

Recent Progress: Bulk Scattering Models for the Remote Sensing of Ice Clouds

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Purpose: Improve ice cloud bulk scattering models for various sensors to incorporate recent advances in ice particle simulations and *in situ* microphysical measurements. For purposes of data fusion and cloud product intercomparison, the models are derived for each instrument consistently.

EOS teams using our models include MODIS, MISR, CALIPSO, AIRS

Models also being used for a 30-year AVHRR cloud climatology (PATMOS-x), the upcoming GOES-R Advanced Baseline Imager, and aircraft sensors including the Solar Spectral Flux Radiometer (SSFR), MAS, and MASTER

Preliminary models available for MODIS Collection 6 that include:

- Advances in both measurement technology and light scattering theory
- Higher particle size resolution and includes degree of linear polarization
- New ice habits (aggregate of plates and hollow bullet rosettes)
- Order of magnitude increase in microphysical data
- In situ microphysical data for extremely cold, optically thin ice clouds
- Surface roughness (smooth, moderately roughened, or severely roughened
- New habit mixture

In situ Microphysical Data - Particle Size Distributions

Gamma size distribution¹ has the form:

$$N(D) = N_0 D^m e^{-D/\lambda}$$

where D = max diameter

N_0 = intercept

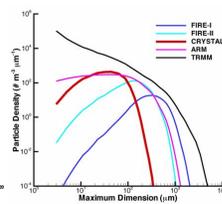
m = dispersion

λ = slope

The intercept, slope, and dispersion values are derived for each PSD by matching three moments (specifically, the 1st, 2nd, and 6th moments)

Note: when $m = 0$, the PSD reduces to an exponential distribution

¹Heymsfield et al., Observations and parameterizations of particle size distributions in deep tropical cirrus and stratiform precipitating clouds: Results from in situ observations in TRMM field campaigns. *J. Atmos. Sci.*, 59, 3451-3491, 2002.



- Synoptic cirrus characteristics**
 - Relatively narrow range of particle sizes
 - Small particles at cloud top
 - More often first pristine particles
- Convective cirrus characteristics**
 - Form in an environment having much higher vertical velocities
 - Broader size distributions
 - Large particles often present at cloud top
 - Particles may approach cm in size
 - Habits tend to be more complex

Field Campaign Data Currently Available

Field Campaign	Location	Number of 2-min averaged PSDs $T_{air} \leq -40^\circ C$	Probes
ARM-DP (2000)	Oklahoma	1420	2D-C, 2D-P, CP
TRMM KAWAIE (1999)	Kwajalein, Marshall Islands	201	2D-C, HVPS, CP
CRYSTAL-FACE	Caribbean	82	CAPS, VIPS
SCOUT	Darwin, Australia	475	FSSP, CP
ACTIVE - monsoons	Darwin, Australia	4268	CAPS
ACTIVE - Hectors	Darwin, Australia	2580	CAPS
ACTIVE - squall lines	Darwin, Australia	740	CAPS
MdCX	Oklahoma	2068	CAPS, VIPS
Pre-AVE	Costa Rica	20	VIPS

Probe size ranges (at least, usable ranges):
 2D-C: ~100-1000 µm
 2D-P: 200-6400 µm
 HVPS (High Volume Precipitation Spectrometer), 200-5000 µm
 CPI (Cloud Particle Imager), 20-2000 µm
 FSSP, ~1-50 µm
 VIPS (Video Ice Particle Sampler), ~10-350 µm
 CAPS has 2 parts, the CAS and CP.
 CAS: Cloud and Aerosol Spectrometer, ~1-50 µm
 CP: Cloud Imaging Probe, 25-1000 µm (but can extend further)

Microphysical data and ensuing bulk scattering models incorporate

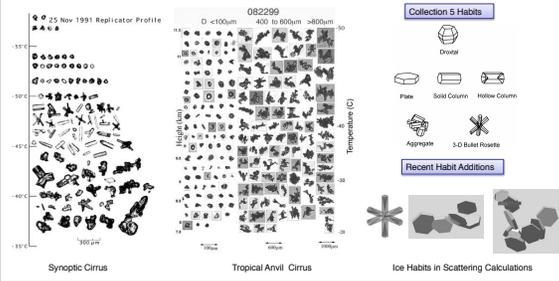
- advances in measurement techniques
- data from extremely cold, optically thin ice clouds
- better characterization of the number and shape of small ice particles
- comprehensive set of microphysical measurements from combination of probes (currently about 13,000 PSDs)
- mitigation of large ice particle shattering at inlet to 2D-C (and similar) probes
- more guidance on realistic habit mixtures

Improvements to Single Scattering Properties

Properties for each habit/size bin include volume, projected area, maximum dimension, single-scattering albedo, asymmetry parameter, extinction/scattering cross sections as well as extinction efficiency.

