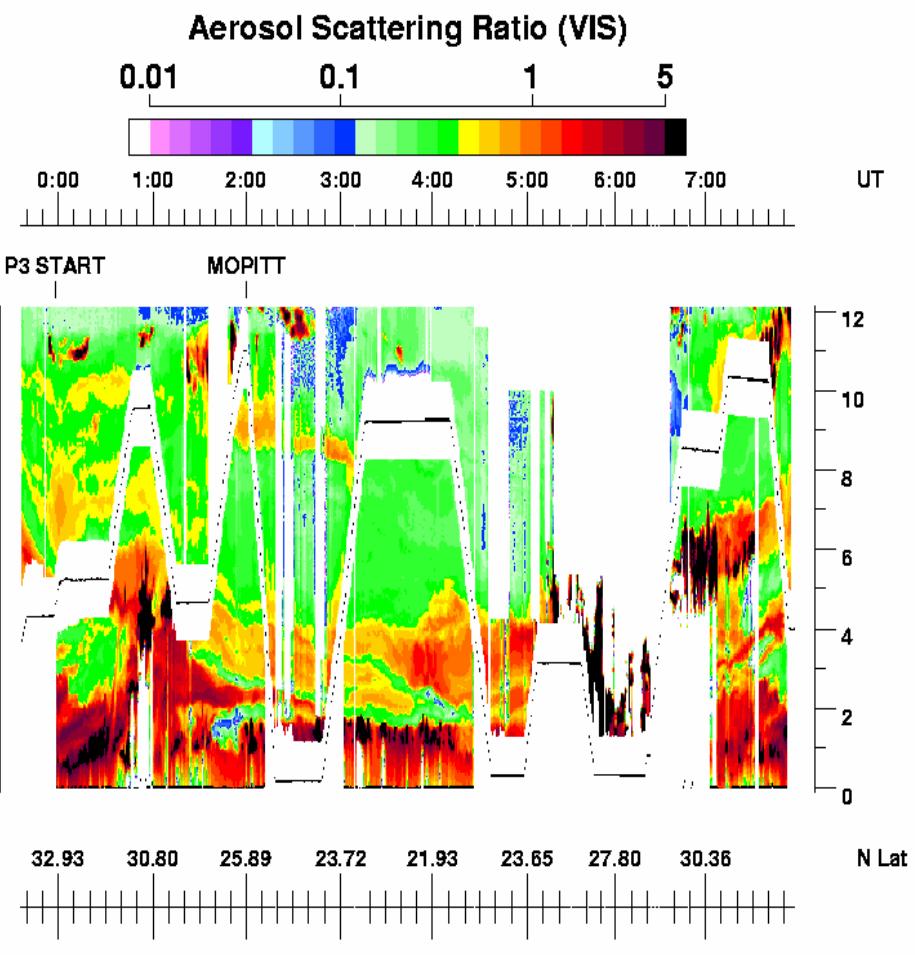
# Comparison with GOCART

- TRACE-P Flight 14 March 23-24, 2001
- Attenuated aerosol scattering ratio

GOCART



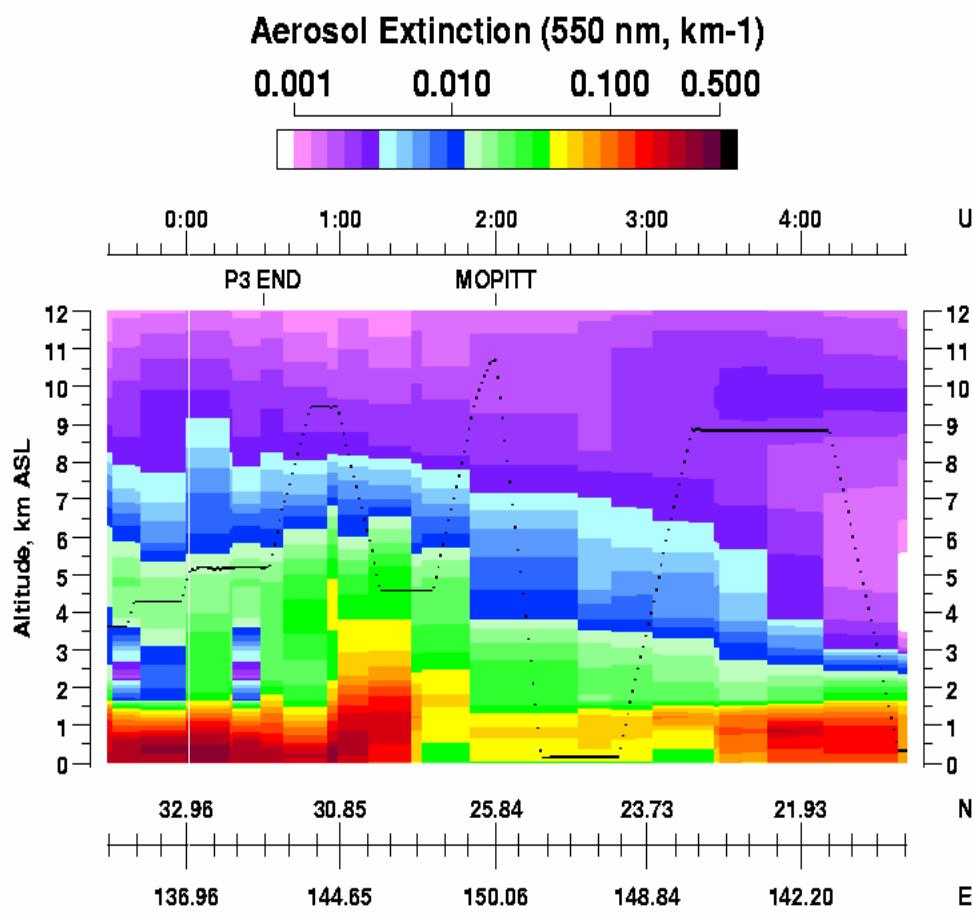
UV DIAL