What's new:

- Single scattering properties are based on revised ice index of refraction (Warren and Brandt, JGR, 2008)
- New treatment of forward scattering, within the first few degrees of the scattering angle. Important because there is no longer a delta transmission term that was present in the C5 (and earlier) models
- Efficiencies are now smooth as a function of the size parameter (proportional to ratio of particle diameter to wavelength); no longer any discontinuities in the transition between properties obtained from FTD and ICOM models
- New habits include hollow bullet rosettes as well as small and large aggregates of plates
- Models will include extinction coefficient (β) as well as $D_m = \beta / \text{IWC}(\mu)$ per user request
- Libraries will provide full phase matrix, so we can build models for analyses of POLDER, GLOCRY, & CALIPSO depolarization data
- Libraries will no longer have spectral gaps so we will be able to build hyperspectral and broadband models consistently across the spectrum
- Models can be built for smooth, moderately roughened, or severely roughened particles



Ice Particle Habit Percentages Based on Comparison of Calculated to In-situ D_m and IWC

Since each idealized ice particle has a prescribed volume, and hence mass, we can calculate IWC (ice water content) and D_m (median mass diameter) for each PSD. Subsequently, we can compare the IWC and D_m values computed with the simulated ice habits to those values estimated for each PSD from the techniques developed by Heymsfield and colleagues. This provides a constraint on the prescribed habit mixture.

Ice Particle Habit Mixture Assumed for Collection 5

C5 guidelines for habit mixture

4 size ranges defined by maximum dimension

Fixed habit percentages in each size range

Aggregate of columns: used only in small amounts because of its high density

Plates: used only for particles of intermediate size

Use more hollow than solid columns/plates/rosettes

Max length < 60 µm

100% donuts

60 µm < Max length < 1000 µm

15% bullet rosettes

35% hollow columns

50% solid columns

1000 µm < Max length < 2500 µm

45% solid columns

45% hollow columns

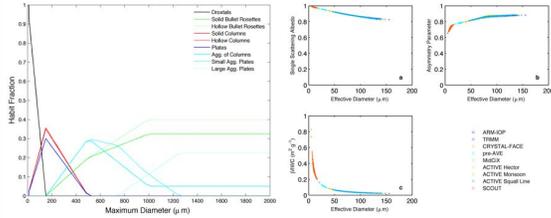
10% aggregates

Max length > 2500 µm

97% bullet rosettes

3% aggregates

Ice Particle Habit Mixture Suggested for Collection 6



New habit mixture

Developed by Carl Schmitt at NCAR

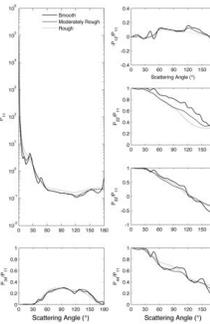
Smooth transition of habit with maximum dimension

Single scattering albedo, asymmetry parameter, and extinction coefficient divided by the IWC (β/IWC) at a wavelength of 2.12 µm for severely roughened particles

Explore new areas

Polarization

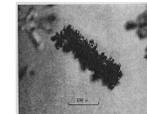
We are beginning to explore the advantages provided by satellite based polarization measurements... the example below shows the influence of particle roughening on the various phase matrix components for MODIS Band 1 (0.65 µm wavelength) using the C5 habit distribution.



Publication under development:

Baum, B. A., P. Yang, Q. Feng, and Y.-X. Hu: The impact of ice particle roughness on the scattering phase matrix. In preparation for submission to *J. Quant. Spectrosc. Radiant. Transfer*

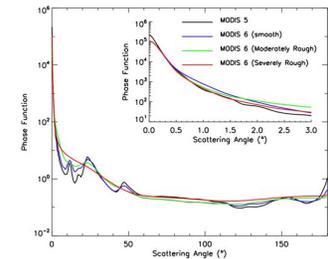
Surface Roughness



The image of a rimed column ice crystal (adapted from Ono, 1969).

C5 to C6 Comparison – Surface Roughness

Previous models assumed that particle surfaces are smooth, except for the aggregate. The new database will include both moderate and severe roughness. The example below is a comparison of the intensity (P_{11}) for MODIS Band 1 (0.65 µm wavelength). Additionally, a new treatment of forward scattering is incorporated in the C6 models.



Publication under development:

Baum, B. A., P. Yang, A. J. Heymsfield, A. Bansemir, L. Bi, C. Schmitt, and Y. Xie: Refinement of shortwave bulk scattering and absorption models for the remote sensing of ice clouds. In preparation for submission to *J. Appl. Meteor. Clim.*

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