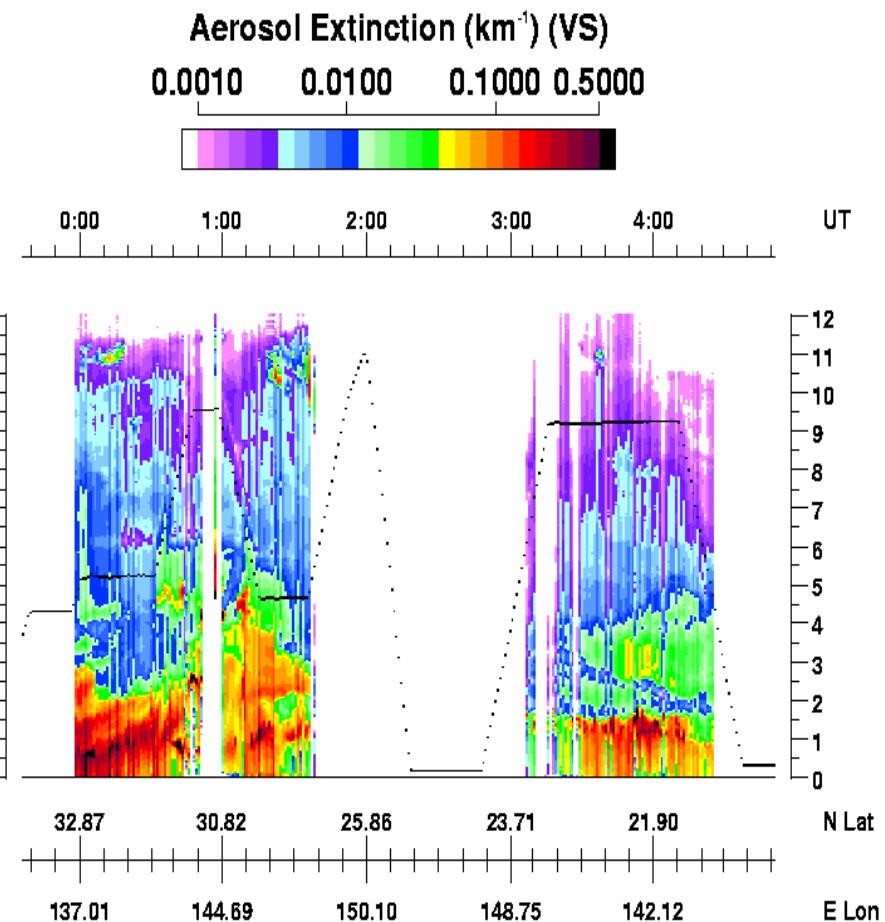
# Comparison with GOCART

- TRACE-P Flight 14 March 23-24, 2001
- Aerosol Extinction

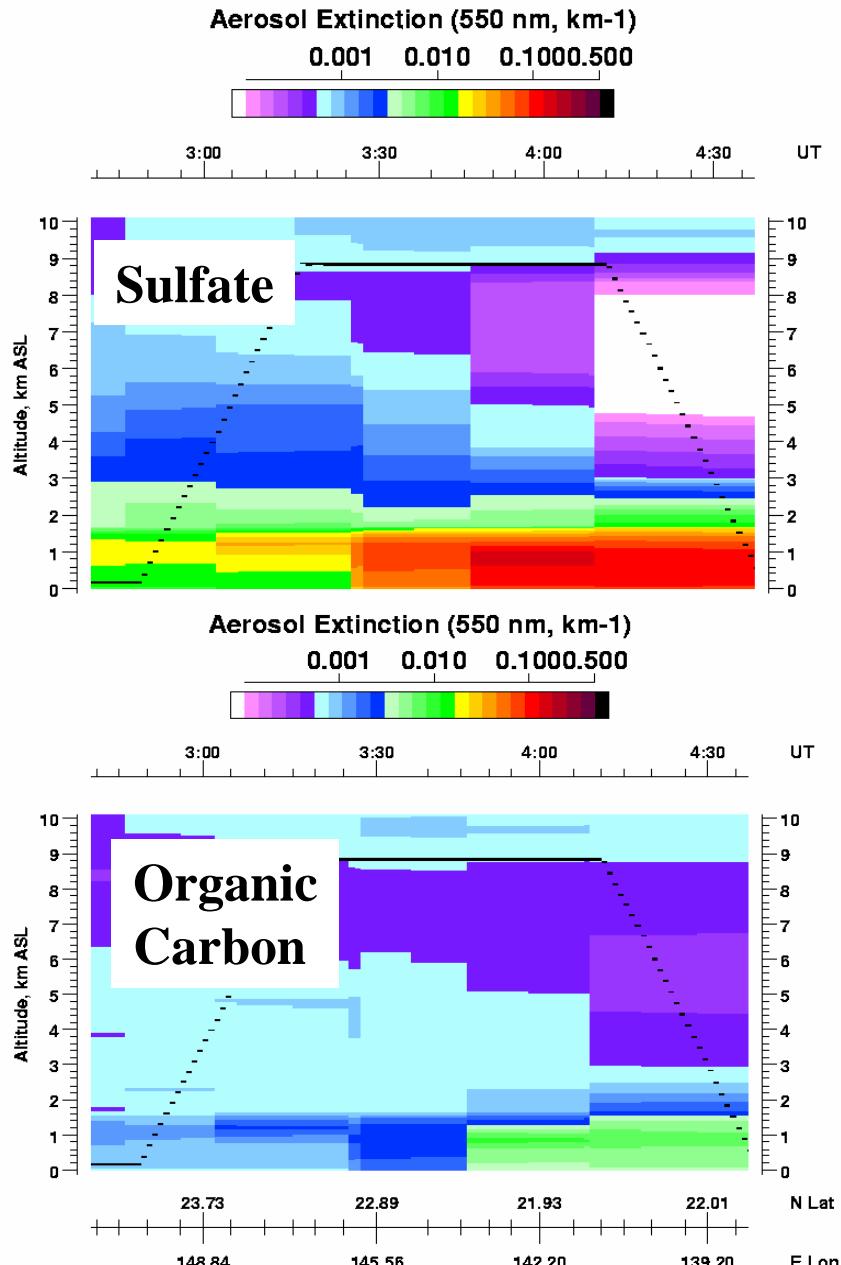
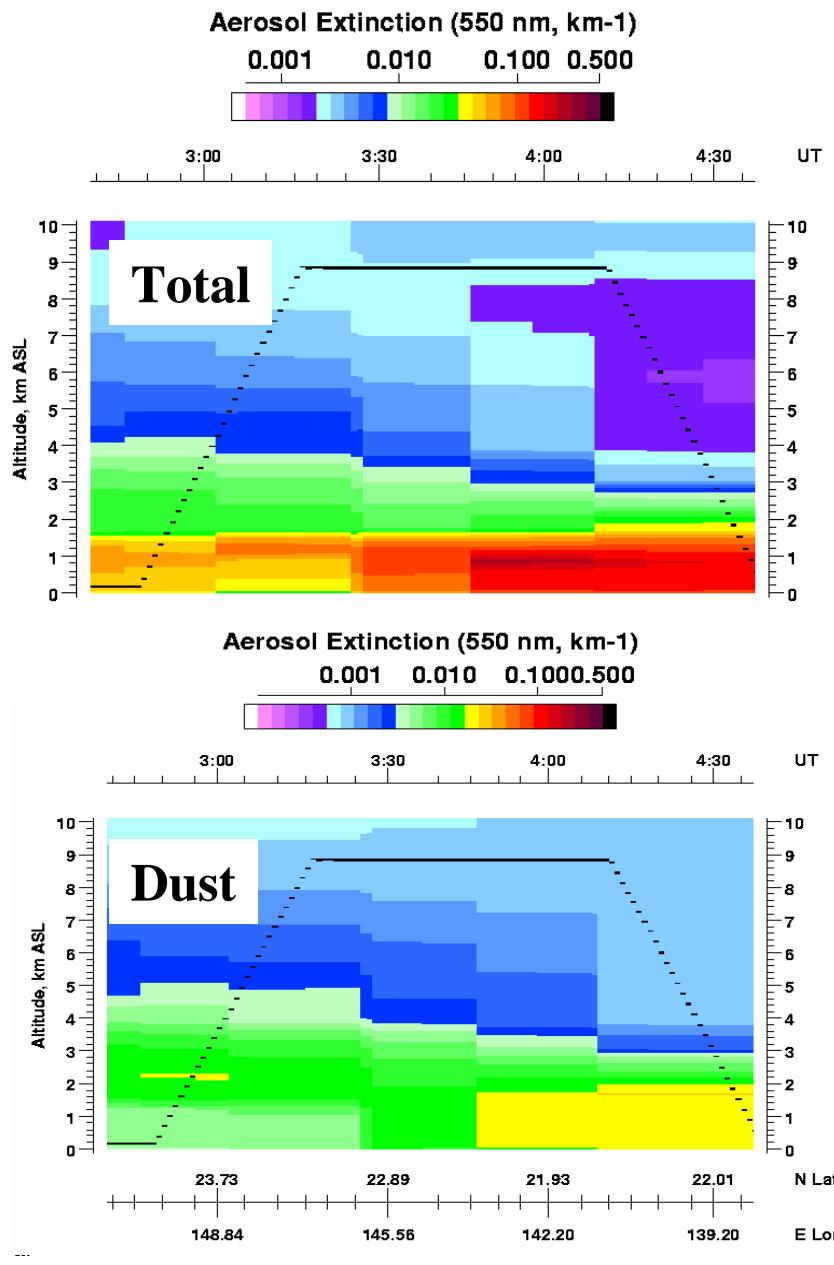
GOCART



UV DIAL

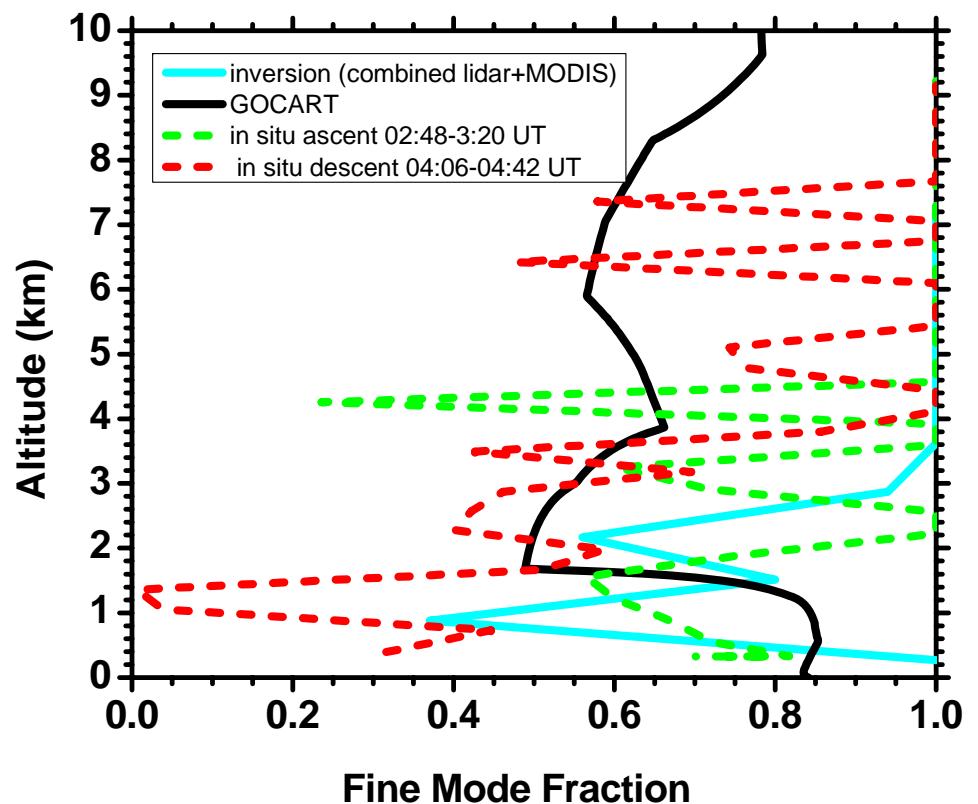
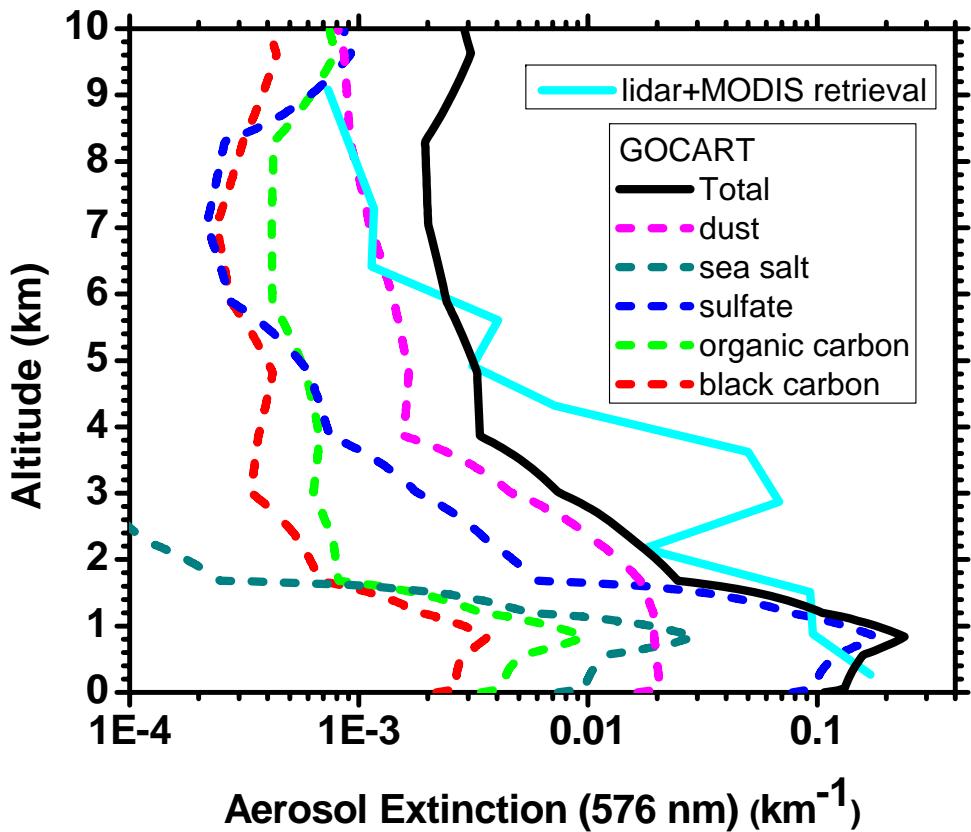


# GOCART March 24, 2001



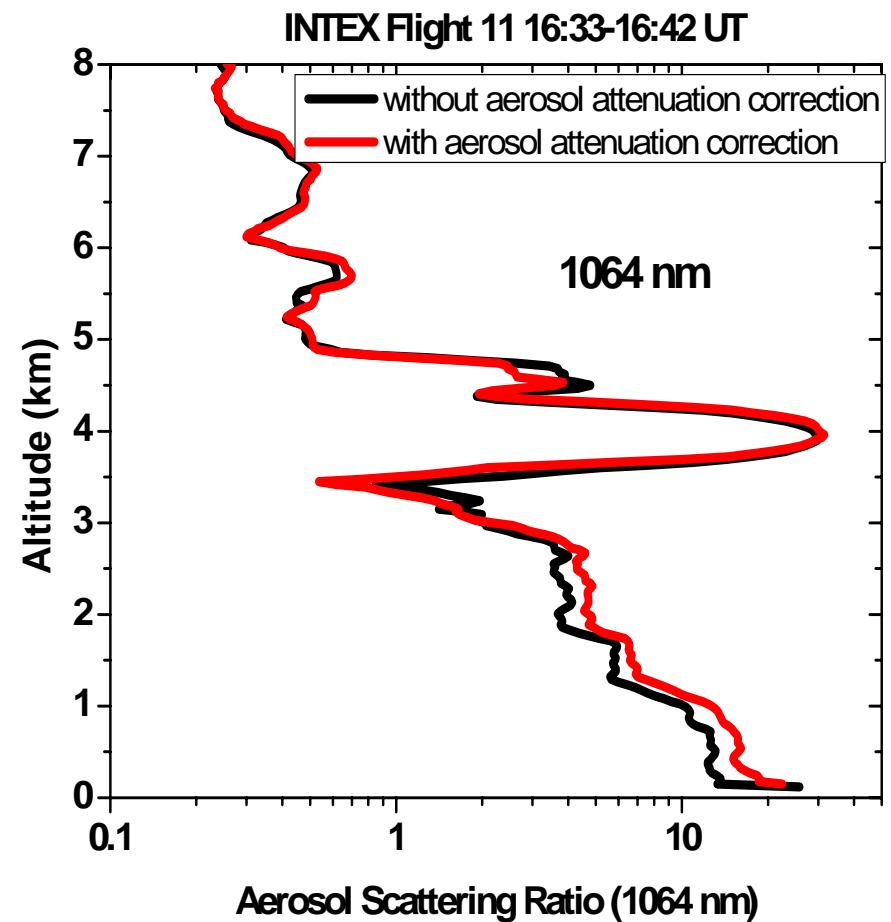
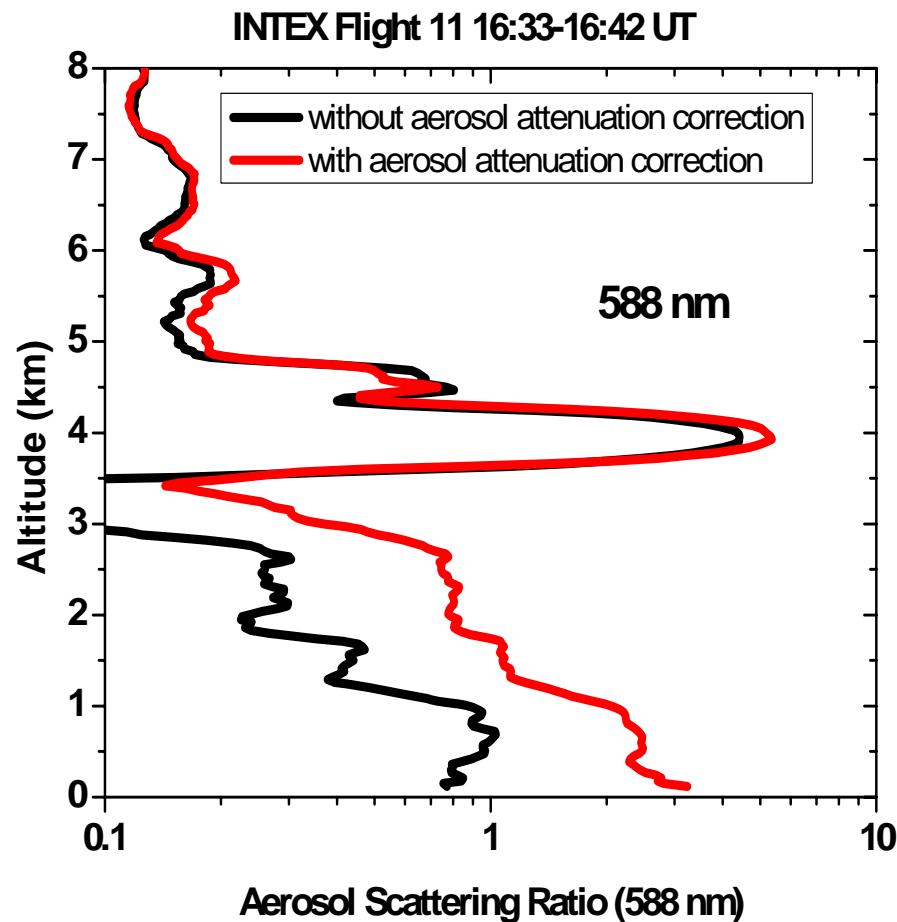
# Comparison with GOCART

- TRACE-P Flight 14 March 23-24, 2001



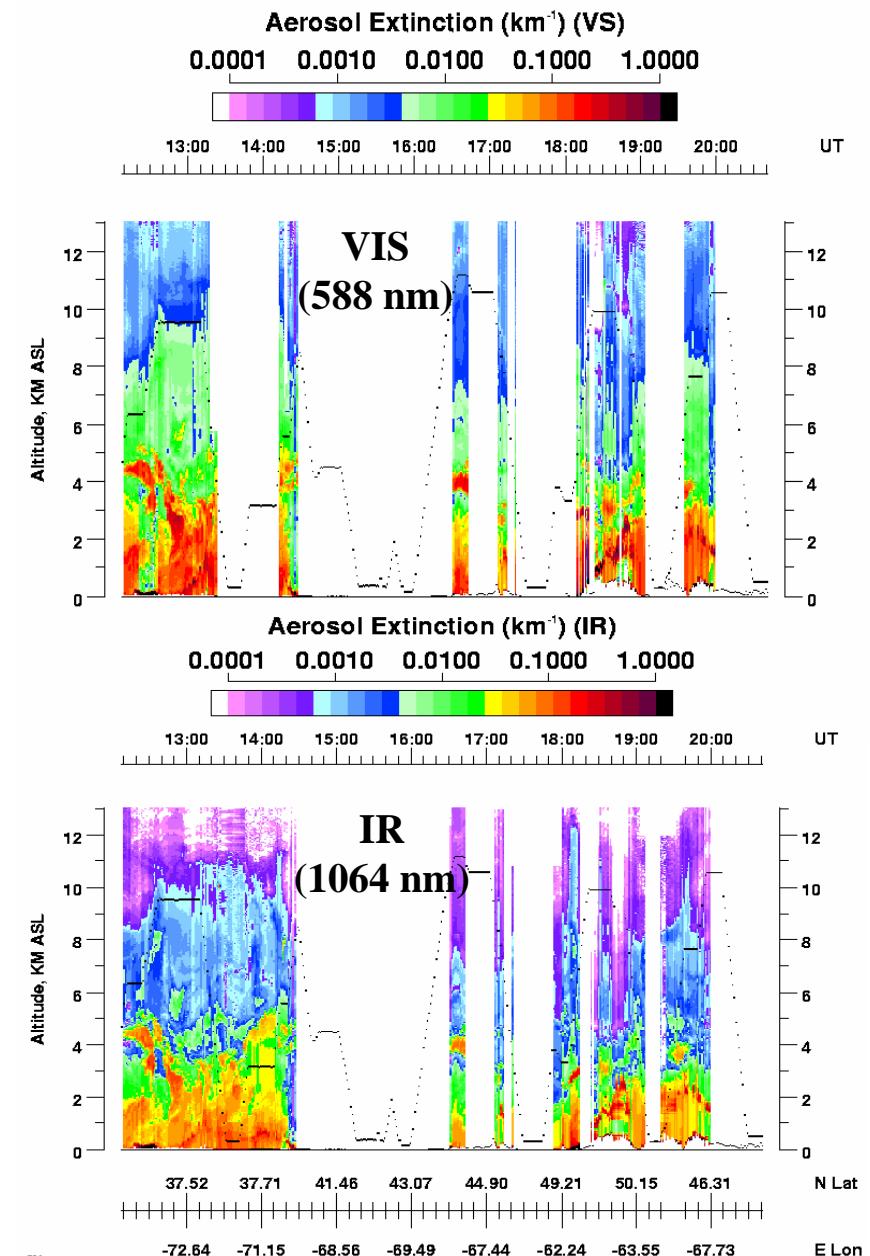
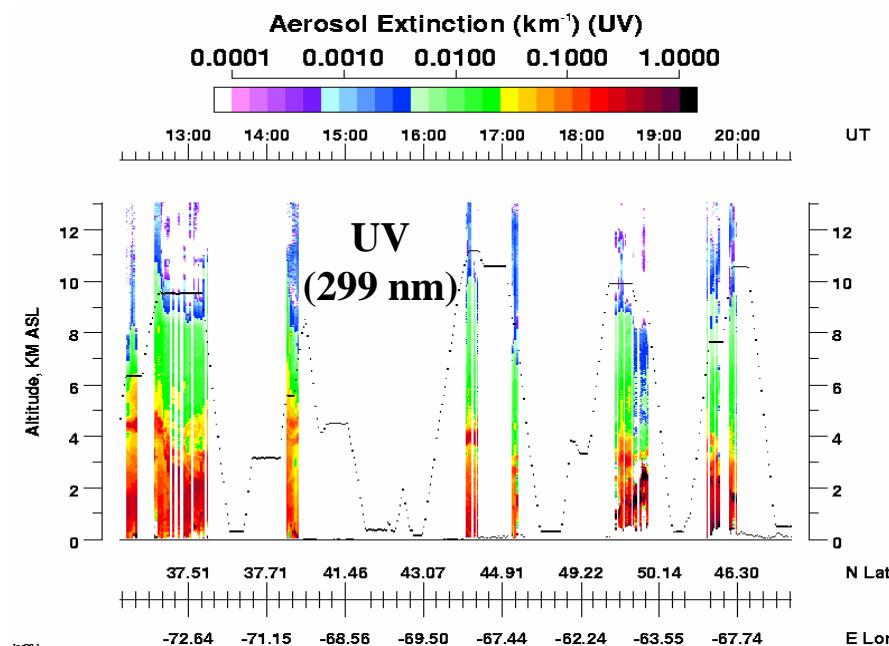
# Aerosol Profile Retrieval – July 22, 2004

- Attenuation corrected applied using MODIS AOT constraint
- Correction at low altitudes ~ 200-300% at 588 nm, 20-40% at 1064 nm



# Aerosol Extinction Profiles – July 22

- Retrievals used constrained with MODIS AOT
- Currently limited to locations where satellite retrievals of AOT are present



# AOT and Aerosol Extinction Profiles – July 22

